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3 **Water safety planning and implementation in a**
4 **Ghanaian small-scale water supply system**

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8 **ABSTRACT**

This study looked at the Assin Fosu Small Town Water Supply System in Ghana to verify whether the operation of the scheme is based on a comprehensive water safety plan and how the practice of water safety planning affects the quality of water delivered to the consumers. The study employed document reviews, structured observations, interviews and laboratory analysis of water samples. System design data files and an Operation and Management Contract document were reviewed along with in-depth interviews with key stakeholders of the water supply system. Structured observations were made to assess the management practices of the system managers. Three rounds of sampling of water were done at monthly intervals from 10 randomly selected public standpipes, 3 boreholes and 2 filtration units. Samples were analysed to assess their bacteriological safety and aesthetic (physical) quality (turbidity and colour). Upon detection of bacteriological contamination, the adequacy of disinfection was assessed by measuring the levels of residual chlorine. It was found that the recommended schedule for some key documented water quality control and monitoring activities were not complied with. Consequently, the quality of water delivered to consumers at several public standpipes failed to meet the WHO guidelines for drinking water. Forty percent (40%) of all samples were found with faecal contamination, with 60% and 50% exceeding the WHO's guideline levels for turbidity and colour respectively. It is recommended that the Community Water and Sanitation Agency in Ghana intensifies on-going efforts at ensuring that small-scale water supply systems in the country are managed with comprehensive water safety plans to prevent microbial contamination which could pose significant health risks to the consumers.

9
10 *Keywords: Ghana; small-scale water systems; water safety planning; water quality*

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13 **1. INTRODUCTION**

14
15 The safety of drinking water is an important subject due to the direct impact it has on the
16 health and productivity of the populace [1]. It has been observed that reductions in adverse
17 health effects and healthcare costs yield a net economic benefit on investments in water
18 supply and sanitation [2]. Furthermore, the attainment of the Sustainable Development Goal
19 (SDG) 6, which aims to “ensure availability and sustainable management of water and
20 sanitation for all” is recognised as a key prerequisite to the realisation of other SDGs [3].

21
22 The global Millennium Development Goal (MDG) target for drinking water was achieved in
23 2010, with 2.6 billion people gaining access to improved drinking water sources since 1990
24 [4]. Ghana is classified among the countries that achieved the MDG target for drinking
25 water. Official data collected in 2017 – 2018 revealed that the national water coverage
26 (basic and limited) stood at 86% [5], up from 56% in 1990 [4]. A significant aspect of
27 Ghana's progress in potable water supply is the bridging of the gap that existed between the
28 rural and urban populations in 1990. In 1990, only 39% of Ghana's rural population had
29 access to improved water sources as compared to 84% among the urban population.

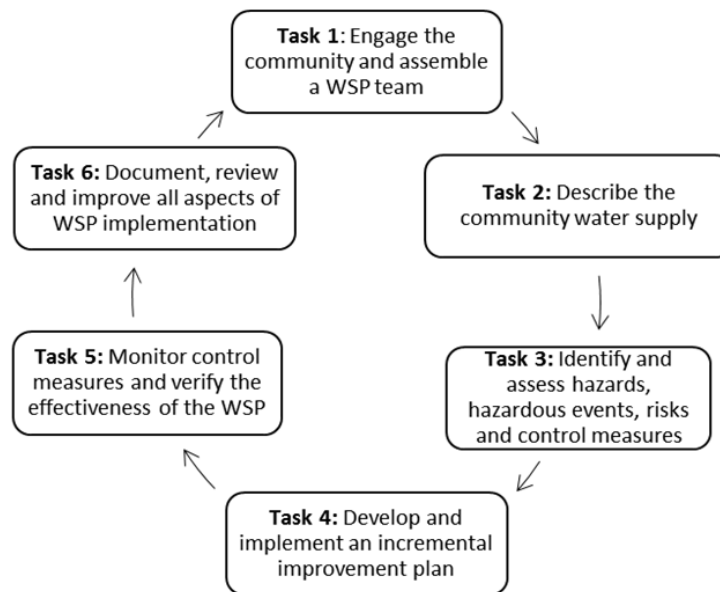
30 However, current data estimates the rural and urban water coverage to be 77% and 96%
31 respectively [5]. This progress has been fuelled by the development of small-scale water
32 supply systems as part of a National Community Water and Sanitation Programme
33 (NCWSP) that was launched in 1994 [6]. This was followed up with the establishment of a
34 Community Water and Sanitation Agency (CWSA) in 1998 with a mandate to facilitate the
35 provision of safe water and related sanitation and hygiene services in rural communities and
36 small towns [7].

37
38 Ghana's example highlights the role small-scale water supply systems played in achieving
39 the MDG target on drinking water and their potential in achieving the SDG 6. Generally, the
40 term *small-scale water supply* is frequently associated or used interchangeably with the
41 terms *community water supplies* [8] *rural water supplies* [9] or *small town water supplies*
42 [10], which are generally distinct from large-scale conventional water supplies by their
43 relatively smaller size and complexity. Nevertheless, they are usually defined by specific
44 legislative criteria such as the population served, quantity of water supplied, number of
45 service connections or the type of technology used [11]. For instance, in Ghana, the CWSA
46 uses the population served as a basis for classification of the systems. Those that serve
47 populations up to 2000 are described as *Small Community* systems while those that serve
48 2000 to 50,000 are described as *Small Town* systems [12].

