

# Water safety planning and implementation in a Ghanaian small-scale water supply system

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## ABSTRACT

**Aims:** This study looked at the Assin Fosu Small Town Water Supply System to verify whether the operation of the scheme is based on a comprehensive water safety plan and how the practice of water safety planning affects the quality of water delivered to the consumers.

**Study design:** The study employed document reviews, structured observations, interviews and qualitative assessment of the bacteriological safety and aesthetic quality of water sampled from selected public standpipes.

**Place and Duration of Study:** This study was conducted in March - July 2014 on the Assin Fosu Small Town Water Supply System in the Central Region of Ghana.

**Methodology:** System design data files and an Operation and Management Contract document were reviewed. In-depth interviews were conducted with key stakeholders of the water supply system. Structured observations were made to assess the management practices of the system managers. Three water samples were collected at monthly intervals from each of 10 randomly selected public standpipes, 3 boreholes and 2 filtration units. Samples were analysed for physical quality (pH, colour, turbidity) and bacteriological safety. Upon the detection of bacteriological contamination, the adequacy of disinfection was assessed by measure the concentration of residual chlorine in the samples.

**Results:** Recommended schedule for some key documented water quality control and monitoring activities were not complied with. The quality of water delivered to consumers at several public standpipes failed to meet the WHO guidelines for drinking water. The samples that exceeding the WHO guidelines were 40% for faecal contamination, 60% for turbidity and 50% for colour.

**Conclusion:** Actual operation and maintenance practices failed to comply with the recommended schedule for some key water quality control and monitoring activities.

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*Keywords: Ghana; small-scale water systems; water safety planning; water quality*

## 1. INTRODUCTION

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The safety of drinking water is an important subject due to the direct impact it has on the health and productivity of the populace [1]. It has been observed that reductions in adverse health effects and healthcare costs yield a net economic benefit on investments in water supply and sanitation [2]. Furthermore, the attainment of the Sustainable Development Goal (SDG) 6, which aims to “ensure availability and sustainable management of water and sanitation for all” is recognised as a key prerequisite to the realisation of other SDGs [3].

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The global Millennium Development Goal (MDG) target for drinking water was achieved in 2010, with 2.6 billion people gaining access to improved drinking water sources since 1990 [4]. Ghana is classified among the countries that achieved the MDG target for drinking

27 water. Most recent official data collected in 2017 – 2018 revealed that the national water  
28 coverage (basic and limited) stood at 86% [5], up from 56% in 1990 [4]. A significant aspect  
29 of Ghana's progress in potable water supply is the bridging of the gap that existed between  
30 the rural and urban populations in 1990. In 1990, only 39% of Ghana's rural population had  
31 access to improved water sources as compared to 84% among the urban population.  
32 However, current data estimates the rural and urban water coverage to be 77% and 96%  
33 respectively [5]. This progress has been fueled by the development of small-scale water  
34 supply systems as part of a National Community Water and Sanitation Programme  
35 (NCWSP) that was launched in 1994 [6]. This was followed up with the establishment of a  
36 Community Water and Sanitation Agency (CWSA) in 1998 with a mandate to facilitate the  
37 provision of safe water and related sanitation and hygiene services in rural communities and  
38 small towns [7].

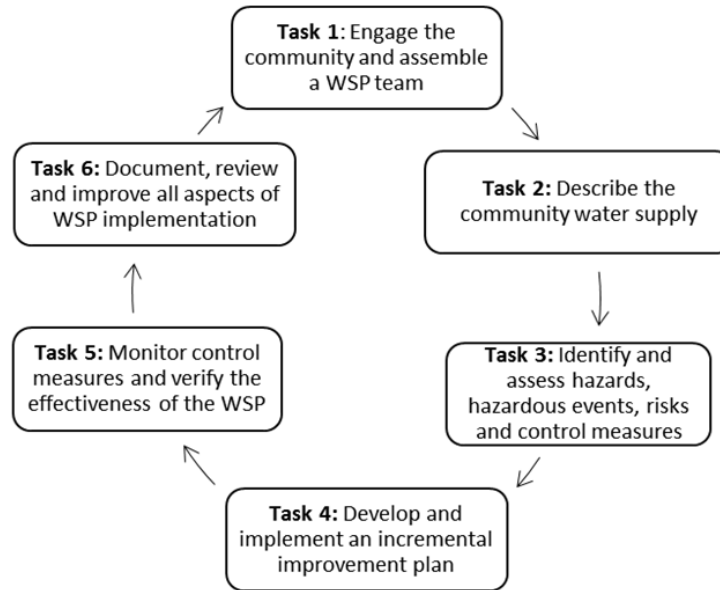
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40 Ghana's example highlights the role small-scale water supply systems played in achieving  
41 the MDG target on drinking water and their potential in achieving the SDG 6. Generally, the  
42 term *small-scale water supply* is frequently associated or used interchangeably with the  
43 terms *community water supplies* [8] *rural water supplies* [9] or *small town water supplies*  
44 [10], which are generally distinct from large-scale conventional water supplies by their  
45 relatively smaller size and complexity. Nevertheless, they are usually defined by specific  
46 legislative criteria such as the population served, quantity of water supplied, number of  
47 service connections or the type of technology used [11]. For instance, in Ghana, the CWSA  
48 uses the population served as a basis for classification of the systems. Those that serve  
49 populations up to 2000 are described as *Small Community* systems while those that serve  
50 2000 to 50,000 are described as *Small Town* systems [12].

