

Spectral response of *Eucalyptus saligna* under water stress in the Brazilian Southern

ABSTRACT

Aims: The present work aims to assess the effect of water stress on the reflectance emitted by leaves of *Eucalyptus saligna* individuals.

Study design: The design was completely randomized and the study comprised 30 subjects who underwent 5 cycles of drought simulation, 45 days each.

Place and Duration of Study: The experiment and data collection were performed in the external facilities of the forest management laboratory of the Federal University of Santa Maria, Rio Grande do Sul, Brazil. Which it comprised the period from September 2014 to April 2015.

Methodology: Spectral information was collected from 24-month-old tree individuals in adequate water and water stress situations by means of FieldSpec@3 spectroradiometer. Subsequently, the spectral data for the electromagnetic spectrum range from 400 nm to 1700 nm were processed and analyzed.

Results: The resulting spectral behavior varied between water stress cycles. At 450 nm wavelength reflectances ranged from 3.8% to 7.4%, at 550 nm from 7.9% to 14% and at 650 nm from 4.8% to 8.8%. In the near infrared region, in the 900 nm to 1300 nm range, the reflectances ranged from 28% to 62%, and finally, in the near infrared region, in the 900 nm to 1300 nm range, the reflectances ranged from 28% to 62%. 62%.

Conclusion: The spectral response of *E. saligna* showed minimal differences when compared to healthy green vegetation, even though it was exposed to water deficit situations. From the information obtained, this research can be used as a parameter for comparative analysis between species belonging to the genus *Eucalyptus* sp.

Keywords: Reflectance; spectral signature; electromagnetic spectrum; remote sensing.

1. INTRODUCTION

The analysis of data acquired through the interaction between the electromagnetic energy emitted by the sun and certain ground targets are key information on vegetation in a given area, for example, providing the basis for action planning to assist in the conservation, preservation and management different cultures [1, 2]. The leaf is the most important plant organ in the process of absorption of electromagnetic radiation, being the estimation of the absorbed, transmitted and / or reflected energy achieved using different sensors [3].

Using spectroradiometry, data are obtained from the spectral response of direct contact with the target, acquiring information about how the vegetation processes electromagnetic radiation, as well as the phenological state, canopy structure, among other factors [4, 5].

13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

29 Several studies have been performed in planted forests of *Eucalyptus* sp. using reflectance
30 for wood volume estimates [6, 7, 8, 9]. However, it is noteworthy that the number of studies
31 that consider the phenological phases or effects of stress on reflectance is small compared
32 to those associated with dendrometry.

33

34 Eucalypts species are cultivated for various purposes, such as renewable energy source,
35 medicinal use and pollution control [10, 11]. The use of geoprocessing and remote sensing
36 technologies to monitor forest cover attributes, restoration and measurement is a must, and
37 this practice is increasingly used by researchers [12].

38

39 The Australian *Eucalyptus* genus, although not unique to this country, belongs to the
40 Myrtaceae family and has about 740 species, 20 of which are widely planted worldwide
41 under different conditions [13, 14]. The most used in Brazil are: *Eucalyptus grandis*,
42 *Eucalyptus saligna*, *Eucalyptus urophylla*, *Eucalyptus viminalis*, *Eucalyptus viminalis*, *E.*
43 *grandis* and *E. urophylla* hybrids and *Eucalyptus dunnii*. [15, 16]

44

45 Brazilian Eucalypts plantations for commercial purposes aim at the production of raw
46 material for the production of charcoal, cellulose, paper, industrialized wood panels,
47 plywood, among other uses. The five regions of Brazil have cultivated areas, totaling over
48 5.1 million hectares [17].

49

50 From this perspective, this study aimed to evaluate the effect of water stress on the
51 reflectance emitted by leaves of trees belonging to the species *E. saligna*, in order to
52 analyze the different spectral responses of individuals and, thus, it can be verified how this
53 will affect your homeostasis.

