

An approach to drug stability studies and shelf life determination

ABSTRACT

The main objective of carrying out stability studies of the drug product is to establish shelf life of drug during storage. Stability of drug is defined as “The capability of a particular formulation in a specific container/closed system, to remain within its physical, chemical, microbiological, therapeutic, and toxicological specifications throughout its shelf life”. As mentioned in the International Conference on Harmonization (ICH) guideline Q1A (R2), stability studies are commonly the activity on the critical path to regulatory filing and approval. Stability studies are of different types and different methods are useful for the determination of stability like real time stability testing, accelerated stability testing, retained sample stability testing and cyclic temperature stress testing. pH and temperature are the main factors influencing the stability of drug. The pH-rate profile ($\log(k)$ vs pH) is the pH dependence of the specific rate constant of degradation of a compounds. Forced degradation includes deterioration of new drug substances and products at more severe conditions than the accelerated conditions and it indicates accuracy of stability indicating methods. The different conditions applied during the forced degradation includes hydrolytic, oxidation, photolytic and thermal stress etc. The techniques utilized for evaluation of stability studies can be LC-MS/MS, HPLC-DAD, HPLC-MS, HPLC-UV, HPTLC, TLC, LC-NMR etc. amongst them some techniques shows high sensitivity and resolution power to establish more effective stability indicating method while for shelf life estimation of drugs and products the different methods mentioned are FDA's method, the direct method, inverse method, simulation results and Garret and Carper method. Thus stability testing of pharmaceutical products inputs specific scheme in the evolution of a new drug as well as new formulation.

Keywords: Stability, Shelf life, Forced degradation, ICH guidelines, pH profile.

1. INTRODUCTION

The Shelf life of the pharmaceutical drug products is established by the stability studies. Stability testing of pharmaceuticals is known to be a complex set of procedures which involves significant cost, time and scientific proficiency to generate safety, in quality and efficacy in a drug formulation. The understanding of the drug development process and the infinite tasks and milestones that are essential to abroad development plan result to scientific as well as commercial success of any pharmaceutical product[1]. Stability defines as “The capability of a particular formulation in a specific container/closed system, to remain within its physical, chemical, microbiological, therapeutic, and toxicological specifications throughout its shelf life”. Stability is officially defined as “the time lapse during which the drug product retains the same properties & characters that is processed at the time of manufacture”[2]. The various factors affecting the stability of a pharmaceutical product; because of their involvement, stability testing is known as a complex process. These factors mostly concern stability of the active ingredient(s); interaction of active ingredients and excipients, type of dosage form and there manufacturing process followed, container/closure system used for packaging, heat, moisture and light come across during shipment,

42 **storage and handling** etc.[3].Theshelf life determination of the drug product is main objective of stability
43 studies. The stability refers to storage time allowed before any degradation product in dosage form
44 achieves a sufficient level to represent a risk to the patient.Based on this time, the product shelf life or
45 expiration date is determined [4]. From a pharmaceutical development point of view, stability studies are
46 frequently on the critical path to starting patient studies and registration stability studies, as described in
47 the International Conference on Harmonization (ICH) guideline Q1A (R2), are commonly the activity on
48 the critical path to regulatory filing and approval. Stability studies are also a significant resource
49 commitment in both pre and post-approval phases [5].

50 **1.1. Importance of Stability Studies**

- 51 • Instability of active drug and products may lead to under medication of the drug due to
52 lowering concentration in the dosage form.
- 53 • The toxic product may be formed during decomposition of active drug.
- 54 • Changing in physical appearance through the principles of kinetics due to instability, are
55 used in forecast the stability of the drug.
- 56 • To save the reputation of the manufacturer by confirming the product will retain strength
57 for use with respect to all functionally related aspects for as long as they are in the
58 market.

59 **1.2. Objectives of Stability Studies**

- 60 • The aim of stability testing is to display clues on how quality of drugs changes with time
61 under the presence of a numerous environmental factors including temperature,
62 humidity, and light.
- 63 • To select suitable (from the perspective of stability) formulations and container-closure
64 systems to evaluate storage conditions and shelf-life.
- 65 • To substantiate the claimed shelf-life.
- 66 • To confirm that no modifications have been imparted in the formulation or manufacturing
67 process that may affect the stability of the drug.
- 68 • The main purpose of stability study is to generate the stability profile of a drug product
69 so that prediction of the shelf life of the product can made before launching it into the
70 market [6].