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52 While the World anticipated the attainment of the MDG target for drinking water, which  
53 primarily focused on access to some 'improved sources of water' [13], concerns over the  
54 actual safety of water that is consumed around the world began to gain attention. In  
55 response to this, the WHO and UNICEF's Joint Monitoring Programme (JMP) piloted the  
56 Rapid Assessment of Drinking Water Quality (RADRWQ) survey in five countries (Jordan,  
57 Tajikistan, Nicaragua, Nigeria and Ethiopia) between 2006 and 2010 to assess the water  
58 safety compliance of selected 'improved water sources'. The results of the RADRWQ  
59 showed microbial contamination of supposedly improved water sources in all five countries  
60 while chemical contamination with fluoride was recorded in four countries [14]. Concerns  
61 over the quality of water supplied from water sources that are considered to be improved  
62 have also been raised in Ghana. The CWSA recognises the existence of some naturally  
63 occurring water quality challenges such as high salinity in groundwater in the southern part  
64 of the country, fluoride in the north and iron in various parts of the country [6]. Beside the  
65 chemical contamination, bacteriological contamination has also been reported among some  
66 43% of improved water sources that were sampled in Ghana [4].

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68 Such reports of contamination of improved water sources have, undoubtedly, informed the  
69 definition of the global indicator for the drinking water target of the SDG: the "proportion of  
70 population using *safely managed* drinking water services" [3]. The emphasis on '*safely  
71 managed*' services underscores the relevance of water safety planning and implementation  
72 in water supply systems. The World Health Organisation (WHO) defines a water safety plan  
73 (WSP) as a "*comprehensive risk assessment and risk management approach that  
74 encompasses all steps in water supply from catchment to consumer*" [2]. Its main objective  
75 is to prevent the raw water source from contamination, remove contamination through  
76 treatment processes and to prevent the re-contamination of the treated water during storage,  
77 distribution and handling at the point of use [15].

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79 Even though a WSP is expected to be developed for all water supply systems, its relevance  
80 is more emphasised in small-scale water supply systems which have been found to be at a  
81 greater risk of breakdown and contamination [8]. For such systems, the WHO [8] provides a  
82 six-step cycle of tasks (shown in Fig. 1) for carrying out the above-mentioned key actions  
83 involved in a WSP. Detailed processes and procedures for carrying out each task are also  
84 discussed by the WHO [8].  
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89 **Fig. 1. Water safety plan continuous improvement cycle**  
90 *Source: WHO [8]*  
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92 In Ghana's community and small-town water supply systems, the CWSA's approach to water  
93 safety planning is similar to that of the WHO [8]. They both emphasise identification of  
94 hazards and specific actions to address each hazard from catchment to point of use.  
95 Detailed steps and actions to ensure the delivery of safe water in Ghana's small-scale water  
96 supply systems have been published by the CWSA in its document *Water Safety Framework*  
97 [16]. The Water Safety Framework (WSF) provides general guidelines to aid the  
98 development of specific WSPs by managers of individual water systems. However, not  
99 much knowledge exists on the actual preparation and implementation of water safety plans  
100 by managers of the individual systems to safeguard the quality of water delivered to  
101 consumers. In other words, although some success has been achieved in hardware  
102 installations to increase the coverage of rural water supply, not much is known about how  
103 the quality of the water is being managed, and how water quality management or water  
104 safety planning is affecting the final product being consumed by rural and small-town  
105 dwellers.  
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107 This study was conducted on the Assin Fosu Small Town Water Supply System (AFSTWSS)  
108 in the Central Region of Ghana primarily to assess the practice of water safety planning in  
109 the system and how it affects the quality of water served to the consumers. However, with a  
110 report in 2015 indicating that nearly half (43%) of Ghana's water sources classified as  
111 improved were not free from faecal contamination [4], the CWSA has recently intensified its  
112 regulatory activities in ensuring that all small-scale water supply systems comply with its  
113 WSF. This recent emphasis been laid on WSP has motivated sharing the findings of this

114 study which was conducted in 2014 as part of an unpublished MSc thesis to provide a  
115 baseline situation against which the impact of recent intervention may be assessed.

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## 118 2. METHODOLOGY

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### 120 2.1 Study Setting

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122 The AFSTWSS serves the 161,341 inhabitants of the Assin Central Municipality with  
123 drinking water. The Municipality is one of 22 local authorities in the Central Region of  
124 Ghana. It lies within longitudes 1°05' East and 1°25' West and latitudes 6°05' North and  
125 6°40' South and covers a land area of 1,500 sq. km [17]. The Municipal Capital, Assin Fosu,  
126 is among a number of otherwise urban centres in Ghana that are served by rural or  
127 community water supply systems due to inadequate capacity of the main urban utility, the  
128 Ghana Water Company Limited (GWCL), to serve those urbanised townships. With respect  
129 to sanitation, data collected in 2009 indicated that only 22% of the Municipality's inhabitants  
130 had access to private toilets in their houses. The remaining 78% comprise 71% who  
131 patronise public or communal toilets and 7% who practise open defecation [18]. In terms of  
132 sanitation technologies, many inhabitants depend on on-site dry sanitation systems such as  
133 pit latrines, with only a few using water closets.

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135 The AFSTWSS involves the use of boreholes to extract groundwater, which is given a partial  
136 treatment by passing it through a pre-packaged filtration unit. The water is then pumped into  
137 two elevated and one ground service reservoirs and distributed under gravity. Fig. 2 shows  
138 the process flow diagram for the system. Selected system photographs are presented as  
139 Appendix A.