54

55 **2. MATERIAL AND METHODS**

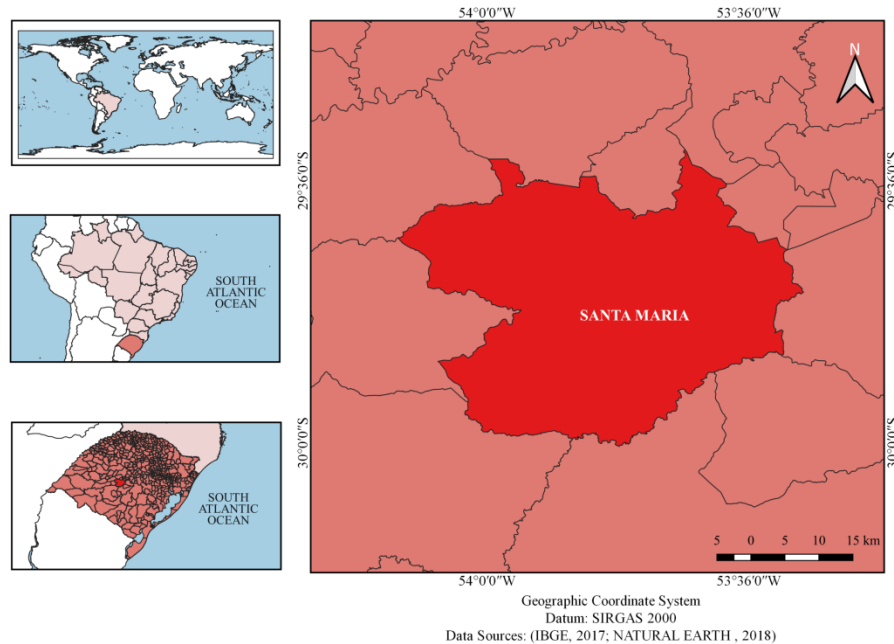
56

57 **2.1 Study area**

58

59 The experiment was carried out in the external facilities of the forest management laboratory
60 of the Federal University of Santa Maria (UFSM), which is located in Santa Maria, Rio
61 Grande do Sul (Figure 1), which has by reference coordinates 29° 43 '06 " S and 53° 43 '45
62 "O. The climate of the region, according to Köppen classification is Cfa type, subtropical with
63 well distributed rainfall throughout the year, with an average around 1700 mm and annual
64 average temperature of 19.2° [18].

65



66
67 **Figure 1: Location Map of the municipality of Santa Maria - RS. Source: authors.**

68
69 **2.2 Data collect**

70
71 The experiment and data collection were performed at the external premises of the UFSM
72 forest management laboratory, where the spectral responses of 24-month-old *E. saligna*
73 specimens were analyzed in appropriate water stress and water stress situations. The
74 aforementioned individuals were placed in open-area vessels. The trees were small in size,
75 since they were under sandy soil, with nutrient limitation and low rainfall.

76
77 The experimental design was completely randomized and the study included 30 individuals,
78 who went through 45-day drought simulation cycles, starting in September 2014 until April
79 2015. Each drought cycle had five individuals in stress and five as stress. The latter
80 remained under adequate water conditions. After the end of the first drought cycle, the
81 plants that had been in deficit were maintained in adequate water condition, being equally
82 monitored, but evaluated as an isolated group (called Post Cycle).

83
84 Using this approach, non-stressed plants were used for drought treatment application,
85 allowing a homogeneous effect of drought effect for each cycle.

86
87 Following the described procedures, the spectral data were collected using the FieldSpec®3
88 RST 3ZC (Analytical Spectral Devices, Inc., USA) spectroradiometer, which operates in the
89 spectral range of 350 to 2500 nm. The spectral range analyzed was between 400 and 1700
90 nm. Calibration was performed using a standard reference plate prior to measuring the
91 reflectance value of the different species.

92
93 Two readings in young tissue (apical portion) and two in mature tissue were collected from
94 each individual in order to better represent the species under study. The readings were
95 taken between 11:00 and 13:00 hours, the period of greatest intensity of electromagnetic
96 radiation on the target.

