

**DETERMINANTS OF SUCCESSFUL TUBERCULOSIS TREATMENT
OUTCOME IN A STATE UNIVERSITY TEACHING HOSPITAL IN
SOUTH EAST, NIGERIA: A 5 YEAR RETROSPECTIVE STUDY.**

Abstract

Aim: The 2018 World Health Organization Global Tuberculosis Report enlisted Nigeria as one of the seven countries worldwide that accounted for 64% of all new cases of tuberculosis. The aim of this study was to assess the magnitude and determinants of tuberculosis treatment outcomes at a Tertiary Hospital in South East, Nigeria.

Study design: Retrospective cohort

Place and Duration: The Enugu State University Teaching Hospital Directly Observed Treatment Center, between April 2009 and March 2013.

Methods: Completely filled data were extracted from tuberculosis treatment cards and registers of 445 clients (255 males, 190 females; age range 0-90 years) who assessed care at the DOTS clinic. Tuberculosis treatment success outcome is defined as cured or completed TB treatment.

Results: Of 445 registered patients, majority were males (57.3%), lived in urban areas (68.1%), and were newly diagnosed (97.8%). Of the 93.7% with pulmonary tuberculosis, 62% were smear negatives cases (62%). While the male to female ratio of the clients was 1.3:1, their mean age was 42 ± 16.7 years. About half (50.6%) had chest x-ray findings that were not diagnostic of Tuberculosis. While TB/HIV co-infection rate was 32.8%; 16% and 19.3% had commenced anti-retroviral and co-trimoxazole preventive treatment respectively.

24 Treatment success was reported in 67.9% of all clients and was associated with being older
25 than 14 year (AOR=12.0, 95% CI: 2.5 – 58.0) and having positive chest findings (AOR = 2.6,
26 95% CI: 0.3-0.6).

27 **Conclusions:** The TB success rate in was 67.9% Being older than 14 years, having PTB and
28 positive chest x ray findings were the predictors of good treatment outcome in this study.
29 There is an urgent need to track and report the treatment outcome of patients who are lost to
30 follow up since they constitute 20% of missed cases in this center.

31
32 **Keywords:** Tuberculosis, treatment outcomes, Nigeria, Tertiary hospital

34 **Introduction**

35 Tuberculosis (TB) is a preventable and curable air borne bacterial infection that primarily
36 affects the lungs. ^[1] It is one of the top ten causes of death worldwide and a major killer of
37 people living with HIV. ^[2] Over 95% of all deaths occur in Asia and Africa as a result of
38 wide spread poverty, poor living conditions with high population density, and reduced
39 immunity especially from HIV/AIDS. ^[3-4] It is among the top 5 causes of deaths among
40 women aged 15- 44 years and children below 15 years. ^[5-6] In 2018, there were 10 million
41 recorded cases of tuberculosis and 1.3 million deaths of which 0.3 million were attributable to
42 HIV globally. ^[2] An estimated 54 million people were saved through diagnosis and treatment
43 between 2000 and 2018. ^[2] It is therefore imperative that national notification and vital
44 registration systems be strengthened towards the goal of direct measurement of TB incidence
45 and mortality in all countries. ^[2]

46 Nigeria, with an estimated population of 180 million people, has a huge burden of
47 tuberculosis. The 2018 World Health Organization Global Tuberculosis Report enlisted
48 Nigeria as one of the seven countries worldwide that accounted for 64% of all new cases of
49 tuberculosis with an estimated tuberculosis incidence and mortality rates of 219 and 63 cases
50 per 100000. ^[2] While 14% of all TB patients were HIV positive, 85% were on antiretroviral
51 therapy and 39% of all HIV positive people newly enrolled in care were on TB preventive
52 treatment. ^[2] The treatment success rate for new and relapsed TB cases registered in 2017
53 were 86% and 77% in HIV positive TB cases. ^[7]

54 Tuberculosis treatment outcome varies significantly within countries, nearby localities and
55 treatment centers. Factors that have been reported to influence successful treatment outcome
56 in studies include sociodemographic, clinical, diagnostic, presence of co-morbidities, sputum
57 smear results prior to treatment, delay in treatment, radiographic findings as well as
58 hospitalizations. ^[8] Due to the highly infectious nature of TB there is need to screen all
59 symptomatic patients and monitor their treatment at various DOTS centers in order to
60 improve treatment outcomes. This study was aimed at accessing the pattern and determinants
61 of TB treatment outcomes among patients that were seen at a DOTs facility at the Enugu
62 State University Teaching Hospital between 2009-2013.