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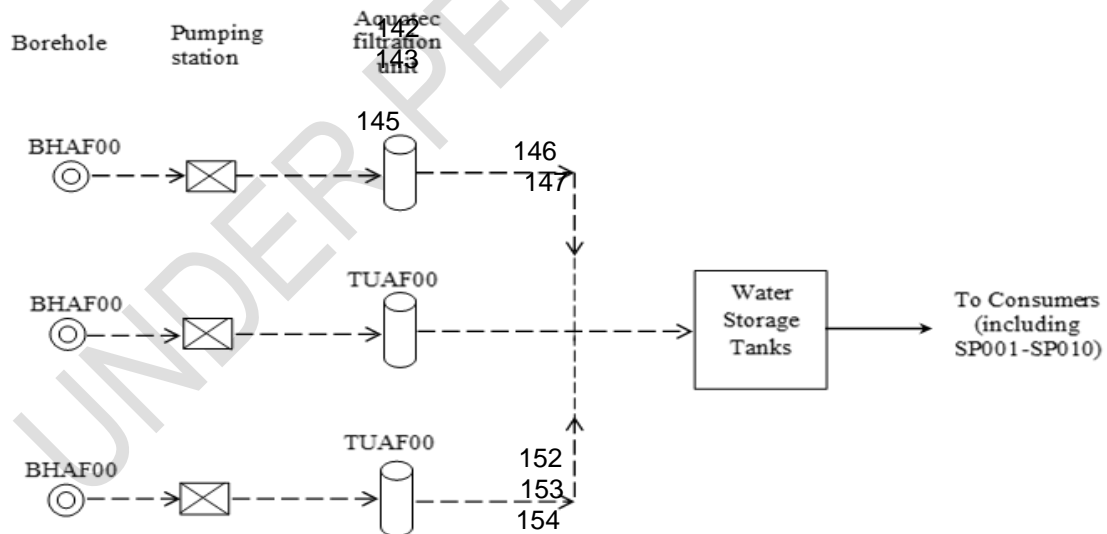


Fig. 2. Process flow diagram for the water system

163 **2.2 Study Design**

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165 The study was designed to:

- 166 i. qualitatively verify the existence of a WSP for the AFSTWSS and whether any  
167 existing plans make adequate or comprehensive provisions for water safety  
168 protection  
169 ii. qualitatively assess whether the water system operator complies with any existing  
170 WSP  
171 iii. quantitatively and qualitatively establish whether the water supplied to consumers  
172 under existing water quality management practices meets relevant quality  
173 standards  
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175 **2.3 Data Collection Methods**

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177 The research employed the following qualitative and quantitative methods in the collection of  
178 data:

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180 **2.3.1 Document review**

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182 Document review was done to obtain secondary data on documented, system-specific water  
183 safety management practices and procedures. Documents reviewed included system  
184 design data files [19] and an Operation and Management Contract (OMC) document [20]  
185 signed between the Municipal Assembly and a private limited liability company which  
186 operated and managed the water supply system at the time of the study.  
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188 **2.3.2 Structured observation**

189 Observations were made to assess the management practices of the system managers. An  
190 observation guide was developed to verify whether system-specific and generic water safety  
191 control measures were adhered to by the system managers. Observations were made on  
192 the operation and management of boreholes, treatment units, transmission and distribution  
193 lines and public standpipes.  
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195 **2.3.3 In-depth interviews**

196 In-depth interviews were conducted with representatives of the private operator and  
197 Community's Water and Sanitation Management Team (WSMT), an official of the CWSA  
198 and the Desk Officer of the Municipal Assembly's Water and Sanitation Development Board.  
199 Where relevant, information provided by the system operators were cross-checked through  
200 enquiries at the Municipal Water and Sanitation Desk and the Regional Office of the CWSA  
201 in Cape Coast.  
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203 **2.3.4 Water quality testing**

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205 **2.3.4.1 Sampling**

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207 Samples were collected from:

- 208 • ten (10) stand-pipes randomly selected from 10 out of 12 suburbs covered by the  
209 water supply system  
210 • three (3) boreholes in operation at the time of the study  
211 • the exit of two (2) treatment (filtration) units in operation at the time of the study

212 Samples were not taken from service reservoirs and the distribution network due to absence  
213 of outlets to allow sampling at those points. Table 1 provides a brief description of each  
214 water sample.

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**Table 1. Description of water samples**

<b>Sampling points</b>	<b>Location</b>	<b>Sample description</b>
<b>BHAF002—BHAF004</b>	Water source	Raw water directly from boreholes labelled in the OMC document as AF002, AF003 and AF004
<b>TUAF003—TUAF004</b>	Treatment units	Filtered, disinfected water from Aquatec filtration units attached to boreholes AF003 and AF004
<b>SP001—SP010</b>	User installations	Water from public standpipes after storage and distribution

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For each sampling point, 3 samples were taken at monthly intervals (i.e. one sample per month for 3 months). The samples were collected with sterile bottles and stored in an ice chest to halt or slow down any microbial activity [21]. They were then transported to the Central Regional laboratory of the GWCL in Cape Coast, where the analyses were performed by competent laboratory personnel of the company. A fourth round of samples was taken from only the standpipes purposefully to obtain an idea of the level of residual chlorine in the distribution network after bacteriological contamination was detected in some of the samples.

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#### **2.3.4.2 Water quality parameters and experimental procedures**

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Laboratory investigations were conducted to establish the bacteriological safety and physical (aesthetic) quality of water (pH, colour, turbidity). The adequacy of disinfection (residual chlorine) was only assessed upon the detection of bacteriological contamination. Available resources did not allow the assessment of the chemical parameters (such as iron) which would have improved the quality of the results. Bacteriological quality was assessed in terms of total coliforms using the multiple tube method (MPN), following procedures described in the *Standard Methods for the Examination of Water and Wastewater* [21]. Samples that tested positive in the initial presumptive test were subjected to further examination to establish whether the contamination was from a faecal origin by confirming the presence of *E. coli*. The Mettler Toledo pH meter was used for pH measurement, the HANNA turbidimeter for turbidity and the Hach DR 2800 spectrophotometer for colour measurement following Standard Methods [21]. Residual chlorine was measured directly in the field using the DPD (N, N-diethylparaphenylenediamene) colorimetric method [21].