97
98

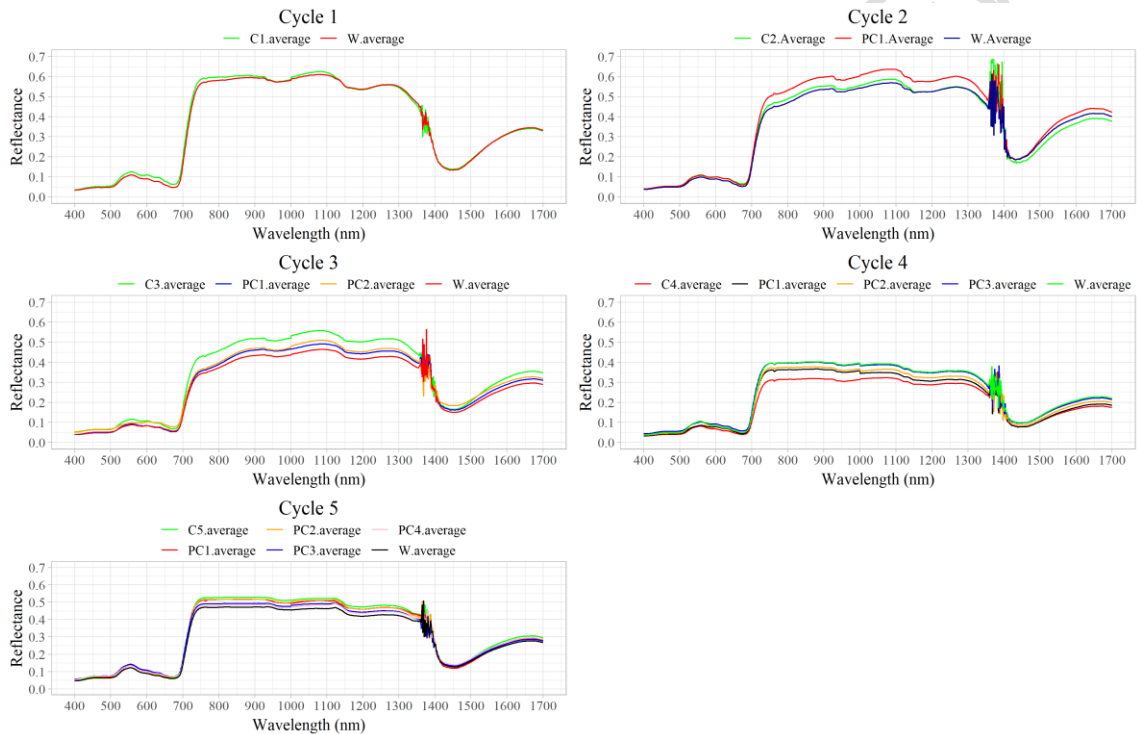
99
100
101
102
103
104
105
106
107
108
109
110
111
112

2.3 Data processing and statistical analysis

The processing of the data were performed in software R Studio version 1.2.1335 and Microsoft Excel. Where were produced graphs expressing the variations of the spectral behavior of the species and also the calculation of the arithmetic mean of the data of reflectance collected from each individual per cycle and then proceeded to the analysis of variance (ANOVA) followed by comparison means by the Tukey test at 5% significance.

3. RESULTS

Below are the graphs showing the spectral signatures resulting from the different drought simulation cycles, which serve as the basis for a better visualization of the statistical analysis results soon after.



113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128

Figure 2: Graphs of the dry cycles. Where: W Average = witness average; C1 Average = cycle-1 average; C2 Average = cycle-2 average; C3 Average = cycle-3; C4 Average = cycle-4 average; C5 Average = cycle-5 average; PC1 average = average post-cycle-1; PC2 average = average post-cycle 2; PC3 average = average post-cycle-3; PC4 average = average post-cycle-4.

In the 1st drought simulation cycle, which ran from September 1 to October 15, 2014, the graphical interpretation of data on mean reflectance of treatments and controls followed the same trend, as shown in Figure 2. Regarding the statistical analysis of the data, through ANOVA it was verified that there was no significant difference at 5% of probability between the averages of the treatments related to the aforementioned cycle and the averages of the witnesses, therefore, it was not necessary to perform the mean test.

