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3 **Cardiovascular complication after kidney transplantation**

4 **Abstract:**

5 Patient mortality after kidney transplantation continues to be a major clinical challenge, with  
6 approximately 1 in 5 recipients dying within 10 years of engraftment. Cardiovascular disease (CVD)  
7 is the most common cause of death after the 1-year posttransplant and it has been estimated  
8 that the risk of cardiovascular events is 50-fold higher than in the general population. Because of  
9 this, post transplant outcomes are substantially influenced by cardiovascular disease. The presence  
10 of both traditional and non-traditional risk factors contributes to this overwhelming burden of  
11 cardiovascular disease in patients with chronic kidney disease (CKD).

12 **Introduction:**

13 Patient mortality after kidney transplantation continues to be a major clinical challenge, with  
14 approximately 1 in 5 recipients dying within 10 years of engraftment [1]. Cardiovascular  
15 disease (CVD) is the most common cause of death after the 1-year posttransplant [2] and it  
16 has been estimated that the risk of cardiovascular events is 50-fold higher than in the general  
17 population [3]. Because of this, post transplant outcomes are substantially influenced by  
18 cardiovascular disease [4]. The presence of both traditional and non-traditional risk factors  
19 contributes to this overwhelming burden of cardiovascular disease in patients with chronic  
20 kidney disease (CKD)[5].

21 Atherosclerotic cardiovascular disease before kidney transplantation is three to four times  
22 more prevalent in the **End Stage Renal Disease(ESRD)** compared to the general population  
23 and has been shown to be the single most important predictor of cardiovascular mortality  
24 after transplantation [6]. In a cohort of more than 2000 primary allograft recipients, the  
25 incidence of cardiovascular events increased over time. Within 15 years of transplantation,

26 only 47% of surviving patients had not experienced any cardiovascular events [7]. Risk  
27 factors associated with cardiovascular complications were male gender, age, hypertension  
28 (HTN) before transplantation, longer duration of pretransplantation dialysis, cardiovascular  
29 event before transplantation, older era of transplantation, center-specific effect, posttransplant  
30 diabetes mellitus, increased pulse pressure after transplantation, use of corticosteroids and  
31 azathioprine, lower serum albumin after transplantation, and higher serum triglyceride levels  
32 after transplantation. The risk of death was also increased in patients with low or elevated  
33 hematocrit, while it was minimal with values of about 38% [7].

34

35 In spite of those issues, kidney transplantation has repeatedly been shown to reduce  
36 cardiovascular and all-cause mortality compared to dialysis. In renal transplant recipients,  
37 although cardiovascular mortality decreases after transplantation, the annual cardiovascular  
38 mortality still remained twofold higher than the general population and myocardial infarction  
39 is most common in elderly and diabetic patients [8]. Similarly, renal transplant recipients may  
40 have reduced risk of cerebrovascular events, and the risk of approximately 1% year incidence  
41 is still high compared to the general population [9, 10]. The elevated risk is attributed to both  
42 traditional risk factors such as hypertension, dyslipidemia, diabetes [11], and nontraditional  
43 risk factors such as immunosuppression, anemia, inflammation, and proteinuria [12, 13].

44

#### 45 1. General background for nontraditional Risk Factors

46 Several studies indicate that post-transplant CVD events are related to the exacerbation of  
47 pre-transplant risk factors [14]. Before transplant, patients with CKD and ESRD are at

48 significantly increased risk for CVD events and hospitalization [15]. Careful selection of  
49 transplant candidates from this population imply that the post-kidney transplant population  
50 would have low rates of CVD events [16]. Certainly, early post-transplant events may be  
51 related to pretransplant risk factors, but later events may be more intrinsically related to  
52 decline in allograft function. Clinically, as kidney allograft function declines, post-transplant  
53 patients develop CKD, and are greater risk of mortality from CVD events as they approach  
54 ESRD [17, 18]. This is likely related to accelerated atherosclerosis, the occurrence of post-  
55 transplant diabetes, and other factors[19].