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#### **2.4 Data Analysis**

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Comprehensiveness of existing WSP was assessed by analysing how the content of the system-specific documents addressed the key components of a formal WSP as recommended by CWSA [16] and WHO [8], namely:

- water system description
- identification of hazards and risks that the water supply is or may be exposed to
- water safety control measures against hazards and risks
- monitoring mechanisms for control measures

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Actual water quality control practices identified through structured observations and in-depth interviews were compared to those required of scheme managers by the OMC document in

256 order to assess the level of compliance. Results on water quality testing were compared to  
257 Ghana Standards Authority (GSA) standards and WHO guidelines.

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### 259 **3. RESULTS AND DISCUSSION**

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#### 261 **3.1 Comprehensiveness of Existing WSPs**

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263 Even though the OMC document and system design reports were found to contain some  
264 aspects of a WSP, no formal WSP was specifically prepared for the AFSTWSS. The content  
265 of these documents were reviewed and summarised below to demonstrate how they  
266 address the key components of a formal WSP [8, 16].

267

##### 268 **3.1.1 Description of water system**

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270 Details of the water supply system extracted from system design report and data files as well  
271 as the OMC have been summarised in Appendix B. They include type of water source and  
272 abstraction infrastructure, water treatment systems, transmission, storage and distribution  
273 infrastructure as well as water demand and types of service connections. Although  
274 appreciable details have been documented, some information that are highly relevant for  
275 understanding the water safety hazards and risks associated with the system were not  
276 documented. For instance, no information was provided on the land use pattern and types  
277 of human activities within the catchment areas of the boreholes. Similarly, there was no  
278 documentation of the types of sanitation facilities and their distances from the boreholes,  
279 among other details recommended by WHO [8]. Such details are needed to guide the  
280 identification of potential hazards.

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##### 282 **3.1.2 Identification of hazards and risks**

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284 Available documents do not contain any list of hazards or risks that may be associated with  
285 the system. However, the design of the system and the local environment could pose a  
286 number of risks and hazards that would be expected in a comprehensive WSP. A notable  
287 risk was the presence of dead ends (i.e. stand-alone pipe ends that are not looped to other  
288 pipes to allow continuous flow) in the distribution network. Dead ends are noted for high  
289 residence times, absence of residual disinfectants and optimum conditions for corrosion,  
290 which combine to create a favourable environment for bacterial regrowth [22]. Another  
291 technical risk was the possible failure of the filtration and disinfection units that would  
292 adversely affect the bacteriological and aesthetic quality of the water. Furthermore, a  
293 potential environmental hazard is the use of on-site sanitation systems such as pit latrines in  
294 the Assin Fosu Township [20] that could lead to faecal contamination of groundwater  
295 resources.

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##### 297 **3.1.3 Water safety control measures against hazards and risks**

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299 The OMC document specified an operation and maintenance (O&M) schedule to be followed  
300 by the system operator. A summary of the schedule is presented in Appendix C. The O&M  
301 schedule specifies specific tasks to be performed on the various system components and  
302 the frequency (rate) at which they are to be carried out. However, no targeted hazards or  
303 risks were specified for the documented O&M activities; they were provided as conventional  
304 small-scale water supply O&M practices to protect the quality of water and to keep facilities  
305 in good condition. The failure to precede the development of the O&M schedule with  
306 identification of system-specific risks led to the omission of some crucial tasks. For instance,  
307 the presence of dead ends in the distribution network required the inclusion of tasks such as

308 spot flushing [23] or unidirectional flushing [24, 25] to control biofilm and sediment  
309 accumulation at the dead ends. However, no specific tasks were included in the  
310 maintenance schedule to manage these risks.

311

### 312 **3.1.4 Monitoring mechanisms**

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314 Documented monitoring mechanisms were mainly internal operational monitoring activities  
315 to be carried out by the system operator. No verification monitoring by an external agent  
316 was included in the OMC document. Table 2 summarises the monitoring activities expected  
317 to be carried out by the system operator.

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320 **Table 2: Water safety monitoring activities**

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Item	Means of verification	Target/operational limit
Water quality testing	Water quality reports	Ghana Standards Authority requirements
Environmental cleanliness around system components	Annual technical audits	100%

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323 Specific water quality parameters to be monitored were not specified in the OMC document  
324 (see Table 2). Similarly, specific indicators to assess the cleanliness of the catchment areas  
325 of system components were not specified; no details were provided to clarify the meaning of  
326 the '100%' target.

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### 328 **3.1.5 General comments on the comprehensiveness of existing WSPs**

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330 The omission of some key components of a formal WSP in the existing documents could be  
331 attributed to the fact that they were simply not prepared as WSPs. Even though the mere  
332 preparation of a WSP may not necessarily lead to full implementation, it would be a  
333 significant step towards protection of water safety by making sure every water supply system  
334 has a documented WSP, at least on paper. In this regard, the CWSA should exercise its  
335 regulatory powers to demand that the planning and implementation of every rural water  
336 supply project in Ghana includes the preparation of a formal WSP just like the legal  
337 requirement for the preparation of an environmental impact statement for all major land  
338 development projects in the country.

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### 340 **3.2 Compliance to existing water quality control and monitoring schedules**

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342 Table 3 summarises findings on actual practice of documented water safety control  
343 measures and monitoring activities.