In the analysis of cycle 2, which occurred from October 16 to December 1, 2014, it can be seen that individuals undergoing drought treatment in the previous cycle, here called Post-

129 cycle 1, obtained greater reflectance, especially in the region between 700 at 1400 nm, with
 130 peaks greater than 0.6 between 1000 and 1100 nm.
 131 The results of this treatment differed significantly by Tukey test at 5% of the others (Table 1).
 132 The plants that were undergoing drought and control treatments were not statistically
 133 different from each other in this cycle.
 134

135 **Table 1. Tukey test results for cycle 2.**

FACTOR	AVERAGE
Post Cycle 1	0.40 a
Cycle 2	0.37 b
Witness	0.36 b

136 **Averages followed by same letter do not differ by Tukey test at 0.05 significance.*
 137

138 In the analysis of Cycle 3, which occurred from December 1 , 2014 to January 15, 2015,
 139 we can see the treatment that went through the drought period with higher reflectance
 140 results, in the range between 700 and 1400 nm . lower than those obtained by the best
 141 treatment of the previous cycle, below 0.6.
 142

143 There was a significant difference between the reflectance values of plants that went
 144 through drought in the other treatments. The Post-Cycle 1 and Post-Cycle 2 treatments did
 145 not differ between themselves and the controls, obtaining lower reflectance than the others,
 146 with peaks between 800 and 1300 nm , between 0.4 and 0.45 (Table 2).
 147

148 **Table 2. Tukey test results for cycle 3.**

FACTOR	AVERAGE
Cycle 3	0.34 a
Post Cycle 2	0.31 b
Post Cycle 1	0.30 b
Witness	0.28 c

149 **Averages followed by same letter do not differ by Tukey test at 0.05 significance.*
 150

151 In cycle 4 , held on January 16 to February 28 , 2015, the reflectance of
 152 the s individuals fo ram greater in ranges between 700 and 1300 nm , with a mean of post
 153 treatments 1, 2 and 3 being larger than the average reflectance of cycle 4.
 154

155 The analysis of variance showed a significant difference between the means of treatment
 156 cycle 4, controls and cycle means of posttreatment 1, 2 and 3.
 157

158 The Tukey test indicated that the average of the witnesses (Te) differ from the post-
 159 treatment cycle 1 (pos1) and the post-cycle treatment 2 (post-cycle 2) at 0.05
 160 significance. The same was true for post-cycle 3 and control averages (Table 3).
 161
 162
 163
 164

165
166
167

Table 3. Tukey test results for cycle 4.

FACTOR	AVERAGE
Post Cycle 1	0.32 a
Post Cycle 2	0.32 a
Post Cycle 3	0.31 ab
Cycle 4	0.31 ab
Witness	0.30 b

*Averages followed by same letter do not differ by Tukey test at 0.05 significance.

168
169
170
171
172
173
174
175
176
177
178
179
180
181

Cycle 5 was subjected to water scarcity from March 1 to April 15, 2015 (late summer to early autumn), obtaining higher results for reflectance in the range and between 700 and 1300 nm of the spectrum.

Regarding the analysis of variance of cycle 5, there was a significant difference between the means of this treatment, controls and post-treatment cycle means 1, 2, 3 and 4. The Tukey test (Table 4) indicated that the The average of the five *E. saligna* individuals that were under water stress differed statistically from the control average (normal water conditions), presenting the highest values. Post-Cycle 1 and 2 treatments did not show significant difference by the Tukey test at 5% probability, but were superior to Post-Cycle 4.

Table 4. Tukey test results for Cycle 5.

FACTOR	AVERAGE
Cycle 5	0.33 a
Post Cycle 2	0.32 ab
Post Cycle 1	0.31 ab
Post Cycle 3	0.31 abc
Post Cycle 4	0.31 bc
te stemunha	0.30 c

*Averages followed by the same letter do not differ from each other by Tukey's 0.05 of significance.