56

57 In a recent large cohort study by Bangalore et al [20] it was found that in patients with  
58 coronary artery disease, body-weight fluctuation was associated with a significant increase in  
59 the risk of cardiovascular events and death. The magnitude of this risk increased with greater  
60 variability in body weight and among those who were overweight or obese at baseline and  
61 was independent of traditional factors related to cardiovascular risk.

62

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**Table 1. Transplant-Specific Cardiovascular Risk Factors**

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Older age

Male

Caucasian

History of DM pre-transplant or PTDM

History of cancer

Cardiovascular comorbid conditions pre-transplantation

(History of myocardial infarction (MI), coronary revascularization,

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congestive heart failure (CHF), a cerebrovascular event, or peripheral vascular disease (PVD))  
Deceased donor transplantation  
Body mass index (BMI) >30 kg/m<sup>2</sup>  
Years from ESRD to transplantation  
Delayed graft function (DGF)  
Panel-reactive antibody (PRA) titer at transplant >10%  
Acute rejection  
Post-transplant lymphoproliferative disease (PTLD)  
Low GFR post-transplantation

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63 **GFR: glomerular filtration rate**

64 2. Hypertension

65 Hypertension (HTN) is known to be a traditional risk factor for atherosclerosis which leads  
66 to premature allograft failure and death [21]. The prevalence of hypertension is  
67 approximately 70% in the kidney transplant population [22]. HTN after transplantation is  
68 associated with numerous factors that include pretransplantation HTN, cause of primary  
69 disease, and posttransplantation factors such as delayed graft function, immunosuppression  
70 therapy, rejection, transplant renal artery stenosis, acquired glomerular filtration rate (GFR),  
71 chronic immune and nonimmune injury, recurrent or de novo allograft glomerulonephritis,  
72 and weight gain. HTN is a risk factor for premature allograft failure, atherosclerosis, and  
73 death with a functioning graft [22, 23].

74 The calcineurin inhibitors (CIs) are known to disrupt the normal balance between  
75 endogenous vasodilators and vasoconstrictors leading to afferent arteriolar vasoconstriction  
76 and thus HTN. In part, this effect is mediated via activation of the sympathetic nervous  
77 system [24, 25] and also increased expression of endothelin [26]. The pathogenic role of

78 endothelin in this setting was described in this setting by administering an endothelin receptor  
79 antagonist that blunted the rise in blood pressure induced by cyclosporin A (CsA) in vivo  
80 [27]. Vasoconstriction is compounded by depressed nitric oxide induced vasodilatory activity  
81 [28]. A recent report described a novel mechanism by which CsA causes sodium retention in  
82 the thick ascending limb of the loop of Henle leading to HTN [29]. Moreover, Chiasson et al,  
83 recently showed that cyclosporine and tacrolimus alter T-cell subsets which can cause  
84 hypertension, vascular dysfunction and renal toxicity [30].

85 Steroids also elevate blood pressure via mineralocorticoid induced sodium retention. The  
86 effects are dose related, and the relatively low doses of steroids currently used after the first 6  
87 to 12 months are thought to have a minimal impact on blood pressure. Patients with  
88 preexisting HTN appear to be more susceptible to this adverse effect of chronic steroid use  
89 [31]. Steroids are associated with multiple complications including hypertension, obesity,  
90 glucose intolerance, osteoporosis, avascular necrosis, glaucoma, cataracts, myopathy, and  
91 neuropsychiatric complications after transplantation[32]. In various older studies, steroid  
92 withdrawal was shown to improve blood pressure, glycemic control, and lipid profiles[33-  
93 35]. In truth, although steroid avoidance or early steroid withdrawal are now routinely  
94 practiced by many centers in the United States, there is no data that indicates such a practice  
95 has any beneficial impact on patient or graft survival [36, 37]. Furthermore, such practices  
96 have been shown to increase the early rejection rate which may adversely impact long-term  
97 graft function in at least some patients groups [38, 39].