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**Table 3. Summary of actual water quality control and monitoring practices**

<b>System component</b>	<b>Specific task</b>	<b>Specified schedule in OMC</b>	<b>Actual reported schedule</b>	<b>Time elapsed since task was last performed</b>	<b>Remarks on status of task and observation made</b>
Water source	Borehole blowing	Once in 5 years	Never done before	Not applicable	Not due to be performed
	Repair of pump leakages	Annually	When leakages occur	8 months	No leakages were observed but surroundings were bushy
Treatment	Backwashing of filtration units	Daily	Daily	Less than 12 hours	Not overdue
	Checking of leakages at filtration unit joints/valves	Daily	Daily	Less than 12 hours	Not overdue No leakages were observed
	Regeneration of filtration units	Monthly	1—2 times every 2 months	7 weeks	Overdue: OMC not strictly followed
	Cleaning of chlorine dosing chamber and filter	Monthly	Once in 8 weeks	6 weeks	Overdue: OMC not strictly followed
	Fixing of leakages and cracks in chlorine dosing system	Monthly	When leakages occur	Not applicable	No leakages or cracks were observed
Transmission, storage and distribution	Repairs of structural defects and leakages in storage tanks	Monthly	When defects or leakages occur	6 days	No structural defects or leakages were observed
	Cleaning and disinfection of tank	Biannually	Quarterly	2 months	Not overdue
	Repair of transmission and	Quarterly	When faults occur	1 week	No installations requiring

	distribution pipe installations				repairs were observed
User installations	Cleaning of standpipe platform area	Weekly	Weekly	Less than 1 week for all stand pipes	Not overdue but there was sand/dirt on 6 out of 10 observed
	Repair of structural defects on standpipe platform and drains system	Monthly	When defects occur	Two weeks	No structural defects or blocked drains were observed
Monitoring of water quality	Internal monitoring of water quality	Quarterly	Every 2 months or after receiving complaints	5 weeks	Not overdue
	Water quality testing by a recognised laboratory	Biannually	Biannually	13 months	Overdue

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UNDER PEER REVIEW

350 The results on actual water safety control and monitoring activities show that the system  
351 operator failed to comply with the schedule for some operation and maintenance tasks that  
352 are key to the protection of water safety in the system. For instance, the regeneration of the  
353 Aquatec filtration unit was found to be done 1–2 times in 8 weeks instead of once every  
354 month. At the time of enquiry, it had been in operation for 7 weeks without regeneration.  
355 Although the composition of the packaged filter medium and any special pollutant it could  
356 remove were not documented, it was revealed by a staff of the system operator that it had  
357 been packaged to remove iron from the groundwater. Thus, failure to regenerate it within  
358 the recommended period of time could lead to high levels of iron and, consequently,  
359 impartation of colour and taste to the water [26]. Deterioration in these aesthetic quality  
360 parameters could negatively affect consumer confidence and compel some of them to resort  
361 to other unimproved sources of water. Besides this, failure to clean the chlorine dosing  
362 chamber and filter according to schedule could affect the efficiency of the chlorination  
363 process which is the system's main protection against bacteriological contamination [27].  
364 Although the operator undertook regular internal monitoring of the level of iron, it was found  
365 that a more comprehensive water quality testing by a recognised laboratory had not been  
366 undertaken biannually as stipulated by the OMC and generally required by the CWSA [16].

367  
368 The lapses observed in the performance of operation and maintenance tasks could be  
369 attributed to inadequate supervision by the Community's WSMT, the Municipal Assembly's  
370 Water and Sanitation Development Board and the CWSA as a regulatory body. It is also an  
371 indication of potential challenges in implementing a more comprehensive WSP in the future.  
372 This underscores the need for effective participation by the Community's WSMT or other  
373 community representatives in system monitoring activities as recommended by WHO [8]. As  
374 the closest and the most affected stakeholder, members of the community should be  
375 oriented to demand accountability from the system operator. The CWSA should therefore  
376 sensitise beneficiaries of small-scale water supply systems in Ghana on the advantages of  
377 the water safety planning approach as part of a national effort to introduce this approach into  
378 the rural water sub-sector in Ghana.

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### 380 **3.3 Quality of Water Supplied**

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382 Table 4 presents the quality of water sampled from various points within the water supply  
383 system. The results indicate that water delivered to consumers at some points in the  
384 distribution system fails in some of the water quality parameters that were examined.

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#### 386 **3.3.1 pH**

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388 The pH of all borehole and standpipe samples fell within the GSA required range of 6.5—8.5  
389 [16] with the exception of one standpipe where a pH of 9.2 was recorded. Although the pH  
390 of another standpipe (8.4) exceeded the WHO guideline value of 8.0 [2], it fell within the  
391 range of the GSA. No scientific explanation could be deduced for the high pH of 9.2  
392 recorded at one of the standpipes. Studies conducted in other rural water supply systems in  
393 Ghana reported lower pH levels. At Obuasi in the neighbouring Ashanti Region which is  
394 located 81 km from Assin Fosu, Ewusi [28] reported average pH levels of 5.01—5.33 while  
395 Rossiter [29], in a nationwide survey, reported pH levels as low as 3.69 in some boreholes in  
396 Ghana though the overall average was 6.32. Similarly, a mean pH of 6.34 has been  
397 measured in ten districts/municipalities/metropolitan areas (including Assin Fosu) in the  
398 Central Region [30]. Generally, the pH of groundwater is influenced by the nature of the  
399 geology of the area [31] which is reported to be granitoids in Assin Fosu with an average  
400 groundwater pH of 6.04 [32].