63 **Definition of Terms**

64 *Treatment Outcome.* The treatment outcome was divided into six categories according to
65 Nigeria National Tuberculosis and Leprosy Control Programme (NTLCP) guideline. ^[9] These
66 categories were as follows:

- 67 (i) *Cured.* Finishing treatment with negative bacteriology result at the end of treatment.
- 68 (ii) *Completed Treatment.* Finishing treatment but without bacteriology result at the end of
69 treatment.

- 70 (iii) *Failure*. Remaining smear positive at five months despite correct intake of medication.
- 71 (iv) *Loss to Follow Up*. Patients who interrupted their treatment for two consecutive
72 months or more after registration.
- 73 (v) *Died*. Patients who died from any cause during the course of treatment.
- 74 (vi) *Not evaluated*. Patients whose treatment outcomes were unassigned. This includes
75 'transferred out' when the result is unknown to the reporting unit.
- 76 (vii) *Moved to 2nd –line treatment register*. Patients whose clinical isolate became
77 rifampicin resistant at any time during treatment and were moved to second line
78 medications.

79 In line with WHO criteria, treatment outcomes were categorized into the following:

- 80 (i) *Successful Treatment Outcome*. If TB patients were cured (i.e., negative smear microscopy
81 at the end of treatment) or completed treatment without a bacteriologically confirmed result.
- 82 (ii) *Unsuccessful Treatment Outcome*. If treatment of TB patients resulted in treatment failure
83 (i.e., remaining smear positive after 5 months of treatment), loss to follow up (i.e., patients
84 who interrupted their treatment for two consecutive months or more after registration), or
85 death.

86 **Materials and Methods:**

87 The study was carried out at the Enugu State University Teaching Hospital Parklane Enugu
88 (ESUTH) approved Directly Observed Treatment Center. ESUTH is a state owned health
89 institution that evolved from a nursing home in 1930 for the colonial masters to a teaching
90 hospital status in June 2006.^[10] It is located in the capital of old Eastern region, and current
91 Enugu state in south-east, Nigeria, with estimated population of 722, 664 people according
92 to the 2006 National census.^[11] ESUTH provides tuberculosis screening, treatment and
93 support at its DOTS center. Diagnosis and treatment of TB patients at the site is in line with

94 the NTBLCP guidelines. The DOTS center treats both pulmonary and extra-pulmonary TB
95 cases. All presumptive TB cases submit two sputum samples by using early morning and
96 spot approach for sputum smear microscopy as well as HIV screening. In January 2017,
97 gene-Xpert was introduced for diagnosis and is currently the first line of diagnosis.

98 **Study Design**

99 A retrospective cohort review was conducted at the DOTs center of the Enugu State
100 University Teaching Hospital Parklane Enugu using TB registers and treatment cards of 500
101 patients who accessed TB services between April 2009 and March 2013. Only 445 patients
102 (89%) who had complete records were included in the study, 55 patients were excluded.

103 **Ethical Approval**

104 Ethical approval was obtained from Health Research Ethics Committee of the Enugu State
105 University Teaching Hospital (ESUTH), Enugu.

106 **Data Analysis**

107 Data collected from 445 TB patients was analyzed using IBM Statistical Package for Social
108 Sciences (SPSS) version 22. For the purpose of this analysis, treatment outcome was
109 categorized as favorable (cured and treatment completed) and non-favorable (lost to follow
110 up, died, treatment failure and transferred out with no documented outcome). Descriptive and
111 inferential analysis were carried out using SPSS. While, Bivariate analysis was used to assess
112 the association between tuberculosis treatment outcomes and sociodemographic factors with
113 a cut off p value of 0.05, multivariate analysis was carried out for associating factors with a p
114 value of less than 0.2.

115 **Results:**

116 Table 1 shows the socio-demographic characteristics of the clients. The mean age of the
 117 respondents was 42.0 ± 16.7 years. Majority of the respondents were males (57.3%), lived in
 118 urban areas (68.1%) and had pulmonary tuberculosis (93.7%). About half (50.6%) had chest
 119 x-ray findings that were not diagnostic of Tuberculosis. While TB/HIV co-infection rate was
 120 32.8%; 16% and 19.3% had commenced anti-retroviral and co-trimoxazole preventive
 121 treatment respectively. (Table 1).