### 98 3. Dyslipidemia

99 Immunosuppressive drugs can adversely impact dyslipidemia. The prevalence of high  
100 cholesterol and hypertriglyceridemia is 35% after transplant. A recent study showed that

101 cholesterol efflux capacity is not an independent predictor of overall or cardiovascular  
102 mortality in renal transplant recipients [40]. However, the nature of cardiovascular disease in  
103 renal transplantation is not well defined and might differ from the general population  
104 [41]. Such a concept is supported, as we mentioned previously, by traditional risk factors not  
105 consistently being the major determinants of cardiovascular events in renal transplant  
106 recipients [42]. Although myocardial infarction due to obstructive coronary artery disease, the  
107 principal type of cardiovascular disease in the general population, is not uncommon in renal  
108 transplant recipients, increased cardiovascular mortality among renal transplant patients  
109 might be also attributable to an excess prevalence of sudden cardiac death and heart  
110 failure. Moreover, as kidney function declines, renal transplant recipients may develop  
111 uremia, which can cause uremic cardiomyopathy.

112

113 Because cardiovascular disease is so prevalent in kidney transplant recipients, it is  
114 reasonable to consider the kidney transplantation state to be a “coronary heart disease risk  
115 equivalent” when applying guidelines [43, 44]. This implies targeting plasma LDL  
116 cholesterol to less than 100mg/dl via a combination of therapeutic lifestyle changes and drug  
117 therapy. Changing immunotherapy may also impact dyslipidemia in a beneficial matter. For  
118 example, switching to tacrolimus from sirolimus or cyclosporine and withdrawing steroids  
119 may permit normalization of lipid levels without any other pharmacological intervention.

120 Statins are the lipid-lowering drugs of choice in transplant recipients. Holdaas, et al  
121 previously published his investigation of the use of **Fluvastatin** in kidney transplant recipients  
122 (Assessment of Lescol in Kidney Transplantation [ALERT]) which demonstrated efficacy in  
123 lowering cholesterol levels [45]. More importantly, cardiac deaths and nonfatal myocardial

124 infarcts, although not overall mortality, were also significantly reduced after a mean of 6.7  
125 years of follow-up. Of note, earlier reports of this study that failed to demonstrate use in  
126 reducing cardiovascular events should remind the reader that most statin trials reveal  
127 divergent outcomes only after 5 or more years of follow-up.

128 **It** important to note that statin metabolism is at least partly inhibited by CI therapy which  
129 can lead to elevated blood and tissue concentrations with risk of adverse effects such as  
130 rhabdomyolysis. Consequently, it is recommended statins be used at reduced doses in  
131 cyclosporine treated transplant recipients. This interaction is further enhanced, if additional  
132 inhibitors of cytochrome P-450, such as diltiazem, are administered. Other measures that are  
133 often considered in order to minimize the risk of toxicity include the use of **Pravastatin or**  
134 **Fluvastatin** (which appear to have the least interaction with CIs), avoidance of other  
135 inhibitors of the cytochrome P-450 system, avoidance of fibrates, and periodic checking of  
136 plasma creatine kinase and liver function tests are also advisable [46]. Early reports that  
137 indicated that **Pravastatin** may reduce the risk of rejection in kidney and heart transplant  
138 recipients are probably of less relevance in the current era of “modern”  
139 immunosuppression[47, 48]. Rarely, nonstatin drugs are used to lower plasma lipids in  
140 transplant patients. Bile acid sequestrants, if used, should be taken separately from CI as they  
141 impair absorption of these drugs. Fibrates should be prescribed with extreme caution to  
142 patients on statins and CI.