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**Table 4. Results of water quality analyses**

Sampling points	pH			Colour (Pt-Co)			Turbidity (NTU)			Total coliforms/ E. coli (per 100 ml)			Residual chlorine (mg/l)
	S1	S2	S3	S1	S2	S3	S1	S2	S3	S1	S2	S3	
<b>Raw water from boreholes</b>													
BHAF002	6.5	7.2	7.4	9	0	0	2.5	4.3	1.4	N	N	N	Not applicable
BHAF003	6.6	7.7	8.0	102	142	71	15.0	27.5	12.7	N	N	N	Not applicable
BHAF004	6.6	7.7	8.0	282	221	123	37.0	35.2	20.7	N	N	N	Not applicable
Average	6.6	7.5	7.8	131.0	121	64.7	18.2	22.3	11.6	-	-	-	Not applicable
<b>Filtered water from Aquatec filtration units</b>													
TUAF03	6.5	7.3	7.7	94	110	103	15.0	20.6	19.9	N	N	N	Not applicable
TUAF04	6.7	7.3	8.0	101	110	108	17.0	18.0	17.7	N	N	N	Not applicable
Average	6.6	7.3	7.9	97.5	110	105.5	16.0	19.3	18.8	-	-	-	Not applicable
<b>Final water from public standpipes</b>													
SP001	6.5	7.1	7.3	11	0	0	2.9	8.7*	4.2	N	N	N	0.1
SP002	6.5	7.0	9.2*	7	21*	38*	4.8	6.2*	10.3*	N	N	N	0.1
SP003	6.6	7.2	7.2	2	60*	97*	4.0	7.0*	20.9*	N	PP*	N	0.1
SP004	7.1	6.6	7.8	28*	1	10	4.2	2.3	6.5*	N	N	N	0.1
SP005	6.6	7.3	7.6	2	0	79*	4.2	8.7*	12.1*	N	P*	N	0.1
SP006	6.5	7.3	7.9	22*	0	256*	3.5	5.9*	19.9*	P*	PP*	PP*	0.3
SP007	6.6	7.0	7.4	2	22*	91*	4.0	6.2*	19.9*	N	N	N	0.1
SP008	6.9	7.0	7.6	1	11	21*	1.4	2.4	5.3*	PP*	PP*	N	0.1
SP009	6.5	6.9	7.7	2	76*	30*	4.0	10.3*	8.1*	P*	P*	N	0.2
SP010	6.6	7.0	8.4*	1	22*	0	3.1	4.1	2.7	PP*	N	N	0.1
Average	6.6	7.0	7.8	7.8	21.3*	62.2*	3.6	6.2*	11.0*	-	-	-	0.13

GSA standard	6.5-8.5	15	5	0.00 (not detected)	0.2 (minimum)
WHO guideline	6.5-8.0	15	5	0.00 (not detected)	0.2—0.5

405 S1, S2, S3 = Sampling days 1, 2 and 3; N = Negative (total coliform not detected); P = Positive (total coliform detected); PP = Positive  
406 confirmatory test (*E. coli* detected); \* = Results of final water from standpipes which do not meet GSA/WHO guidelines

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407 **3.3.2 Colour and turbidity**

408

409 Colour and turbidity are among the parameters that define the aesthetic quality of water [33].  
410 High levels of colour were observed in the raw water. The average colour level for the  
411 boreholes for the 3 sampling dates ranged between 64.4 and 131 Pt-Co, as compared to a  
412 guideline value of 15 Pt-Co [16], and an average of 7.7 Pt-Co reported for boreholes in the  
413 Densu basin of Ghana [34]. Similarly, the levels of turbidity were high, with averages  
414 ranging between 11.6 and 22.3 NTU and a maximum of 35.2 NTU being recorded. These  
415 exceed the guideline value of 5 NTU [2, 16] and an average of 2.6 NTU reported by  
416 Amoako, Karikari [34] for the Densu basin. Nevertheless, Schafer [35] and Rossiter [29]  
417 reported much higher turbidity levels in boreholes studied throughout Ghana, with their  
418 respective maximum turbidity levels reaching as high as 266 and 629.7 NTU. High levels of  
419 colour and turbidity could be indicative of ageing boreholes or the presence of iron. Even  
420 though the concentration of iron was not measured in this study due to resource constraints,  
421 the more likely cause of high colour and turbidity levels could be the presence of iron since  
422 the boreholes were less than five years old. Moreover, Asante-Annor [32] reported high  
423 level of colour, turbidity and iron in groundwater from Assin Fosu and attributed the results to  
424 the geological formation of the area. The high iron levels in the groundwater may have  
425 necessitated the installation of the Aquatec filtration units.

426

427 It was expected that the high colour and turbidity of the raw water would be reduced to the  
428 recommended levels after undergoing treatment in the filtration units but the reduction  
429 observed was marginal and inconsistent as seen in Table 4. This could be attributed to  
430 inefficient filtration which could primarily result from poor management of the filtration units.  
431 The management of the filtration units was one of the areas where the stipulated operational  
432 (regeneration) schedule was not strictly followed.

433

434 From the filters to the user installations (standpipes) the colour and turbidity of the water  
435 generally improved but the averages for the 2<sup>nd</sup> and 3<sup>rd</sup> batches of samples taken from the  
436 standpipes failed to meet the GSA and WHO guidelines [2]. The reduction could be  
437 attributed to settling in the reservoirs and the pipelines, a phenomenon which explains the  
438 sharp deterioration in colour and turbidity whenever operation is restarted after a shut down.  
439 High levels of colour and turbidity may not in itself be harmful to human health but could  
440 provide shelter for microorganisms and consequently affect the efficiency of the disinfection  
441 process. In addition, high levels of colour and turbidity negatively affect consumer  
442 confidence and perception of the actual quality of the water [16, 33] and may also provide  
443 shelter for microorganisms affecting t. It should therefore be well managed to ensure that  
444 the customers do not resort to unprotected sources of water that may be aesthetically  
445 appealing but bacteriologically unsafe.