49
50 While the World anticipated the attainment of the MDG target for drinking water, which
51 primarily focused on access to some 'improved sources of water' [13], concerns over the
52 actual safety of water that is consumed around the world began to gain attention. In
53 response to this, the WHO and UNICEF's Joint Monitoring Programme (JMP) piloted the
54 Rapid Assessment of Drinking Water Quality (RADRWQ) survey in five countries (Jordan,
55 Tajikistan, Nicaragua, Nigeria and Ethiopia) between 2006 and 2010 to assess the water
56 safety compliance of selected 'improved water sources'. The results of the RADRWQ
57 showed microbial contamination of supposedly improved water sources in all five countries
58 while chemical contamination with fluoride was recorded in four countries [14]. Concerns
59 over the quality of water supplied from water sources that are considered to be improved
60 have also been raised in Ghana. The CWSA recognises the existence of some naturally
61 occurring water quality challenges such as high salinity in groundwater in the southern part
62 of the country, fluoride in the north and iron in various parts of the country [6]. Beside the
63 chemical contamination, bacteriological contamination has also been reported among some
64 43% of improved water sources that were sampled in Ghana [4].

65
66 Such reports of contamination of improved water sources have, undoubtedly, informed the
67 definition of the global indicator for the drinking water target of the SDG: the "proportion of
68 population using *safely managed* drinking water services" [3]. The emphasis on '*safely*
69 *managed*' services underscores the relevance of water safety planning and implementation
70 in water supply systems. The World Health Organisation (WHO) defines a water safety plan
71 (WSP) as a "*comprehensive risk assessment and risk management approach that*
72 *encompasses all steps in water supply from catchment to consumer*" [2]. Its main objective
73 is to prevent the raw water source from contamination, remove contamination through
74 treatment processes and to prevent the re-contamination of the treated water during storage,
75 distribution and handling at the point of use [15].

76
77 Even though a WSP is expected to be developed for all water supply systems, its relevance
78 is more emphasised in small-scale water supply systems which have been found to be at a
79 greater risk of breakdown and contamination [8]. For such systems, the WHO [8] provides a
80 six-step cycle of tasks (shown in Fig. 1) for carrying out the above-mentioned key actions
81 involved in a WSP. Detailed processes and procedures for carrying out each task are also
82 discussed by the WHO [8].



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Fig. 1. Water safety plan continuous improvement cycle
Source: WHO [8]

90 In Ghana's community and small-town water supply systems, the CWSA's approach to water
91 safety planning is similar to that of the WHO [8]. They both emphasise identification of
92 hazards and specific actions to address each hazard from catchment to point of use.
93 Detailed steps and actions to ensure the delivery of safe water in Ghana's small-scale water
94 supply systems have been published by the CWSA in its document *Water Safety Framework*
95 [16]. The Water Safety Framework (WSF) provides general guidelines to aid the
96 development of specific WSPs by managers of individual water systems. However, not
97 much knowledge exists on the actual preparation and implementation of water safety plans
98 by managers of the individual systems to safeguard the quality of water delivered to
99 consumers. In other words, although some success has been achieved in hardware
100 installations to increase the coverage of rural water supply, not much is known about how
101 the quality of the water is being managed, and how water quality management or water
102 safety planning is affecting the final product being consumed by rural and small-town
103 dwellers.

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105 This study was conducted on the Assin Fosu Small Town Water Supply System (AFSTWSS)
106 in the Central Region of Ghana primarily to assess the practice of water safety planning in
107 the system and how it affects the quality of water served to the consumers. However, with a
108 report in 2015 indicating that nearly half (43%) of Ghana's water sources classified as
109 improved were not free from faecal contamination [4], the CWSA has recently intensified its
110 regulatory activities in ensuring that all small-scale water supply systems comply with its
111 WSF. This recent emphasis being laid on WSP has motivated sharing the findings of this
112 study which was conducted in 2014 as part of an unpublished MSc thesis to provide a
113 baseline situation against which the impact of recent intervention may be assessed. It is
114 anticipated that the findings of this study will inform current interventions to improve upon the
115 practice of water safety planning in the study area and other communities to safeguard the
116 health of the people.