143

144 4. New Onset Diabetes **After** Transplantation

145 Diabetes mellitus (DM) has become one of the most prevalent diseases in the United States  
146 with dire health and economic consequences[49]. Over the last decade, there have been  
147 improvements in the management of DM and cardiovascular disease. Likely reflecting these  
148 trends, recent studies have shown that since the mid-1990s there have been significant  
149 improvements in DM patient survival in the general population [50, 51]. The survival of  
150 patients with DM is in part compromised by an increase in cardiovascular (CV) risk.  
151 However, other variables contribute to the survival disadvantage of these patients [52]. For  
152 this reason, it has been difficult to pinpoint specific parameters that may explain the  
153 improving survival of patients with DM [50].

154 Diabetic nephropathy accounts for a large proportion of patients with end-stage renal  
155 disease[53-55]. Unfortunately, the outcomes of patients with DM treated with dialysis or  
156 kidney transplantation remain inferior to those of patients without DM [54, 55]. As in the  
157 general population, differences in posttransplant survival between recipients with and without  
158 DM are primarily due to higher CV- and infection-related deaths[52, 54]. Previous studies  
159 suggested that the survival of patients with DM after transplantation can be largely  
160 attributable to pretransplant variables[6, 54]. If that is the case one would expect that  
161 improvements in DM patient survival in the general population would translate into  
162 improvements in survival after transplant[49].

163

164 The incidence of new onset diabetes after transplant (NODAT) ranges from 2% to 53%[56,  
165 57]. Risk factors include obesity, weight gain, hepatitis C, steroids, Tacrolimus, and  
166 restoration of insulin metabolism by the kidney allograft [58]. For reasons that remain



167 unclear, autosomal dominant polycystic kidney disease (ADPKD) is also a risk factor for  
168 NODAT[59]. In general, the causative pathophysiological mechanisms underlying new onset  
169 DM after transplantation include a decrease in the number and binding affinity of insulin  
170 receptors, malabsorption of glucose in peripheral organs, and activation of the glucose/fatty  
171 acid pathway. Such mechanisms appear particularly important in those with significant  
172 posttransplantation weight gain [60].

173

174 A novel risk factor for NODAT, hypomagnesemia, was reported in a retrospective series  
175 of 948 recipients[61]. A serum magnesium  $<0.74$  mmol/L (1.8 mg/dl) was significantly a  
176 ssociated with increased risk of NODAT in baseline (HR1.58, 95% CI 1.07-2.34;  $P=0.0$   
177 2), time-varying (HR1.78, 95% CI 1.29-2.45;  $P<0.001$ ), and rolling-average models (HR1.  
178 83, 95% CI 1.30-2.57;  $P=0.001$ ). Interventional trials are ongoing to determine if this as  
179 sociation can be remedied with magnesium supplementation (see section below on hypo  
180 magnesemia). Finally, an ongoing concern is the role of chronic corticosteroids in the d  
181 evelopment of NODAT. In a recent report stemming from a 5-year double-blind study  
182 comparing early corticosteroid withdrawal (CSWD) versus corticosteroid maintenance tap  
183 ered to 5mg/d (CCS) from 6 months onward, no difference in PTDM rates were noted  
184 (36.3% of CCS patients versus 35.9% of CSWD patients were diagnosed with PTDM by  
185 5 years, although insulin therapy was more prevalent in the CCS cohort versus CSWD,  
186 11.6% vs. 3.7%;  $p = 0.049$ )[62]. Thus, traditional risk factors (obesity) and nontraditiona  
187 l risk factors (hypomagnesemia) may help predict PTDM, while immunosuppression-relat  
188 ed risk factors (low-dose corticosteroids, tacrolimus dose/trough concentrations) may be l  
189 ess valuable.