182
183
184
185

4. DISCUSSION

186 In the bands that make up the visible spectrum, there were variations in reflectances. At the
187 wavelength of 450 nm the reflectance ranged from 3.8% to 7.4 % in 550 nm of 7.9% to 14%
188 and at 650 nm of 4.8% to 8.8% (Table 5) . These variations are the result of water changes
189 in the leaves, which generate physical and biochemical changes in same, such as changes
190 in the photosynthetic pigments, which makes it less sheet able to absorb electromagnetic
191 radiation in this spectra region there, and this, in , once results in increased reflectance
192 values [19]. Similarly to this research, Ribera-Fonseca et al. subjected individuals of

193 *Vaccinium corymbosum* to different water situations, obtained distinct spectral signatures
 194 [20]. Martins et al. submitted individuals of *Eucalyptus camaldulensis* and *Eucalyptus*
 195 *urophylla* to water deficit, verified that they present alterations in photosynthesis, respiration,
 196 metabolism and absorption of substances. [21].
 197

198 **Table 5. Reflectances in percentage of the different treatments.**

WAVE LENGTH (nm)	REFLECTIONS (%)		
	Cycles	T witness	Post Cycle
450	3.8 to 6.7	4.5 to 6.3	4.5 to 7.4
550	7.9 to 13	8.5 to 12	8.2 to 14
650	4.8 to 8.1	5.9 to 6.6	5.5 to 8.8
900-1300	28 to 62	35 to 59	30 to 59
1445	8 to 17	9.8 and 18	7.7 to 19

199
 200 As the near-infrared region in the bands from 900 nm to 1300 nm, the reflectance ranged
 201 28% to 62% (Table 5), and the spectral response of a healthy vegetation generally
 202 characterized by high reflectance, 40-60% [22].
 203

204 The variations on are reflectance s p ara this region eletrom spectrum agnético are evidence
 205 s internal reflection mechanism in the leaves , which is characterized as very intense , due to
 206 the structure of the spongy mesophyll, which is composed of cells and areas of intracellular
 207 air. When the amount of water in the leaf structure becomes high, there is a reduction not to
 208 refl ectância the sheet. Water fills the air cavities forming a liquid medium inside the sheet.
 209 Thus, there is a decrease in the differences in the refractive index of air and hydrated cell
 210 wall , thus increasing its transmittance [23].
 211

212 Regarding the mid-infrared, the reflectances of the treatments ranged from 7.7% to 19% in
 213 the 14 45 nm spectrum (Table 5), which is one of the main bands that most interact with
 214 liquid water in the atmosphere. . The variability in the reflectances presented is the result of
 215 the increase or reduction of the quantity of water in the leaves. For higher water contents,
 216 lower will be the mid-infrared reflectances. Conversely, as the moisture content of the leaves
 217 decreases, the mid-infrared reflectance increases substantially [24].
 218

219 Regarding the interactions with humidity, the spectral response of the individuals of the
 220 cycles was similar to those of *Magnolia grandiflora* trees, with moisture content of 50 and
 221 75% [25]. It was also similar response spectral obtained of the experiment Strabeli in
 222 individuals of *E. saligna*, with water related content ranging from 68% to 83% [26].
 223

224 Regarding the changes in reflectance, due to changes in water characteristics in individuals,
 225 these were not highly dissimilar to the properties of healthy vegetation, because as a
 226 function of humidity, they will only be substantial when the leaf turgor is less than 75% [27].
 227

228 In this perspective, the cycle with the highest reflectance in relation to water content was 2nd,
 229 with values of 17% in the mid-infrared. Since the cycle with quantitative smaller the
 230 reflectance was 4th with Reflectance 8% in the mid-infrared.
 231

232 5. CONCLUSION

233
 234 Through this study it was possible to understand that the individual submitting the species *E.*
 235 *saligna* water stress, they showed comportament the espectra is that exposed related to
 236 changes in the quantity of water in the cellular structures. There are variations in the
 237 absorptions and reflectances in the visible wavelength, which is a reflection of the
 238 biochemical modifications of the leaves, thus affecting the photosynthesis process in the

239 trees. In addition, modifications occurred in the near and mid-infrared electromagnetic
240 spectrum ranges, where at 900 nm to 1300 nm the reduction in reflectance expressed
241 variations in the leafy structure of the spongy mesophyll. Regarding the wavelength of 450
242 nm, the increase in reflectance is indicative of water stress.