71

72 **1.3. Guidelines for Stability Testing**

73 The availability of stability data by the manufacturers to confirm that most stable molecules and products
74 are synthesized, distributed and provided to the patients provisions have been made by the regulatory
75 authorities of many countries. These guidelines were firstly issued in 1980s which contains basic
76 concerns relevant to stability, the stability data for application dossier and the steps for their execution.
77 The basic purpose was to maintain uniformity in testing from manufacturer to manufacturer. These were
78 later harmonized (made uniform) in the International Council for Harmonization (ICH) to register the
79 products in other countries and minimize the barrier to market. The ICH was established in 1991, it was a
80 confederacy formed with profits from both industry and regulatory from European commission, USA and
81 Japan and different guidelines for drug substance and product came into essence for their quality, safety
82 and efficacy. These guidelines are known as quality, safety, efficacy and multi- disciplinary (also called as
83 Q, S, E and M) guidelines.

84

ICH Code	Guidelines
Q1A	Stability testing of New Drug Substances and Products (Second Revision)
Q1B	Stability testing: Photo stability testing of New Drug Substances and Products
Q1C	Stability testing of New Dosage Forms
Q1D	Bracketing and Matrixing Designs for stability testing of Drug Substances and Products
Q1E	Evaluation of stability data
Q1F	Stability data package for Registration Applications in Climatic Zones III and IV
Q5C	Stability testing of Biotechnological/Biological Products

86 **Table 1: Codes and titles used in ICH Guidelines**

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88 The ICH guidelines did not mentioned the extreme climatic conditions observed in many countries, for this
89 the World Health Organization (WHO) in 1996 modified these guidelines, also it only includes new drug
90 substances and products and not the already developed products that were in dissemination in the WHO
91 umbrella countries. In June 1997, United States Food and Drug Administration (USFDA) also issued a
92 navigation document entitled 'Expiration Dating of Solid Oral Dosage Form Containing Iron'. ICH
93 guidelines were also extended later for veterinary products. India Drug Manufacturers Association also a
94 technical monograph on stability testing of drug substances and products present in India. Different test
95 conditions and provisions have been given in the guidance documents for active pharmaceutical
96 ingredients, drug products or formulations and excipients. The codes and titles covered under ICH
97 guidelines are given in the Table 1 & Table 2. Numbers of guidelines related to stability testing have also
98 been extended by the Committee for Proprietary Medicinal Products (CPMP) under the European Agency
99 for the Evaluation of Medicinal Products (EMA) to support those seeking marketing authorization for
100 drug products in European Union are listed in Table 3.

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Table 2: ICH Q1A Summary of Stability Parameters

Study Type & Condition		Storage Conditions	Time Period (in Months)	Comments
General Case	Long-term	25°C±2°C/60% RH±5% RH or 30°C±2°C/65% RH±5% RH	12	Must cover retest or shelf life period at a minimum and includes storage, shipment and subsequent use.
	Intermediate	30°C±2°C/65% RH±5% RH	6	
	Accelerated	40°C±2°C/75% RH±5% RH	6	
Refrigeration	Long-term	5°C±3°C	12	Must cover retest or shelf life period at a minimum and includes storage, shipment and subsequent use.
	Accelerated	25 °C±2°C/60% RH±5% RH	6	
Freezer	Long term	-20°C±5°C	12	Must cover shelf life period at a minimum and includes storage, shipment and subsequent use.

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Table 3: CPMP Guidelines for Stability

CPMP code	Guideline title
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CPMP/QWP/576/96 Rev.1	Guideline on Stability Testing for Applications for Variations to a Marketing Authorization
CPMP/QWP/6142/03	Guideline on Stability Testing for Active Substances and Medicinal Products Manufactured in Climatic Zones III and IV to be marketed in the EU
CPMP/QWP/609/96 Rev. 1	Note for guidance on Declaration of Storage Conditions for Medicinal Products Particulars and Active Substances
CPMP/QWP/122/02 Rev. 1	Note for Guidance on Stability Testing of Existing Active Substances and Related Finished Products
CPMP/QWP/072/96	Note for Guidance on Start of Shelf Life of the Finished Dosage Form
CPMP/QWP/2934/99	Note for Guidance for In-Use Stability Testing of Human Medicinal Products
CPMP/QWP/576/96	Note for Guidance on Stability Testing for a Type 2 variation to a Marketing Authorization
CPMP/QWP/ 159/96	Note for Guidance on Maximum Shelf-Life for Sterile Products after First Opening or Following Reconstitution

Ref.: [7]

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1.4. Stability Studies and their Classification

Stability studies is the essential criteria for assure the quality efficacy and integrity of the final product.