190

## 191 5. Obesity

192 While obesity is not a traditional cardiovascular risk factor, its association with p  
193 ost transplant diabetes and its frequent consideration for transplant candidacy justifies dis  
194 cussion as a separate cardiovascular risk factor in kidney transplantation. Recently publi  
195 shed trials continue to demonstrate the survival advantage of transplantation versus remai  
196 ning on dialysis in obese candidates, but with greater clarity regarding risks. Using the  
197 UK renal and transplant registry data from 2004-2010, 1- and 5-year survival following t  
198 ransplant was superior to waitlisted candidates in all BMI subgroups, including BMI 35-  
199 40 and  $>40 \text{ kg/m}^2$  [63]. Further comparisons of obese to non-obese transplant recipients  
200 (BMI 18.5-25  $\text{kg/m}^2$ ) showed no differences in mortality with increasing BMI. However,  
201 conclusions regarding the latter subgroups are difficult to extrapolate as only 540 of the  
202 13,526 total patients available for analysis had BMI  $>35 \text{ kg/m}^2$ . Using statistical metho  
203 dology to control for the competing risk of death, a United States registry analysis of 10  
204 8,654 primary kidney transplant recipients from 2001-2009 demonstrated worse graft sur  
205 vival with increasing BMI [64]. With BMI 18.5-25  $\text{kg/m}^2$  as the reference, the subhazard  
206 s ratios (SHRs) were: 30-35  $\text{kg/m}^2 = 1.15$ ;  $p < 0.001$ ; 35-40  $\text{kg/m}^2 = 1.21$ ;  $p < 0.001$ ;  $> 4$   
207 0  $\text{kg/m}^2 = 1.13$ ;  $p = 0.002$ . A meta-analysis that did not account for the competing risk o  
208 f death similarly found an increased risk of death-censored graft loss (HR = 1.06, 95%  
209 CI = 1.01– 1.12), an increased likelihood of delayed graft function (OR = 1.68, 95% CI  
210 1.39– 2.03), and no significant difference in mortality risk in obese recipients (defined  
211 as BMI  $\geq 30 \text{ kg/m}^2$ ) (HR = 1.24, 95% CI 0.90– 1.70).[65] Taken together, kidney transpl  
212 ant can be considered effective therapy from the obese patient's perspective compared to

213 dialysis, but with higher risks of morbidity (delayed graft function and graft loss) than  
214 nonobese transplant recipients that transplant programs must reconcile.

215 This additive risk noted in obese patients has prompted transplant centers to explore  
216 more surgical options to optimize outcomes. In one series, laparoscopic sleeve gastrectomy  
217 was performed in 52 renal transplant candidates with a mean BMI of 43.0 kg/m<sup>2</sup> (range  
218 35.8-67.7 kg/m<sup>2</sup>), with 29 achieving goal BMI of < 35 kg/m<sup>2</sup> at a mean of 92 days  
219 (range 13-420 days) and 6 undergoing successful transplant.[66] A single-center series described  
220 minimally invasive robotic surgery in 67 living donor kidney transplants for patients  
221 with BMI ≥40 kg/m<sup>2</sup>, employed to minimize the substantial risk of wound complications  
222 known to occur in this population.[67] There were no graft losses due to graft thrombosis  
223 or infection. The authors compared their outcomes with registry data (a total of  
224 612 living donor transplants in recipients with BMI ≥40 kg/m<sup>2</sup> were performed during the  
225 period 2009-2014) and found similar rates of delayed graft function and equivalent graft  
226 function and patient survival, but 2% of morbidly obese recipients who underwent the  
227 open technique had graft loss due to infection or graft thrombosis. Perhaps expansion  
228 of these surgical approaches will lead to a greater comfort in evaluating and transplanting  
229 the obese transplant candidate.