136 The Municipal Capital, Assin Fosu, is among a number of otherwise urban centres in Ghana
 137 that are served by rural or community water supply systems due to inadequate capacity of
 138 the main urban utility, the Ghana Water Company Limited (GWCL), to serve those urbanised
 139 townships. With respect to sanitation, data collected in 2009 indicated that only 22% of the
 140 Municipality's inhabitants had access to private toilets in their houses. The remaining 78%
 141 comprise 71% who patronise public or communal toilets and 7% who practise open
 142 defecation [18]. In terms of sanitation technologies, many inhabitants depend on on-site dry
 143 sanitation systems such as pit latrines, with only a few using water closets.
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145 The AFSTWSS involves the use of boreholes to extract groundwater, which is given a partial
 146 treatment by passing it through a pre-packaged filtration unit. The water is then pumped into
 147 two elevated and one ground service reservoirs and distributed under gravity. Fig. 3 shows
 148 the process flow diagram for the system. Selected system photographs are presented as
 149 Appendix A.
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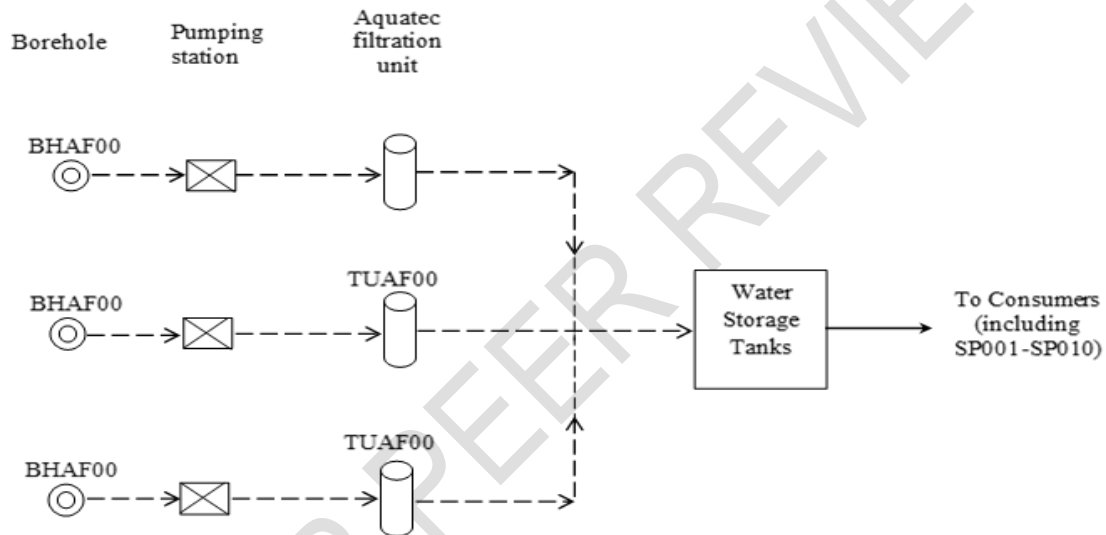


Fig. 3. Process flow diagram for the water system

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2.2 Study Design

The study was designed to:

- i. qualitatively verify the existence of a WSP for the AFSTWSS and whether any existing plans make adequate or comprehensive provisions for water safety protection
- ii. qualitatively assess whether the water system operator complies with any existing WSP
- iii. quantitatively and qualitatively establish whether the water supplied to consumers under existing water quality management practices meets relevant quality standards

2.3 Data Collection Methods

The research employed the following qualitative and quantitative methods in the collection of data:

173 **2.3.1 Document review**

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175 Document review was done to obtain secondary data on documented system-specific water
176 safety management practices and procedures. Documents reviewed included system
177 design data files [21] and an Operation and Management Contract (OMC) document [22]
178 signed between the Municipal Assembly and a private limited liability company which
179 operated and managed the water supply system at the time of the study. Ghana's national
180 Water Safety Framework (WSF) for community water supply systems [16] was particularly
181 useful. It provided general guidelines on issues related to the provision of safe water to
182 meet water quality targets set by the Ghana Standards Authority for domestic water supply.
183 The WHO's methodology for water safety planning [8] was also reviewed to serve as a basis
184 for assessing the comprehensiveness of existing water safety plans.
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186 **2.3.2 Structured observation**

187 Observations were made to assess the management practices of the system managers. An
188 observation guide was developed to verify whether system-specific and generic water safety
189 control measures were adhered to by the system managers. Observations were made on
190 the operation and management of boreholes, treatment units, transmission and distribution
191 lines and public standpipes. Direct observation made it easier to understand responses
192 provided by interview respondents and to obtain a first-hand knowledge of existing water
193 safety control measures and monitoring activities.
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195 **2.3.3 In-depth interviews**

196 In-depth interviews were conducted with representatives of the private operator and the
197 Community's Water and Sanitation Management Team (WSMT), an official of the CWSA
198 and the Desk Officer of the Municipal Assembly's Water and Sanitation Development Board.
199 Where relevant, information provided by the system operators were cross-checked through
200 enquiries at the Municipal Water and Sanitation Desk and the Regional Office of the CWSA
201 in Cape Coast. The interviews focused on how the documented operation and maintenance
202 activities were being carried out, the challenges being encountered and reasons for any
203 observations that were not in conformity with the documented operation and maintenance
204 practices and schedules.
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206 **2.3.4 Water quality testing**

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208 **2.3.4.1 Sampling**

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210 Samples were collected from:

- 211 • ten (10) stand-pipes randomly selected from 10 out of 12 suburbs covered by the
- 212 water supply system
- 213 • three (3) boreholes in operation at the time of the study
- 214 • the exit of two (2) treatment (filtration) units that were in operation at the time of the
- 215 study

216 Samples were not taken from service reservoirs and the distribution network due to absence
217 of outlets to allow sampling at those points. Table 1 provides a brief description of each
218 water sample. For each sampling point, 3 samples were taken at monthly intervals (i.e. one
219 sample per month for 3 months). The samples were collected with sterile bottles and stored
220 in an ice chest to halt or slow down any microbial activity [23]. They were then transported
221 to the Central Regional laboratory of the GWCL in Cape Coast, where the analyses were
222 performed by competent laboratory personnel of the company. A fourth round of samples
223 was taken from only the standpipes purposefully to obtain an idea of the level of residual
224 chlorine in the distribution network after bacteriological contamination was detected in some
225 of the samples.