446

447 **3.3.3 Bacteriological safety**

448

449 The raw water from the boreholes was found to be free from bacteriological contamination  
450 as generally expected of groundwater [36]. However, samples from 6 out of 10 standpipes  
451 tested positive in the presumptive (total coliform) test on, at least, one of the 3 sampling  
452 dates. Of these, 4 tested positive in the confirmatory (*E. coli*) test, which is an indication of  
453 faecal contamination, on one or more sampling dates. The proportion of samples  
454 contaminated with *E. coli* (40%) is fairly consistent with earlier reports that 43% of improved  
455 water sources in Ghana are not free from *E. coli* [4]. However, investigations conducted on  
456 borehole water samples from other small-scale water supplies in Ghana have reported  
457 varied results. Adetunde and Glover [37] reported the presence of total and faecal coliforms  
458 in some water samples collected from both boreholes and standpipes on the Navrongo  
459 Campus of the University of Development Studies in northern Ghana. In another study,

460 Arnold, VanDerslice [38] reported the presence of total coliform in 1 out of 10 borehole  
461 samples in rural Ashanti Region but no faecal contamination (*E. coli*) was detected.  
462 However, that same study reported the presence of total coliform in all 18 samples taken  
463 from public standpipes, with *E. coli* being detected in 11 of the samples. The poor  
464 bacteriological quality of water samples recorded in this study is also consistent with results  
465 of high bacteriological contamination (43%) of similar water sources reported in Ghana by  
466 UNICEF/WHO [4].  
467

468 Generally, bacterial regrowth in water results from biological processes such as biofilm  
469 development on pipe walls [39], bio-corrosion of pipe material [40], nitrification [41] as well  
470 as physical factors such as pipe breaks, permeation of contaminated water through porous  
471 pipe joints, absence of effective backflow devices and cross-connection with wastewater or  
472 other fluids [42, 43, 44]. As noted earlier, biological processes that lead to bacterial growth  
473 are notably favoured by the presence of dead ends in the distribution system [22]. This  
474 could be a major contributory factor in this study since 3 of the 4 standpipes where  
475 confirmatory tests proved positive were located close to dead ends.  
476

477 Another major cause of bacterial growth in drinking water is inadequate disinfection [27].  
478 Following the detection of bacteriological pollution, a single round of sampling from the 10  
479 standpipes was done to assess the level of residual chlorine in the distribution system. The  
480 results showed that samples from only 2 standpipes met the minimum (0.2 mg/l) of residual  
481 chlorine required [2, 16]. It is recalled that, the management of the chlorine dosing system is  
482 among the maintenance tasks which were found not to be executed according to the  
483 schedule provided in the OMC.  
484

485 Beside the low levels of residual chlorine, the high turbidity and colour could interfere with  
486 the efficiency of the disinfection process [45]. It is therefore important for the filtration units  
487 to be well managed to improve upon the levels of turbidity since its impact on the water  
488 quality goes beyond aesthetics to affect disinfection efficiency. It is also important for the  
489 dead ends in the distribution systems to be flushed regularly in order to minimise the  
490 conditions which favour bacterial growth.  
491

492

#### 493 **4. CONCLUSION**

494

495 The AFSTWSS does not have a comprehensive water safety plan prepared according to the  
496 general framework provided by Ghana's Community Water and Sanitation Agency or the  
497 model recommended by the WHO. Existing documents do not provide some details of the  
498 system components that are relevant for water safety planning and also fail to identify  
499 potential sources of hazards and risks in the system. Furthermore, documented water safety  
500 control measures are not linked to system-specific risks and hazards, as expected in the  
501 conventional practice of water safety planning. Even though a general operation and  
502 maintenance schedule was developed, it does not include control measures that would  
503 address some system-specific risks such as the management of dead ends in the  
504 distribution system. Actual operation and maintenance practices failed to comply with the  
505 recommended schedule for some key water quality control and monitoring activities. Such  
506 activities include regeneration of filtration units, maintenance of a chlorine dosing system as  
507 well as water quality testing by a recognised laboratory. Under the existing water safety  
508 management regime, the quality of water delivered to the consumers at some points in the  
509 distribution system failed to meet the GSA and WHO limits for some parameters. Colour  
510 and turbidity were found to be high. Final drinking water from fifty per cent of samples taken  
511 from public standpipes had levels of colour exceeding the GSA/WHO threshold of 15 Pt-Co  
512 to as high as 60 Pt-Co and above on at least one of three sampling dates. For turbidity, 60%

513 of the water samples from public standpipes exceeded the GSA/WHO threshold of 5 NTU on  
514 at least two of the three sampling dates, with same proportion of samples recording levels  
515 above 10 NTU on at least one sampling date. In terms of bacteriological quality, faecal  
516 contamination was detected at 40% of public standpipes on at least 1 of 3 sampling dates.  
517

## 518 **5. RECOMMENDED POLICY DIRECTION**

519

520 Development and implementation of WSPs is key in ensuring that water of acceptable  
521 quality is supplied to consumers at all times. The Assemblies' in collaboration with CWSA  
522 should build the capacity of technical staff at the District/Municipal Assemblies in the  
523 performance of this activity. The CWSA may also consider making it a legal requirement for  
524 every rural water supply project in Ghana to include the preparation of a WSP so that  
525 consultants assigned to such projects would assist the respective District Assemblies in this  
526 exercise.  
527

528 To ensure that operators of rural water supply schemes in Ghana commit themselves to any  
529 WSP to be prepared for their systems, the CWSA should sensitise beneficiary communities  
530 of rural water supply projects on the importance of the water safety planning approach and  
531 the role they can play to support the system operator in following a WSP. Also, the various  
532 District Water and Sanitation Teams and the Regional Offices of the CWSA should ensure  
533 effective supervision of the activities of system operators.  
534

535 There is also the need to repeat this study in other rural water supply systems in Ghana to  
536 obtain a broader understanding of the opportunities and challenges for implementing the  
537 water safety planning approach to water quality management in Ghana's rural water sub-  
538 sector.  
539

540

## 541 **COMPETING INTERESTS**

542

543 Authors have declared that no competing interests exist  
544

545

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## APPENDICES

### Appendix A – Selected System Photographs



Plate 2 Water source – borehole and pumping station – at Assin Fosu (Credit: Authors)