230

## 231 6. Posttransplantation Anemia

232 Immediately after transplantation, pre-existing anemia is generally aggravated by  
233 perioperative blood loss compounded by myelosuppressive induction immunotherapy.  
234 Hemoglobin (Hgb) is expected to reach a normal level as time passes via normal production

235 of erythropoietin by the engrafted kidney [7]. However, a large number of renal allograft  
236 recipients remain anemic. As only one kidney is transplanted, kidney function seems to be  
237 only partially restored, resulting in an incomplete correction of anemia. This post-  
238 transplantation anemia (PTA) likely contributes to graft loss [68] or post-transplantation  
239 cardiovascular events, which are the second most common reason for allograft loss and the  
240 most common cause of death in patients with a functioning allograft. Persistent anemia after  
241 renal transplantation leads to decreases in mental capacity and quality of life.

242  
243 Angiotensin converting enzyme (ACE) inhibitors and angiotensin receptor blockers  
244 (ARBs) exacerbate or induce anemia in the transplant patient although for reasons that are  
245 incompletely understood[69]. In the TRESAM study, data from 4263 patients from 72  
246 transplant centers in Europe was collected 6 months to 5 years posttransplantation [70]. The  
247 mean Hb levels before transplantation were significantly higher in the more recently  
248 transplanted recipients. At enrollment, 39% of patients were found to be anemic. Of the 8.5%  
249 of patients who were considered severely anemic, only 18% were treated with recombinant  
250 human erythropoietin (rHuEpo). Anemia was associated with impaired kidney function and  
251 use of azathioprine, ACE inhibitors, and ARB therapy.

252 Recombinant human erythropoietin is often administered to patients with CKD and more  
253 frequently to patients on dialysis. The use of rHuEpo after kidney transplantation remains to  
254 be defined. Van Biesen and associates reported the results of a trial in which patients were  
255 randomized to either receive rHuEpo three times a week immediately after transplantation or  
256 not. The time to reach a Hb level greater than 12.5 g/dl was 66 days in the rHuEpo group  
257 compared to 57 days in the control group. The authors concluded that while the

258 administration of rHuEpo reduced the duration of anemia, this effect was marginal, and the  
259 doses needed were high [71]. There was no difference in harder endpoints such as length of  
260 stay or patient or graft survival between the groups.

## 261 7. Evaluation of Atherosclerotic Cardiovascular Disease before Transplantation

262 Screening for atherosclerotic disease remains an important part of the transplant evaluation  
263 prior to surgery. Cardiovascular testing is generally recommended prior to listing and  
264 subsequently, in most patients, periodically. Below is an example of the algorithm that is  
265 used to evaluate and reevaluate patients in the kidney transplant wait list.