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Table 1. Description of water samples

Sampling points	Location	Sample description
BHAF002—BHAF004	Water source	Raw water directly from boreholes labelled in the OMC document as AF002, AF003 and AF004
TUAF003—TUAF004	Treatment units	Filtered, disinfected water from Aquatec filtration units attached to boreholes AF003 and AF004
SP001—SP010	User installations	Water from public standpipes after storage and distribution

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2.3.4.2 Water quality parameters and experimental procedures

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Laboratory investigations were conducted to establish the bacteriological safety and physical (aesthetic) quality of water (pH, colour, turbidity). The adequacy of disinfection (residual chlorine) was only assessed upon the detection of bacteriological contamination. Available resources did not allow the assessment of the chemical and other physical parameters (particularly iron) which would have improved the quality of the results. Bacteriological quality was assessed in terms of total coliforms using the multiple fermentation tube or most probable number (MPN) technique, following procedures described in the *Standard Methods for the Examination of Water and Wastewater* [23]. Samples that tested positive in the initial presumptive test were subjected to further examination to establish whether the contamination was from a faecal origin by confirming the presence of *E. coli*. The Mettler Toledo pH meter was used for pH measurement, the HANNA turbidimeter for turbidity and the Hach DR 2800 spectrophotometer for colour measurement following Standard Methods [23]. Residual chlorine was measured directly in the field using the DPD (N, N-diethylparaphenylenediamene) colorimetric method [23].

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2.4 Data Analysis

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Comprehensiveness of existing WSP was assessed by analysing how the content of the system-specific documents addressed the key components of a formal WSP as recommended by CWSA [16] and WHO [8], namely:

- water system description
- identification of hazards and risks that the water supply is or may be exposed to
- water safety control measures against hazards and risks
- monitoring mechanisms for control measures

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Actual water quality control practices identified through structured observations and in-depth interviews were compared to those required of scheme managers by the OMC document in order to assess the level of compliance. Results on water quality testing were compared to Ghana Standards Authority (GSA) standards and WHO guidelines.

266 **3. RESULTS AND DISCUSSION**

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268 **3.1 Comprehensiveness of Existing WSPs**

269

270 Even though the OMC document and system design reports were found to contain some
271 aspects of a WSP, no formal WSP was specifically prepared for the AFSTWSS. The content
272 of these documents were reviewed and summarised below to demonstrate how they
273 address the key components of a formal WSP [8, 16].

274

275 **3.1.1 Description of water system**

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277 Details of the water supply system extracted from system design report and data files as well
278 as the OMC have been summarised in Appendix B. They include type of water source and
279 abstraction infrastructure, water treatment systems, transmission, storage and distribution
280 infrastructure as well as water demand and types of service connections. Although
281 appreciable details have been documented, some information that are highly relevant for
282 understanding the water safety hazards and risks associated with the system were not
283 documented. For instance, no information was provided on the land use pattern and types
284 of human activities within the catchment areas of the boreholes. Similarly, there was no
285 documentation of the types of sanitation facilities and their distances from the boreholes,
286 among other details recommended by WHO [8]. Such details are needed to guide the
287 identification of potential hazards.

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289 **3.1.2 Identification of hazards and risks**

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291 Available documents do not contain any list of hazards or risks that may be associated with
292 the system. However, the design of the system and the local environment could pose a
293 number of risks and hazards that would be expected in a comprehensive WSP. A notable
294 risk was the presence of dead ends (i.e. stand-alone pipe ends that are not looped to other
295 pipes to allow continuous flow) in the distribution network. Dead ends are noted for high
296 residence times, absence of residual disinfectants and optimum conditions for corrosion,
297 which combine to create a favourable environment for bacterial regrowth [24]. Another
298 technical risk was the possible failure of the filtration and disinfection units that would
299 adversely affect the bacteriological and aesthetic quality of the water. Furthermore, a
300 potential environmental hazard is the use of on-site sanitation systems such as pit latrines in
301 the Assin Fosu Township [20] that could lead to faecal contamination of groundwater
302 resources.

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304 **3.1.3 Water safety control measures against hazards and risks**

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306 The OMC document specified an operation and maintenance (O&M) schedule to be followed
307 by the system operator. A summary of the schedule is presented in Appendix C. The O&M
308 schedule specifies specific tasks to be performed on the various system components and
309 the frequency (rate) at which they are to be carried out. However, no targeted hazards or
310 risks were specified for the documented O&M activities; they were provided as conventional
311 small-scale water supply O&M practices to protect the quality of water and to keep facilities
312 in good condition. The failure to precede the development of the O&M schedule with
313 identification of system-specific risks led to the omission of some crucial tasks. For instance,
314 the presence of dead ends in the distribution network required the inclusion of tasks such as
315 spot flushing [25] or unidirectional flushing [26, 27] to control biofilm and sediment
316 accumulation at the dead ends. However, no specific tasks were included in the
317 maintenance schedule to manage these risks.

318

319 **3.1.4 Monitoring mechanisms**

320

321 Documented monitoring mechanisms were mainly internal operational monitoring activities
322 to be carried out by the system operator. No verification monitoring by an external agent
323 was included in the OMC document. Table 2 summarises the monitoring activities expected
324 to be carried out by the system operator.

325

326 **Table 2: Water safety monitoring activities**

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Item	Means of verification	Target/operational limit
Water quality testing	Water quality reports	Ghana Standards Authority requirements
Environmental cleanliness around system components	Annual technical audits	100%

328

329 Specific water quality parameters to be monitored were not specified in the OMC document.
330 Similarly, specific indicators to assess the cleanliness of the catchment areas of system
331 components were not specified; no details were provided to clarify the meaning of the '100%'
332 target.

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334 **3.1.5 General comments on the comprehensiveness of existing WSPs**

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336 The omission of some key components of a formal WSP in the existing documents could be
337 attributed to the fact that they were simply not prepared as WSPs **in accordance with the**
338 **WHO's methodology**. Even though the mere preparation of a WSP may not necessarily lead
339 to full implementation, it would be a significant step towards protection of water safety by
340 making sure every water supply system has a documented WSP, at least on paper. In this
341 regard, the CWSA should exercise its regulatory powers to demand that the planning and
342 implementation of every rural water supply project in Ghana includes the preparation of a
343 formal WSP just like the legal requirement for the preparation of an environmental impact
344 statement for all major land development projects in the country.