243

244 From the information obtained, this research can be user settings to as a benchmark for
245 comparative analysis among species of the genus *Eucalyptus* sp. Thus, it can be verified
246 which species has greater resistance to different drought cycles. Thus, such information will
247 favor the choice of a particular species for implantation in silvicultural crops in environments
248 with low rainfall.

249

UNDER PEER REVIEW

250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301

REFERENCES

1. Moreira MA, Adami M, Rudorff BFT. Análise espectral e temporal da cultura do café em imagens Landsat. *Pesq. Agropec. Bras.* 2004; (39)3: 223-231. Portuguese.
2. Otanásio PN. Utilização de dados orbitais para avaliação da integridade das Áreas de Preservação Permanentes (APP) da região administrativa de Planaltina (DF). Trabalho de Conclusão de Curso (Bacharelado em Gestão Ambiental) - Universidade de Brasília, Planaltina, 45 p. 2014. Portuguese.
3. Käfer, PS, Rex FE, Santos M, Sebem E. Caracterização espectral e NDVI de espécies florestais das famílias Fabaceae, Myrtaceae, Rutaceae e Salicaceae. *Enciclopédia Biosfera.* 2016; 13(23): 262-275. Portuguese.
4. Ponzoni FJ, Rezende, ACP. Caracterização espectral de estágios sucessionais de vegetação secundária arbórea em Altamira (PA), através de dados orbitais. *Revista Árvore.* 2004; 28(4): 535-545. Portuguese.
5. Silva EA, Marangon GP, Dessbesell L, Morais WW, Lippert DB, Pereira RS. Caracterização espectral na reflectância de *Eucalyptus grandis*. *Floresta.* 2012; 42(2): 285-292. Portuguese.
6. Alba E, Marchesan J, Tramontina J, Mello E, Silva EA, Pereira RS. Uso de imagens de média resolução espacial para o monitoramento de dosséis de *Eucalyptus grandis*. *Scientia agrarian.* 2017; 18(4): 1-8. Portuguese.
7. Canavesi V, Ponzoni FJ, Valeriano MM. Estimativa de volume de madeira em plantios de *Eucalyptus* spp. utilizando dados hiperespectrais e dados topográficos. *Revista Árvore.* 2010; 34(3): 539-549. Portuguese.
8. Santos MM, Machado IES, Carvalho EV, Viola MR, Giongo M. Estimativa de parâmetros florestais em área de Cerrado a partir de imagens do sensor OLI Landsat 8. *Floresta.* 2017; 47(1): 75-84. Portuguese.
9. Macedo F, Sousa A, Gonçalves AC, Silva H, Rodrigues R. Estimativa do volume de madeira para *Eucalyptus* sp. com imagens de satélite de alta resolução espacial. *Scientia Forestalis.* 2017; 45(114): 237-247. Portuguese.
10. Goodger JQ, Senaratne SL, Van Der Peet P, Browning R, Williams SJ, Nicolle D, Woodrow IE. *Eucalyptus* subgenus *Eucalyptus* (Myrtaceae) trees are abundant sources of medicinal pinocembrin and related methylated flavanones. *Industrial Crops and Products.* 2019; 131: 166-172.
11. Zhao W, Zheng Z, Zhang J, Roger S, Luo X. Allelopathically inhibitory effects of eucalyptus extracts on the growth of *Microcystis aeruginosa*. *Chemosphere.* 2019; 225: 424-433.
12. Almeida DRA, Stark SC, Chazdon R, Nelson BW, Cesar RG, Meli P, Mendes AF.. The effectiveness of lidar remote sensing for monitoring forest cover attributes and landscape restoration. *Forest ecology and management.* 2019; 438: 34-43.
13. LIMA WP. Impacto ambiental do eucalipto. 2nd Ed. São Paulo: EDUSP; 1996. Portuguese.
14. Silva JAA. Potencialidades de florestas energéticas de *Eucalyptus* no Pólo Gesseiro do Araripe-Pernambuco. *Anais da Academia Pernambucana de Ciência Agrônômica.* 2013; 5: 301-319. Portuguese.
15. Grattapaglia D, Kirst M. *Eucalyptus* applied genomics: from gene sequences to breeding tools. *New phytologist.* 2008; 179(4): 911-929.
16. Pinto Júnior Je, Santarosa E, Goulart ICGR. Histórico do cultivo de eucalipto. In: Santarosa E, Penteado Junior JF, Goulart, ICGR, editors. *Transferência de Tecnologia Florestal, Cultivo de eucalipto em propriedades rurais: diversificação da produção e renda.* 1st ed. Brasília, DF: Embrapa; 2014. Portuguese.
17. ABRAF – Associação Brasileira de Produtores de Floresta Plantada. *YearBook Statistical ABRAF – Base Year 2011.* Brasília: ABRAF; 2012.