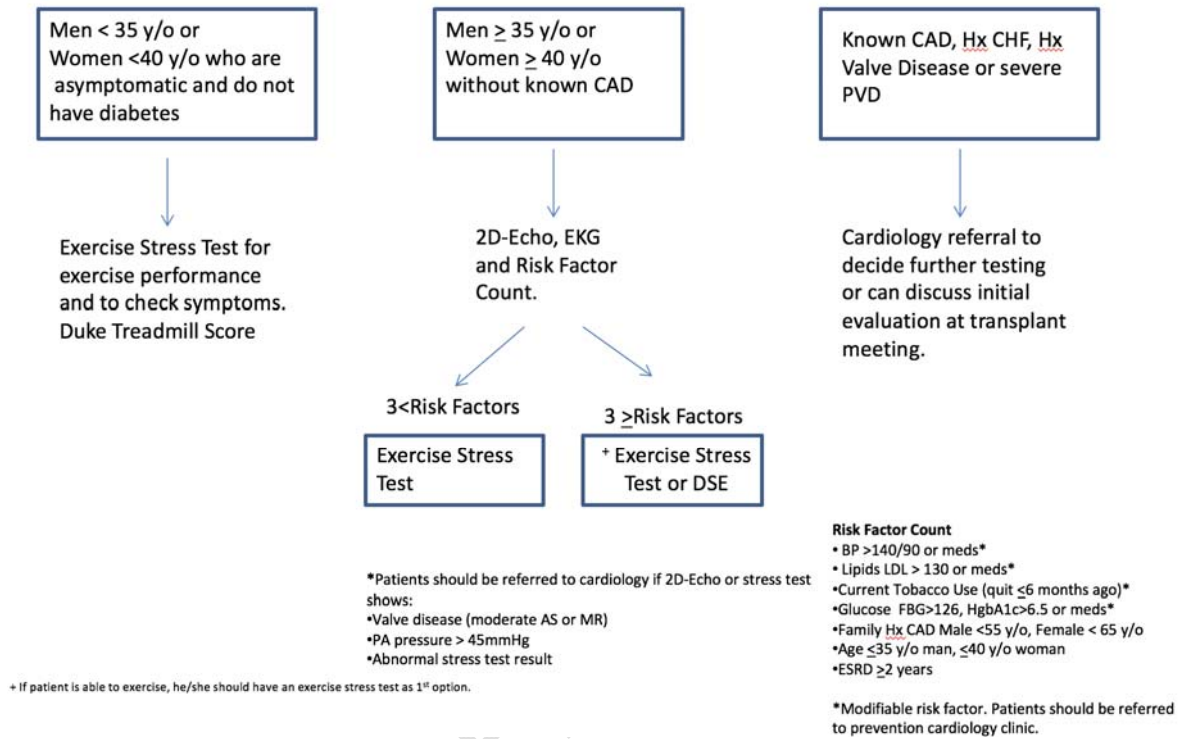
266

267 **Conclusion:** Post transplant outcomes are substantially influenced by cardiovascular  
268 disease. The presence of both traditional and non-traditional risk factors contributes to this  
269 overwhelming burden of cardiovascular disease in patients with chronic kidney disease  
270 (CKD). There are several reasons why treatment of established cardiac risk factors is lacking,  
271 including weak evidence for efficacy or extrapolation of evidence from the non-CKD setting.  
272 Ongoing work is needed to better understand the epidemiology, pathophysiology, diagnosis,  
273 and treatment of CAD in kidney transplantation.

274 **Fig:1:**

275 **Cardiology Algorithm for Patients Being Worked Up For Kidney Transplantation**

## Cardiology Algorithm for Patients Being Worked Up For Kidney Transplantation



276

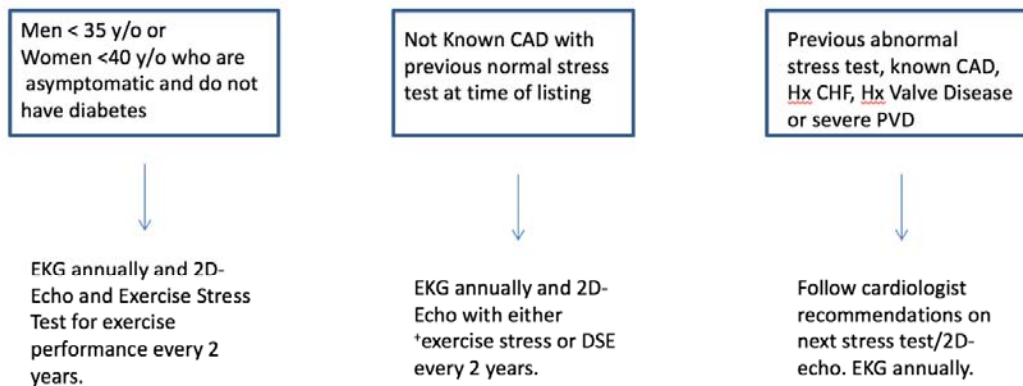
277 CAD: Coronary Artery Disease, CHF: Congestive Heart Failure, PVD: Peripheral  
 278 Vessel Disease,

279

280 Fig: 2:

281 Cardiology Algorithm for Patients on the Wait List For Kidney Transplantation

## Cardiology Algorithm for Patients on the Wait List For Kidney Transplantation



\*If patient is able to exercise, he/she should have an exercise stress test as 1<sup>st</sup> option.

282

283

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