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346 **3.2 Compliance to Existing Water Quality Control and Monitoring Schedules**

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348 Table 3 summarises findings on actual practice of documented water safety control
349 measures and monitoring activities. It can be observed from the table that the system
350 operator failed to comply with the schedule for some operation and maintenance tasks that
351 are key to the protection of water safety in the system. For instance, the regeneration of the
352 Aquatec filtration unit was found to be done 1–2 times in 8 weeks instead of once every
353 month. At the time of enquiry, it had been in operation for 7 weeks without regeneration.
354 Although the composition of the packaged filter medium and any special pollutant it could
355 remove were not documented, it was revealed by a staff of the system operator that it had
356 been packaged to remove iron from the groundwater. Thus, failure to regenerate it within
357 the recommended period of time could lead to high levels of iron and, consequently,
358 impartation of colour and taste to the water [28]. Deterioration in these aesthetic quality
359 parameters could negatively affect consumer confidence and compel some of them to resort
360 to other unimproved sources of water. Besides this, failure to clean the chlorine dosing
361 chamber and filter according to schedule could affect the efficiency of the chlorination
362 process which is the system's main protection against bacteriological contamination [29].
363 Although the operator undertook regular internal monitoring of the level of iron, it was found
364 that a more comprehensive water quality testing by a recognised laboratory had not been
365 undertaken biannually as stipulated by the OMC and generally required by the CWSA [16].

Table 3. Summary of actual water quality control and monitoring practices

System component	Specific task	Specified schedule in OMC	Actual reported schedule	Time elapsed since task was last performed	Remarks on status of task and observation made
Water source	Borehole blowing ¹	Once in 5 years	Never done before	Not applicable	Scheduled time not due
	Repair of pump leakages	Annually	When leakages occur	8 months	No leakages were observed but surroundings were bushy
Treatment	Backwashing of filtration units	Daily	Daily	Less than 12 hours	Not overdue
	Checking of leakages at filtration unit joints/valves	Daily	Daily	Less than 12 hours	Not overdue No leakages were observed
	Regeneration of filtration units	Monthly	1—2 times every 2 months	7 weeks	Overdue: OMC not strictly followed
	Cleaning of chlorine dosing chamber and filter	Monthly	Once in 8 weeks	6 weeks	Overdue: OMC not strictly followed
	Fixing of leakages and cracks in chlorine dosing system	Monthly	When leakages occur	Not applicable	No leakages or cracks were observed
Transmission, storage and distribution	Repairs of structural defects and leakages in storage tanks	Monthly	When defects or leakages occur	6 days	No structural defects or leakages were observed
	Cleaning and disinfection of tank	Biannually	Quarterly	2 months	Not overdue
	Repair of transmission and	Quarterly	When faults occur	1 week	No installations requiring

	distribution pipe installations				repairs were observed
User installations	Cleaning of standpipe platform area	Weekly	Weekly	Less than 1 week for all stand pipes	Not overdue but there was sand/dirt on 6 out of 10 observed
	Repair of structural defects on standpipe platform and drains system	Monthly	When defects occur	Two weeks	No structural defects or blocked drains were observed
Monitoring of water quality	Internal monitoring of water quality	Quarterly	Every 2 months or after receiving complaints	5 weeks	Not overdue
	Water quality testing by a recognised laboratory	Biannually	Biannually	13 months	Overdue

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1 The blowing of compressed air into a borehole to clean and unclog it in order to enhance its yield

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370
371 The lapses observed in the performance of operation and maintenance tasks could be
372 attributed to inadequate supervision by the Community's WSMT, the Municipal Assembly's
373 Water and Sanitation Development Board and the CWSA as a regulatory body. It is also an
374 indication of potential challenges in implementing a more comprehensive WSP in the future.
375 This underscores the need for effective participation by the Community's WSMT or other
376 community representatives in system monitoring activities as recommended by the WHO [8].
377 As the closest and the most affected stakeholder, members of the community should be
378 oriented to demand accountability from the system operator. The CWSA should therefore
379 sensitise beneficiaries of small-scale water supply systems in Ghana on the advantages of
380 the water safety planning approach as part of a national effort to institutionalise it into the
381 rural water sub-sector in Ghana.

382 **3.3 Quality of Water Supplied**

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384 Table 4 presents the quality of water sampled from various points within the water supply
385 system. The results indicate that water delivered to consumers at some points in the
386 distribution system failed in some of the water quality parameters that were examined.
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389 **3.3.1 pH**

390
391 The pH of all borehole and standpipe samples fell within the GSA required range of 6.5—8.5
392 [16] with the exception of one standpipe where a pH of 9.2 was recorded. Although the pH
393 of another standpipe (8.4) exceeded the WHO guideline value of 8.0 [2], it fell within the
394 range of the GSA. No scientific explanation could be deduced for the high pH of 9.2
395 recorded at one of the standpipes. Studies conducted in other rural water supply systems in
396 Ghana reported lower pH levels. At Obuasi in the neighbouring Ashanti Region which is
397 located 81 km from Assin Fosu, Ewusi [30] reported average pH levels of 5.01—5.33 while
398 Rossiter [31], in a nationwide survey, reported pH levels as low as 3.69 in some boreholes in
399 Ghana though the overall average was 6.32. Similarly, a mean pH of 6.34 has been
400 measured in ten districts/municipalities/metropolitan areas (including Assin Fosu) in the
401 Central Region [32]. Generally, the pH of groundwater is influenced by the nature of the
402 geology of the area [33] which is reported to be granitoids in Assin Fosu with an average
403 groundwater pH of 6.04 [34].
404