109 **1.4.1 Physical stability studies:** For intrathecal, ocular and intra-arterial routes, the physical evaluation
110 of the solution is of particular importance. The physical changes can have deleterious effects too. A
111 physical stability studies are also essential because tablet may become soft and ugly or it may become
112 very hard and show very slow dissolution time as a result of which bioavailability **may not be good. So a**
113 **more refined** physical evaluation is particularly important for therapeutic proteins to evaluate their kinetic
114 profiles of aggregation using turbidimetry, light obstruction, dynamic light scattering or microscopic
115 analysis.

116 **1.4.2. Chemical stability studies:** Many chemical reactions involve moisture as a reactant and play the
117 role of solvent vector in many reactions. Molecules have more kinetic energy and more decomposition is
118 observed because moisture has better thermal conductivity than solids which allow better heat transfer.
119 The common cause in all these, hydrolysis or oxidation or fermentation; is moisture. The presence of
120 moisture speeds up all reactions. The HPLC, HPTLC or capillary electrophoresis methods are widely for
121 evaluation of chemical instability.

122 **1.4.3 Microbiological stability studies:** Microorganisms not only contaminate the formulations containing
123 moisture but also solid dosage forms containing natural polymer because many natural polymers are
124 source of microorganism [8].

2. STABILITY TESTING METHODS

126 The stability testing is a routinely process employed at different stages of the of drug substances product
127 development. Accelerated stability study (at relatively high temperatures and/or humidity) is performed in
128 initial stages, for evaluation of the nature of degradation products which may be generate after long-term
129 storage. The long-term shelf storage testing under meticulous conditions i.e. at quite elevated
130 temperature is recommended which determines the product's shelf life and expiration dates. Providence of
131 acceptable declaration that the products will remains at an acceptable level of fitness/quality throughout
132 the time during which they are in market place available for supply to the patients and will be fit for their
133 consumption until the patient uses the last unit of the product is the major aim of pharmaceutical stability
134 testing. Depends on objective and steps followed, stability testing procedures have been classified into
135 the following types.

136 2.1 Real-Time stability testing

137 Longer period degradation of the test drugs in order to allow degradation under recommended storage
138 conditions consist of real-time stability testing. Stability of the product decides the period of the test which
139 should be long enough to indicate accurately that no quantitative degradation takes place and must allow
140 one to differentiate degradation from inter-assay deviation. Data is collected during the testing at a
141 relevant frequency so that a trend analysis is able to differentiate instability from day-to-day uncertainty.
142 Data interpretation accuracy can be increased by addition of a single batch of reference substance for
143 which stability characteristics have been already established.

144 2.2 Accelerated stability testing

145 In accelerated stability testing, a subject is stressed at distinct high (warmer than ambient) temperatures
146 to determine the amount of heat required to cause product degradation. The comparison of relative
147 stability of alternative formulations and shelf life is then projected. Temperature together with the moisture,
148 agitation, pH, light, gravity and package etc. are the stress conditions applied during accelerated stability
149 testing. In this method the samples are assayed simultaneously which are subjected to stress and
150 refrigerated after stressing. The measurement system is reduced in comparison to the real-time stability
151 testing because of the duration of the analysis is short. Further, comparison of the unstressed product
152 with stressed material is taken within the same assay and the stressed sample recovery is expressed as
153 percent of unstressed sample recovery. Relatively accurate stability of thermo labile and proteinaceous
154 components projections are obtained by denaturing stress temperatures is avoided. For statistical
155 reasons, the accelerated stability projections are recommended to be conducted at four different stress
156 temperatures. The approach of accelerated stability study is based upon the Arrhenius equation (1) and
157 modified Arrhenius equation (2):

$$158 \ln K = \ln A + \frac{\Delta E}{RT} \quad (1)$$

159 Where K = degradation rate/s, A = frequency factor/s, ΔE = activation energy (kJ/mol), R = universal gas
160 constant (0.00831 kJ/mol), T = absolute temperature (K).

$$161 \log \left(\frac{k_2}{k_1} \right) = \frac{-E_a}{2.303R} \left(\frac{1}{T_2} - \frac{1}{T_1} \right) \quad (2)$$