- 302 18. Buriol GA, Estefanel V, Swarowsky A, D'Avila RF, Heldwein AB. Homogeneidade e
303 estatísticas descritivas dos totais mensais e anuais de chuva de Santa Maria, Estado do
304 Rio Grande do Sul. Revista Brasileira de Recursos Hídricos. 2006; 11(4): 89-97.
305 Portuguese.
- 306 19. Ponzoni FJ, Shimabukuro YE, Kuplich TM. Sensoriamento remoto da vegetação. 2nd ed.
307 São Paulo: Oficina de Textos; 2012. Portuguese.
- 308 20. Ribera-Fonseca A, Jorquera-Fontena E, Castro M, Acevedo P, Parra JC, Reyes-Diaz M.
309 Exploring VIS/NIR reflectance indices for the estimation of water status in highbush
310 blueberry plants grown under full and deficit irrigation. Scientia Horticulturae. 2019; 256:
311 108557.
- 312 21. Martins GS, Freitas NC, Máximo WPF, Paiva LV. Gene expression in two contrasting
313 hybrid clones of *Eucalyptus camaldulensis* x *Eucalyptus urophylla* grown under water
314 deficit conditions. Journal of plant physiology. 2018; 229: 122-131.
- 315 22. Walter-Shea EA, Biehl LL. Measuring vegetation spectral properties. Remote Sensing
316 Reviews. 1990; 5(1): 179-205.
- 317 23. Moreira MA. Fundamentos do sensoriamento remoto e metodologias de aplicação. 1st
318 ed. São José dos Campos: Instituto Nacional de Pesquisas Espaciais (INPE), 2001.
319 Portuguese.
- 320 24. Jensen JR. Sensoriamento remoto do ambiente: uma perspectiva em recursos
321 terrestres. Tradução José Carlos Neves Epiphanyo (coord.) [et al]. São José dos
322 Campos: Parêntese; 2009. Portuguese.
- 323 25. Carter GA. Primary and secondary effects of water content on the spectral reflectance of
324 leaves. American Journal of Botany. 1991; 78(7): 916-924.
- 325 26. Strabeli TF. Resposta hiperespectral na determinação do conteúdo de água na folha em
326 diferentes espécies de *Eucalyptus* spp. 2016. 108 f. Dissertação (Mestrado em
327 Ciências) – Universidade de São Paulo, Piracicaba, 2016. Portuguese.
- 328 27. BAUER, M.E.; VANDERBILT, V.C.; ROBINSON, B.F. Spectral properties of agricultural
329 crops and soils measured from space, aerial, field and laboratory sensors. In:
330 Proceedings of the XIV Congress of International Society for Photogrammetry,
331 Hamburg, West Germany. 1980; 16: 56-73.