405 **3.3.2 Colour and turbidity**

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407 Colour and turbidity are among the parameters that define the aesthetic quality of water [35].
408 High levels of colour were observed in the raw water. The average colour level for the
409 boreholes for the 3 sampling dates ranged between 64.4 and 131 Pt-Co, as compared to a
410 guideline value of 15 Pt-Co [16], and an average of 7.7 Pt-Co reported for boreholes in the
411 Densu basin of Ghana [34]. Similarly, the levels of turbidity were high, with averages
412 ranging between 11.6 and 22.3 NTU and a maximum of 35.2 NTU being recorded. These
413 exceed the guideline value of 5 NTU [2, 16] and an average of 2.6 NTU reported by
414 Amoako, Karikari [36] for the Densu basin. Nevertheless, Schafer [37] and Rossiter [31]
415 reported much higher turbidity levels in boreholes studied throughout Ghana, with their
416 respective maximum turbidity levels reaching as high as 266 and 629.7 NTU. High levels of
417 colour and turbidity could be indicative of ageing boreholes or the presence of iron. Even
418 though the concentration of iron was not measured in this study due to resource constraints,
419 the more likely cause of high colour and turbidity levels could be the presence of iron since
420 the boreholes were less than five years old. Moreover, Asante-Annor [34] reported high
421 level of colour, turbidity and iron in groundwater from Assin Fosu and attributed the results to

422 the geological formation of the area. The high iron levels in the groundwater may have
423 necessitated the installation of the Aquatec filtration units.

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Table 4. Results of water quality analyses

Sampling points	pH			Colour (Pt-Co)			Turbidity (NTU)			Total coliforms/ E. coli (per 100 ml)			Residual chlorine (mg/l)
	S1	S2	S3	S1	S2	S3	S1	S2	S3	S1	S2	S3	
Raw water from boreholes													
BHAF002	6.5	7.2	7.4	9	0	0	2.5	4.3	1.4	N	N	N	Not applicable
BHAF003	6.6	7.7	8.0	102	142	71	15.0	27.5	12.7	N	N	N	Not applicable
BHAF004	6.6	7.7	8.0	282	221	123	37.0	35.2	20.7	N	N	N	Not applicable
Average	6.6	7.5	7.8	131.0	121	64.7	18.2	22.3	11.6	-	-	-	Not applicable
Filtered water from Aquatec filtration units													
TUAF03	6.5	7.3	7.7	94	110	103	15.0	20.6	19.9	N	N	N	Not applicable
TUAF04	6.7	7.3	8.0	101	110	108	17.0	18.0	17.7	N	N	N	Not applicable
Average	6.6	7.3	7.9	97.5	110	105.5	16.0	19.3	18.8	-	-	-	Not applicable
Final water from public standpipes													
SP001	6.5	7.1	7.3	11	0	0	2.9	8.7*	4.2	N	N	N	0.1
SP002	6.5	7.0	9.2*	7	21*	38*	4.8	6.2*	10.3*	N	N	N	0.1
SP003	6.6	7.2	7.2	2	60*	97*	4.0	7.0*	20.9*	N	PP*	N	0.1
SP004	7.1	6.6	7.8	28*	1	10	4.2	2.3	6.5*	N	N	N	0.1
SP005	6.6	7.3	7.6	2	0	79*	4.2	8.7*	12.1*	N	P*	N	0.1
SP006	6.5	7.3	7.9	22*	0	256*	3.5	5.9*	19.9*	P*	PP*	PP*	0.3
SP007	6.6	7.0	7.4	2	22*	91*	4.0	6.2*	19.9*	N	N	N	0.1
SP008	6.9	7.0	7.6	1	11	21*	1.4	2.4	5.3*	PP*	PP*	N	0.1
SP009	6.5	6.9	7.7	2	76*	30*	4.0	10.3*	8.1*	P*	P*	N	0.2
SP010	6.6	7.0	8.4*	1	22*	0	3.1	4.1	2.7	PP*	N	N	0.1
Average	6.6	7.0	7.8	7.8	21.3*	62.2*	3.6	6.2*	11.0*	-	-	-	0.13

GSA standard	6.5-8.5	15	5	0.00 (not detected)	0.2 (minimum)
WHO guideline	6.5-8.0	15	5	0.00 (not detected)	0.2—0.5

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*S1, S2, S3 = Sampling days 1, 2 and 3; N = Negative (total coliform not detected); P = Positive (total coliform detected); PP = Positive confirmatory test (E. coli detected); * = Results of final water from standpipes which do not meet GSA/WHO guidelines*

UNDER PEER REVIEW

428

429 It was expected that the high colour and turbidity of the raw water would be reduced to the
430 recommended levels after undergoing treatment in the filtration units but the reduction
431 observed was marginal and inconsistent as seen in Table 4. This could be attributed to
432 inefficient filtration which could primarily result from poor management of the filtration units.
433 The management of the filtration units was one of the areas where the stipulated operational
434 (regeneration) schedule was not strictly followed.