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Plate 3 An Aquatec filtration unit installed in the AFSTWSS (Source: ANMA [20])

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Plate 4. Service reservoirs of the AFSTWSS (a) Elevated aluminium reservoir; (b) Ground-level concrete reservoir (Source: ANMA [20])

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## Appendix B – Summary of Description of the Water Supply System

System component	Description	Other details provided
<b>Water source</b>	<b>Type of resource:</b> Groundwater <b>Abstraction infrastructure:</b> 4 mechanised boreholes Average depth: 74.3m Average test yield: 48.3 m <sup>3</sup> /h	Location of boreholes Depth and test yield of individual boreholes Casing diameter of each borehole
	<b>Pumping system:</b> 4 pumps of unspecified type Total discharge: 112.5 m <sup>3</sup> /h for 16 hours per day Average head: 129.5 m <b>Power source:</b> National grid	Discharge and head of each pump
<b>Treatment</b>	<b>Type of treatment system:</b> 3 Aquatec filtration units* (See Plate 2 in Appendix A)	No further details provided
<b>Transmission, storage and distribution</b>	<b>Transmission mains:</b> Dedicated transmission with no draw-off into distribution Number of different pipe sizes: 5 Average outer diameter: 122 mm Total length: 9.1 km Pipe material: High density polyethylene Pipe class: Pressure number (PN) 16	Diameter and length of each transmission main
	<b>Storage tanks:</b> 2 aluminium (elevated) and 1 concrete (ground) tanks (See Plate 3 in Appendix A) Total service capacity: 480 m <sup>3</sup> Height of elevated tanks: 12m	Location of each storage tank Service capacity of each storage tank
	<b>Distribution network:</b> Number of different pipe sizes: 7 Average diameter: 116 mm Total length: 52.3 km Pipe material: High density polyethylene Pipe class: Pressure number (PN) 10	Length and diameter of each distribution line
<b>User installations</b>	<b>Water demand:</b> Design horizon: 10 years (2006—2016) Design population: 47,200 (2016) Daily peak water demand: 1800 m <sup>3</sup>	No further details provided
	<b>Types of service connections:</b> Public standpipes: 46 Large institutions with bulk connection: 11 Private household connections: 127 Fire hydrants: 2	

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\*The Aquatec filtration unit is pre-packaged for the removal of iron from the groundwater. Water from the boreholes passes through the unit before transmission into the storage reservoirs.

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**Appendix C - Documented Operation and Maintenance tasks to be performed by the System Operator**

System component	Specified tasks	Expected Frequency
Water source	<b>Abstraction infrastructure (borehole):</b>	
	<ul style="list-style-type: none"> <li>Borehole blowing</li> </ul>	Every 5 years
Treatment	<b>Pumping system:</b>	
	<ul style="list-style-type: none"> <li>Pump testing</li> </ul>	Every 5 years
	<ul style="list-style-type: none"> <li>Servicing of pumps by accredited pump agent</li> </ul>	Annually
	<ul style="list-style-type: none"> <li>Inspect pump house and repair cracks</li> </ul>	Annually
	<ul style="list-style-type: none"> <li>Repair leakages and other damages</li> </ul>	Annually
	<ul style="list-style-type: none"> <li>Carry out painting</li> </ul>	Monthly
	<ul style="list-style-type: none"> <li>Inspect electrical installations and replace defective ones</li> </ul>	
Transmission, storage and distribution	<b>Aquatec filtration units:</b>	
	<ul style="list-style-type: none"> <li>Backwashing</li> </ul>	Daily
	<ul style="list-style-type: none"> <li>Check for leakage at joints and valves</li> </ul>	Daily
	<ul style="list-style-type: none"> <li>Regeneration</li> </ul>	Monthly
	<b>Disinfectant dosing system:</b>	
	<ul style="list-style-type: none"> <li>Clean filter and dosing chamber</li> </ul>	Monthly
	<ul style="list-style-type: none"> <li>Check for leakage and cracks</li> </ul>	Monthly
	<b>Service reservoirs:</b>	
	<ul style="list-style-type: none"> <li>Inspect structure and repair structural defects and leakages</li> </ul>	Monthly
	<ul style="list-style-type: none"> <li>Remove rusts and paint structure</li> </ul>	Annually
<ul style="list-style-type: none"> <li>Drain, clean and disinfect inside of tank</li> </ul>	Twice a year	
User installations	<b>Transmission and distribution pipelines:</b>	
	<ul style="list-style-type: none"> <li>Inspect transmission and distribution pipe routes</li> </ul>	Quarterly for all tasks
	<ul style="list-style-type: none"> <li>Repair pipe installations</li> </ul>	
	<ul style="list-style-type: none"> <li>Refill earth depressions</li> </ul>	
	<ul style="list-style-type: none"> <li>Remove trees and roots</li> </ul>	
	<b>Surge vessels:</b>	
	<ul style="list-style-type: none"> <li>Check and correct pressures if necessary</li> </ul>	Monthly
	<b>Standpipes:</b>	
	<ul style="list-style-type: none"> <li>Cleaning the platform area</li> </ul>	Weekly
	<ul style="list-style-type: none"> <li>Check for small erosion and protect if necessary</li> </ul>	Weekly
<ul style="list-style-type: none"> <li>Check and repair structural defects</li> </ul>	Monthly	
<ul style="list-style-type: none"> <li>Check and repair cracks in drains</li> </ul>	Monthly	
<b>Meters:</b>		
<ul style="list-style-type: none"> <li>Check operations and if necessary repair</li> </ul>	Monthly	
<b>Valves and taps:</b>		
<ul style="list-style-type: none"> <li>Keep access free</li> </ul>	Annually	
<ul style="list-style-type: none"> <li>Check operations and if necessary repair</li> </ul>	Annually	

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