122

123 **Table 1: Characteristics of Tuberculosis Patients**

Variables	Frequency (n= 455)	Percent
Gender		
Male	255	57.3
Female	190	42.7
Age of Clients (years)		
0-14	11	2.5
15-24	47	10.6
25-39	160	36.0
40-59	153	34.4
>60	74	16.6
Mean Age of Clients	42.01 ± 16.7	
Location		
Urban	303	68.1
Rural	142	31.9
Type of Patient		
New	435	97.8
Relapse	10	2.2
Site of Tuberculosis		
PTB	415	93.3
EP	30	6.7
Result of Smear test		
Positive	169	38.0
Negative	274	62.0
CXR findings		
Positive	220	49.4
Negative	225	50.6
HIV status		
Positive	146	32.8
Negative	266	59.8
Unknown	33	7.4

ART initiation for HIV positive TB patients	n= 146	
Yes	71	48.7
No	75	51.3
CPT initiation for HIV positive TB patients	n= 146	
Yes	86	59.0
No	60	41.0

124 CPT- cotmoxazole preventive treatment, ART – antiretroviral treatment, CXR – chest X ray

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127 Table 2 shows that while a quarter of the registered clients (25.4%) had achieved a treatment
 128 cure based on bacteriological evidence 42.5% had completed treatment, but had no
 129 bacteriological evidence to confirm cure, 20% were lost to follow up, 8.8% had died, 2.9%
 130 had not been evaluated (transferred out of the facility) and 0.4% had been moved to 2nd line
 131 treatment register. When treatment outcomes were graded as successful or not according to
 132 WHO guidelines, about a third (32.1%) of all registered clients had not achieved a successful
 133 treatment outcome. (Table 2)

134

135 **Table 2: Outcome of Tuberculosis Treatment**

Variables	Frequency (n= 455)	Percent
Cure	113	25.4
Treatment completed	189	42.5
Treatment failure	2	0.4
Dead	39	8.8
Loss to follow up	89	20.0
Not evaluated	13	2.9

Treatment Outcome graded into success and non-success.

Treatment success	302	67.9
Treatment non-success	143	32.1

136 Treatment success = treatment completed +cure.

137 Treatment non- success = others

138

139 Table 3 highlights the factors and predictors associated with successful tuberculosis treatment
 140 outcome. The respondents with positive radiographic chest findings were 2.6 times more
 141 likely to have a successful treatment outcome when compared to client's negative chest
 142 findings (AOR = 2.6, 95% CI: 0.25-0.58). Also, clients that were older than 14 years were 12
 143 times more likely to have a successful treatment outcome when compared with pediatric
 144 clients (AOR=12.0, 95% CI: 2.5 – 58.0)

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Table 3: Factors affecting tuberculosis treatment outcomes.

Variables	Tuberculosis Treatment Outcome (n = 455)		P value	AOR (95% CI) on multivariate analysis
	TB Success N (%)	TB non-success N (%)		
Gender				
Male	169(66.3)	86(33.7)	0.405	NA
Female	133(70.0)	57(30.0)		
Result of CXR findings				
Positive	171(77.7)	49(22.3)	<0.001	2.6(0.3 - 0.6) 1
Negative	131(58.2)	94(41.8)		
Age of clients				
≥15	300(69.1)	134(30.9)	<0.001	12.0(2.5 – 58.0) 1
≤14	2(18.2)	9(81.8)		
Site of Tuberculosis				
PTB (lungs)	278(67.0)	137(33.0)	0.141	1.4 (0.2 - 2.7) 1
EP (outside the lungs)	24(80.0)	6(20.0)		
Type of Patient				
New	296(68.0)	139(32.0)	0.722	NA
Relapse	6(60.0)	4(40.0)		

149 NA means not applicable

150

151 **Discussion**

152 About 70.4% of all reported cases of TB in this study were more likely to be found in clients
153 between 25-59 years old than other age categories. This is consistent with results from similar
154 studies carried out in high burden countries like Bangladesh, Kenya and India but dissimilar
155 to results from some Asian countries like China, Vietnam and Cambodia that reported more
156 cases of TB among elderly patients.^[12-15] Even though this finding is suggestive of a very
157 high burden of TB among the economically productive segment of the population, this
158 prevalence could be justified by the fact that this group is sexually, physiologically, socially
159 and behaviorally active and may freely interact with other infectious individuals.

160 Less than half (38.0%) of all patients had a smear positive finding in this study. However, all
161 smear positive patients in this study had a successful treatment outcome. This finding is in
162 accordance with similar studies carried out by Ogbudebe et al in Abia State, but dissimilar to
163 findings from a study carried out in Zaira where more smear negative patients were more
164 likely to have better treatment outcomes.^[16-17] This difference could be accounted for the
165 high sero-conversion rates that occurs soon after commencement of anti- tuberculosis
166 medications in these patients.