435

436 From the filters to the user installations (standpipes) the colour and turbidity of the water
437 generally improved but the averages for the 2nd and 3rd batches of samples taken from the
438 standpipes failed to meet the GSA and WHO guidelines [2]. The reduction could be
439 attributed to settling in the reservoirs and the pipelines, a phenomenon which explains the
440 sharp deterioration in colour and turbidity whenever operation is restarted after a shut down.
441 High levels of colour and turbidity may not in itself be harmful to human health but could
442 provide shelter for microorganisms and consequently affect the efficiency of the disinfection
443 process. In addition, high levels of colour and turbidity negatively affect consumer
444 confidence and perception of the actual quality of the water [16, 35]. It should therefore be
445 well managed to ensure that the customers do not resort to unprotected sources of water
446 that may be aesthetically appealing but bacteriologically unsafe.

447

448 **3.3.3 Bacteriological safety**

449

450 The raw water from the boreholes was found to be free from bacteriological contamination
451 as generally expected of groundwater [36]. However, samples from 6 out of 10 standpipes
452 tested positive in the presumptive (total coliform) test on, at least, one of the 3 sampling
453 dates. Of these, 4 tested positive in the confirmatory (*E. coli*) test, which is an indication of
454 faecal contamination, on one or more sampling dates. The proportion of samples
455 contaminated with *E. coli* (40%) is fairly consistent with reports that 43% of improved water
456 sources in Ghana are not free from *E. coli* [4]. However, investigations conducted on
457 borehole water samples from other small-scale water supplies in Ghana have reported
458 varied results. Adetunde and Glover [39] reported the presence of total and faecal coliforms
459 in some water samples collected from both boreholes and standpipes on the Navrongo
460 Campus of the University of Development Studies in northern Ghana. In another study,
461 Arnold, VanDerslice [38] reported the presence of total coliform in 1 out of 10 borehole
462 samples in rural Ashanti Region but no faecal contamination (*E. coli*) was detected.
463 However, that same study reported the presence of total coliform in all 18 samples taken
464 from public standpipes, with *E. coli* being detected in 11 of the samples.

465

466 Generally, bacterial regrowth in water results from biological processes such as biofilm
467 development on pipe walls [41], bio-corrosion of pipe material [42], nitrification [43] as well
468 as physical factors such as pipe breaks, permeation of contaminated water through porous
469 pipe joints, absence of effective backflow devices and cross-connection with wastewater or
470 other fluids [44, 45, 46]. As noted earlier, biological processes that lead to bacterial growth
471 are notably favoured by the presence of dead ends in the distribution system [24]. This
472 could be a major contributory factor in this study since 3 of the 4 standpipes where
473 confirmatory tests proved positive were located close to dead ends.

474

475 Another major cause of bacterial growth in drinking water is inadequate disinfection [29].
476 Following the detection of bacteriological pollution, a single round of sampling from the 10
477 standpipes was done to assess the level of residual chlorine in the distribution system. The
478 results showed that samples from only 2 standpipes met the minimum (0.2 mg/l) of residual
479 chlorine required [2, 16]. It is recalled that, the management of the chlorine dosing system is

480 among the maintenance tasks which were found not to be executed according to the
481 schedule provided in the OMC.

482

483 Beside the low levels of residual chlorine, the high turbidity and colour could interfere with
484 the efficiency of the disinfection process [47]. It is therefore important for the filtration units
485 to be well managed to improve upon the levels of turbidity since its impact on the water
486 quality goes beyond aesthetics to affect disinfection efficiency. It is also important for the
487 dead ends in the distribution systems to be flushed regularly in order to minimise the
488 conditions which favour bacterial growth.

489

490

491 **4. CONCLUSION**

492

493 The AFSTWSS does not have a comprehensive water safety plan prepared according to the
494 general framework provided by Ghana's Community Water and Sanitation Agency or the
495 model recommended by the WHO. Existing documents do not provide some details of the
496 system components that are relevant for water safety planning and also fail to identify
497 potential sources of hazards and risks in the system. Furthermore, documented water safety
498 control measures are not linked to system-specific risks and hazards, as expected in the
499 conventional practice of water safety planning. Even though a general operation and
500 maintenance schedule was developed, it does not include control measures that would
501 address some system-specific risks such as the management of dead ends in the
502 distribution system. Actual operation and maintenance practices failed to comply with the
503 recommended schedule for some key water quality control and monitoring activities. Such
504 activities include regeneration of filtration units, maintenance of a chlorine dosing system as
505 well as water quality testing by a recognised laboratory. Under the existing water safety
506 management regime, the quality of water delivered to the consumers at some points in the
507 distribution system failed to meet the GSA and WHO limits for some parameters. Colour
508 and turbidity were found to be high. Final drinking water from fifty per cent of samples taken
509 from public standpipes had levels of colour exceeding the GSA/WHO threshold of 15 Pt-Co
510 to as high as 60 Pt-Co and above on at least one of three sampling dates. For turbidity, 60%
511 of the water samples from public standpipes exceeded the GSA/WHO threshold of 5 NTU on
512 at least two of the three sampling dates, with same proportion of samples recording levels
513 above 10 NTU on at least one sampling date. In terms of bacteriological quality, faecal
514 contamination was detected at 40% of public standpipes on at least 1 of 3 sampling dates.

515

516

517 **5. RECOMMENDED POLICY DIRECTION**

518

519 Development and implementation of WSPs is key in ensuring that water of acceptable
520 quality is supplied to consumers at all times. The Assemblies' in collaboration with CWSA
521 should build the capacity of technical staff at the District/Municipal Assemblies in the
522 performance of this activity. The CWSA should also consider making it a legal requirement
523 for every rural water supply project in Ghana to include the preparation of a WSP so that
524 consultants assigned to such projects would assist the respective District Assemblies in this
525 exercise.