162 Where k_1 and k_2 are rate constants at temperatures T_1 and T_2 expressed in degree Kelvins; E_a is the
163 activation energy; R is the gas constant. Both equations denote the relationship between storage
164 temperatures and degradation rate. By using Arrhenius equation, some degradation processes can be
165 determined by projection of stability from the degradation rates observed at high temperatures. The
166 degradation rate at low temperatures may be projected from those observed at "stress" temperatures
167 when the activation energy is known. The stress tests used in the current International Conference on
168 Harmonization (ICH) guideline (e.g., 40% for products to be stored at controlled room temperature) were
169 developed from a model having some activation energy. Some methods are not official either in ICH or
170 FDA to apply various shortcuts such as Q rule and bracket tables for prediction of shelf life of the
171 products, this common practice use by manufacturers in pharmaceutical industries. The Q rule states that
172 a product degradation rate decreases by a constant factor Q10 when the storage temperature is
173 decreased by 10°C. The value of Q10 is usually set at 2, 3 or 4 because these correspond to reasonable
174 activation energies. This model maliciously considers that the value of Q does not vary with temperature.
175 According to the bracket table technique, for a given analyte, the activation energy is between two limits.
176 As a result, a table may be constructed showing days of stress at various stress temperatures. Broad

177 experience shows that most analytes and reagents of interest in pharmaceutical and clinical laboratories
178 have activation energies in the range 10 to 20 kcal hence bracket table technique uses this range.

179 2.3 Retained sample stability testing

180 At least one batch a year is selected in this study, for retained storage of stability samples. Stability
181 samples from two batches are suggested to be taken when the number of batches marketed exceeds 50.
182 The stability samples of each batch may be taken when they are first introduced to the market, which may
183 be decreased to only 2% to 5% of marketed batches at a later stage. In this study, the stability samples
184 are tested at predetermined intermissions i.e. if a product has shelf life of 5 years, it is typically tests at 3,
185 6, 9, 12, 18, 24, 36, 48, and 60 months. This typical method of determining stability data on retained
186 storage samples is known as constant interval method. One modified method includes stability testing of
187 marketed samples in which involves taking samples already in the market place and evaluating stability
188 aspects. This method is more realistic as it challenges the product not just in the idealized retained
189 sample storage conditions, but also in the actual marketplace.

190 2.4 Cyclic temperature stress testing

191 For marketed products this is not applied as routine testing method. To mimic similar conditions in market
192 place storage cyclic temperature stress tests are design to product knowledge. The diurnal rhythm on
193 earth is 24 hour hence the period of cycle mostly design is 24 hours, which the marketed pharmaceuticals
194 are most prone to sense during storage. Depends on product-by-product basis the minimum and
195 maximum temperatures for the cyclic stress testing is selected and important factors like suggested
196 storage temperatures and specific physicochemical degradation properties of the products. Normally 20
197 cycles have been recommended[9].

198 3. FACTORS INFLUENCING STABILITY OF DOSAGE FORM

199 **3.1 pH:** In active ingredient's solubility and thus in its bioavailability pH plays important role. At extreme
200 conditions, the rate of degradation is much higher. The optimum pH defines the pH where a given
201 molecule is most soluble. Buffers are also included in pharmaceutical product formulations, and it provides
202 very good stability. However the pH and the stability of formulation of preparations using these
203 pharmaceutical products may changes.

204 **3.2 Temperature:** It is one of the most crucial factors in drug stability. An increase in about 10°C in
205 storage temperature it may leads to a 2 to 5 fold increase in the degradation reactions speed. For some
206 molecules, physicochemical stability is only ideal within a narrow range of temperature, outside of this
207 increased degradation is observed. The Arrhenius law followed for kinetics of degradation reactions for
208 most active ingredients. Thus, when performing stability studies at elevated temperatures (at 40° C, for
209 example), it is possible to determine the formulation's stability at ambient temperature.

210 **3.3 Surfactants:** The micelles in solution are formed by different types of surfactants (anionic, cationic or
211 non-ionic) however; this trapping of the active ingredient molecules changes their bioavailability in
212 solution. The surfactants can be used to protect and limit the degradation of active ingredient in hydrolytic
213 groups such as hydroxyls.

214 **3.4 Oxygen:** The oxidation of one of drug components takes place by the presence of oxygen in a
215 preparation may leads to instability. Use of antioxidants and suitable manufacturing techniques e.g. under
216 nitrogen are essential. An appropriate container with its ensured integrity is important elements in order to
217 preventing the infiltration of oxygen over time.

218 **3.5 Light:**Light may cause chemical instability in photosensitive molecules is an important factor.If
219 preventive measures are applied during manufacturing e.g. selection of appropriate packaging material, it
220 can be prevented and it is important to check that they are maintained over time [10].