167 In this study, successful treatment outcome categorized as clients who were cured and or
168 completed treatment was recorded as 67.9 %. This is lower than the National Tuberculosis
169 Leprosy Control Program and the WHO target of 85%. The finding of this study is similar to
170 results reported from Ethiopia with a treatment success rate of 60.1%.¹⁸ Similar studies from
171 countries like South Africa, Somalia, Zaira, and states in Nigeria like Oyo and Imo states
172 with success rates of 80%, 81.8%, 80.2% 76.9% and 81.4% respectively, also did not
173 achieve the WHO recommended treatment success rates.^[19,3,17,20,8] However, studies from
174 the USA, Afar State in Ethiopia and Abia State in Nigeria revealed that they achieved the
175 WHO treatment success rate of 97.8%, 86.8% and 88.5%.^[22,21,16] The high success treatment
176 rate in these countries could be because health care workers were on hand to directly observe

177 clients taking their medications while the poor outcome in this study could have arisen from
178 the exclusion of transfer-out patient since large numbers of transfer out patients could
179 compromise the treatment success rate as this group was included in the denominator.

180 This study revealed that one third of all TB patients were HIV positive (32.8%). This is
181 significantly greater than national results obtained from the WHO Global Report of 2018
182 with a rate of 14%.^[2] While, this result is consistent with findings from Chad and Tanzania
183 with co-infection rates of 33.2% and 43.6%,^[23,24] it is dissimilar from findings from
184 Maiduguri in Northern Nigeria, Thailand, Brazil, and Kelantan in Malaysia with co-infection
185 rates of 19%,19%, 13%, and 12% respectively^[17, 25,26,27.] This finding from this study could
186 be related to immune reconstitution syndrome (IRIS), pill burden, increase in adverse events
187 and drug- drug interactions.

188 Patients who were more than 14 years of age were about 12 times more likely to have
189 successful treatment outcomes. While, this finding is collaborated by a hospital-based study
190 carried out in Egypt and Pakistan whereby patients older than fifteen years of age had the
191 favorable treatment outcome (p value <0.05), it is dissimilar to findings from other studies
192 where the pediatric age category had better outcomes than the older population.^[28, 29, 17] The
193 poor treatment outcome observed in this study could be as a result of the developing
194 immunity or nourishment status of this population.

195 Patients who presented with positive chest x ray findings were found to be about 2.6 times
196 more likely to have a successful treatment outcome when compared with those with negative
197 chest findings. This is similar to a study in Tanzania that found that patients with cavities on
198 chest X ray had better treatment outcomes but dissimilar to a study in Egypt where patients
199 with advanced disease on chest X ray had worse outcomes. A different study in Malaysia
200 revealed no significant associations between patients with chest x ray findings and treatment

201 outcomes.^[30,28,27] This finding could be as result of the different variations in chest X ray
202 interpretation among radiologists and radiographers.

203 **Limitations:** Since the data for this study were retrieved from TB treatment cards and
204 registers, this constrained us to using only the information found in the records. However, the
205 Tuberculosis and leprosy (TBL) officers from the local council where the health facility is
206 domiciled and the monitoring and evaluation TB officer at the State ministry of Health
207 routinely cross-check the registers and make recommendations. The unavailability of gene X
208 pert machine and drug susceptibility testing during the study period for definitive diagnosis
209 and management of TB may have impacted on the available results. There was a high rate of
210 clients who were lost to follow up in this study, this may be from poor tracking of cases by
211 the DOTS center staff. Transfer-out patients should have had their results obtained and
212 documented in our registers for future analysis and follow-up.

213 **Conclusion and Recommendations**

214 The TB success rate in this state-owned tertiary facility was 67.9%. This is below the 85%
215 success rate set by WHO and Nigeria NTBLP. Being older than 14 years and having positive
216 chest X ray findings were the only predictors of successful treatment outcome in this study.
217 There is an urgent need to track and report the treatment outcome of patients who are lost to
218 follow up since they constitute 20% of missed cases in this center. A need for yearly clinical
219 audits in this facility is required in order to help the program to systematically improve
220 treatment outcomes and implement appropriate recommendations.

221 **Conflicting Interest**

222 The authors hereby declare that there is no conflicting interest.

223 **Data Availability**

224 The data used to support the findings of this study are available from the corresponding
225 author upon request and permission from the Enugu State University Teaching Hospital
226 DOTS treatment center.

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