526

527 To ensure that operators of rural water supply schemes in Ghana commit themselves to any
528 WSP to be prepared for their systems, the CWSA should sensitise beneficiary communities
529 of rural water supply projects on the importance of the water safety planning approach and
530 the role they can play to support the system operator in following a WSP. Also, the various
531 District Water and Sanitation Teams and the Regional Offices of the CWSA should ensure
532 effective supervision of the activities of system operators.

533

534 There is also the need to repeat this study in other rural water supply systems in Ghana to
535 obtain a broader understanding of the opportunities and challenges for implementing the
536 water safety planning approach to water quality management in Ghana's rural water sub-
537 sector.

538

539

540 **COMPETING INTERESTS**

541

542 Authors have declared that no competing interests exist

543

544

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APPENDICES

Appendix A – Selected System Photographs



Plate 1 Water source – borehole and pumping station – at Assin Fosu (Credit: Authors)

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Plate 2 An Aquatec filtration unit installed in the AFSTWSS (Source: ANMA [20])

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Plate 3. Service reservoirs of the AFSTWSS (a) Elevated aluminium reservoir; (b) Ground-level concrete reservoir (Source: ANMA [20])

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Appendix B – Summary of Description of the Water Supply System

System component	Description	Other details provided
Water source	Type of resource: Groundwater Abstraction infrastructure: 4 mechanised boreholes Average depth: 74.3m Average test yield: 48.3 m ³ /h	Location of boreholes Depth and test yield of individual boreholes Casing diameter of each borehole
	Pumping system: 4 pumps of unspecified type Total discharge: 112.5 m ³ /h for 16 hours per day Average head: 129.5 m Power source: National grid	Discharge and head of each pump
Treatment	Type of treatment system: 3 Aquatec filtration units* (See Plate 2 in Appendix A)	No further details provided
Transmission, storage and distribution	Transmission mains: Dedicated transmission with no draw-off into distribution Number of different pipe sizes: 5 Average outer diameter: 122 mm Total length: 9.1 km Pipe material: High density polyethylene Pipe class: Pressure number (PN) 16	Diameter and length of each transmission main
	Storage tanks: 2 aluminium (elevated) and 1 concrete (ground) tanks (See Plate 3 in Appendix A) Total service capacity: 480 m ³ Height of elevated tanks: 12m	Location of each storage tank Service capacity of each storage tank
	Distribution network: Number of different pipe sizes: 7 Average diameter: 116 mm Total length: 52.3 km Pipe material: High density polyethylene Pipe class: Pressure number (PN) 10	Length and diameter of each distribution line
User installations	Water demand: Design horizon: 10 years (2006—2016) Design population: 47,200 (2016) Daily peak water demand: 1800 m ³	No further details provided
	Types of service connections: Public standpipes: 46 Large institutions with bulk connection: 11 Private household connections: 127 Fire hydrants: 2	

711 *The Aquatec filtration unit is pre-packaged for the removal of iron from the groundwater. Water from
712 the boreholes passes through the unit before transmission into the storage reservoirs.

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Appendix C - Documented Operation and Maintenance Tasks to be Performed by the System Operator

System component	Specified tasks	Expected Frequency
Water source	<p>Abstraction infrastructure (borehole):</p> <ul style="list-style-type: none"> • Borehole blowing <p>Pumping system:</p> <ul style="list-style-type: none"> • Pump testing • Servicing of pumps by accredited pump agent • Inspect pump house and repair cracks • Repair leakages and other damages • Carry out painting • Inspect electrical installations and replace defective ones • 	<p>Every 5 years</p> <p>Every 5 years</p> <p>Annually</p> <p>Annually</p> <p>Annually</p> <p>Monthly</p>
Treatment	<p>Aquatec filtration units:</p> <ul style="list-style-type: none"> • Backwashing • Check for leakage at joints and valves • Regeneration <p>Disinfectant dosing system:</p> <ul style="list-style-type: none"> • Clean filter and dosing chamber • Check for leakage and cracks 	<p>Daily</p> <p>Daily</p> <p>Monthly</p> <p>Monthly</p> <p>Monthly</p>
Transmission, storage and distribution	<p>Service reservoirs:</p> <ul style="list-style-type: none"> • Inspect structure and repair structural defects and leakages • Remove rusts and paint structure • Drain, clean and disinfect inside of tank <p>Transmission and distribution pipelines:</p> <ul style="list-style-type: none"> • Inspect transmission and distribution pipe routes • Repair pipe installations • Refill earth depressions • Remove trees and roots <p>Surge vessels:</p> <ul style="list-style-type: none"> • Check and correct pressures if necessary 	<p>Monthly</p> <p>Annually</p> <p>Twice a year</p> <p>Quarterly for all tasks</p> <p>Monthly</p>
User installations	<p>Standpipes:</p> <ul style="list-style-type: none"> • Cleaning the platform area • Check for small erosion and protect if necessary • Check and repair structural defects • Check and repair cracks in drains <p>Meters:</p> <ul style="list-style-type: none"> • Check operations and if necessary repair <p>Valves and taps:</p> <ul style="list-style-type: none"> • Keep access free • Check operations and if necessary repair 	<p>Weekly</p> <p>Weekly</p> <p>Monthly</p> <p>Monthly</p> <p>Monthly</p> <p>Annually</p> <p>Annually</p>

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