221 4. pH-RATE PROFILES

222 The pH-rate profile is the pH dependence of the specific rate constant of degradation of a compound;
223 sometimes it called as pH-stability profile or rate-pH profile, and it is conveniently represented by a $\log(k)$
224 versus pH plot. The pH-rate profiles help in developing more stable solution formulations and lyophilized
225 products also provide insights into the catalytic nature of a reaction. Many drug degradation reactions
226 follow apparent first order kinetics and usually plotted in a pH-rate profile which subject to specific and
227 general acid-base catalysis. One should correct for general acid-base catalysis by buffer components by
228 extrapolation to zero buffer concentration if the catalysis effect is significant. Analysis of a pH-rate profile
229 can be started by assuming all possible pathways and writing down the corresponding rate equations (Eq.
230 3). The presence or absence of a certain mechanism can then be verified by analyzing the kinetic data.

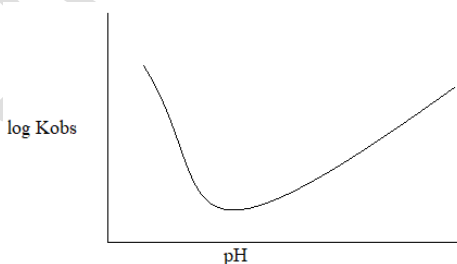
$$k_{obs} = k_0 + k_H[H^+] + k_{OH}[OH^-] + k_1[\text{buffer species 1}] + k_2[\text{buffer species 2}] + \dots$$
$$= k_0 + \sum_i k_i k_i \quad (3)$$

232 4.1 V-shaped, U-shaped, and other truncated pH-rate profiles

233 Specific acid and base catalysis is common in case of carboxylic acid derivatives, like esters, amides,
234 substituted ureas, etc. Rather than other more complicated mechanisms, the pseudo-first order rate
235 constant can be written as;

$$k_{obs} = k_H[H^+] + k_0 + k_{OH}[OH^-] \quad (4)$$

237 Here, k_0 is the intrinsic apparent first-order rate constant, and k_H and k_{OH} are the catalytic coefficients for
238 the hydrogen and hydroxyl ions, respectively. The pH-rate profile plot includes a straight line for acidic
239 region with slope of -1 and another straight line for basic region with slope of 1. Fig. 1 shows pH-rate
240 profiles for reactions involving only a single reactive species with specific acid- base-catalysis.



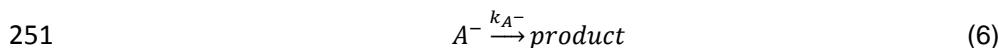
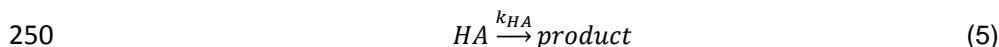
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242 **Fig.1:pH-rate profiles for reactions consisting only a single reactive species with specific acid-**
243 **base-catalysis.**

244 4.2 Sigmoidal pH-rate profiles

245 Sigmoidal pH-rate profiles are generally the results of dissociation of the drug molecules. Species
246 distributions of a weak base or weak acid are sigmoidal when in the vicinity of $pH=pK_a$, it plotted as a
247 function of pH. Therefore, the rate-pH profile results to be sigmoidal when both the acidic and basic

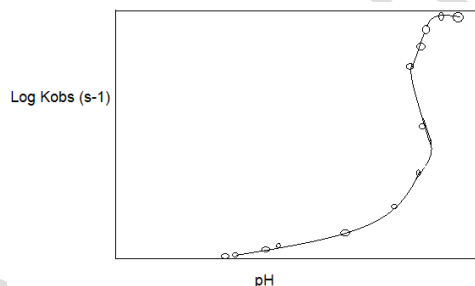
248 species of the compound can undergo degradation at different rate constants. Consider, for the
249 decomposition of weak acid HA:



252 When the drug concentration is measured, a distinction between the ionized and unionized species is
253 usually not made. The apparent rate of the reaction is

$$254 \quad \begin{aligned} \text{rate} &= k_{HA}[HA] + k_{A^-}[A^-] \\ &= \frac{k_{HA}[H^+] + k_{A^-}K_a}{K_a + [H^+]} \{HA\} \end{aligned} \quad (7)$$

255 Here, K_a is the dissociation constant of HA, while $\{HA\}$ is the total concentration of HA. The rate constants
256 are not identical therefore, a plot of the apparent rate constant seen sigmoidal against the pH. The rate
257 constant of each species can be estimated from the limits of the apparent rate constant at low and high
258 pH and that $pK_a = pH$ at the inflection point of the sigmoidal pH-rate profile plot. The sigmoidal curve
259 will encircle somewhat more than ± 1 pH units of the expected pK_a if the change in rate is due to ionization
260 at a specific site. An example of sigmoidal pH-rate profile is given in fig. 2.



261

262 **Fig. 2: Sigmoidal pH-rate profile**

263 4.3 Bell-shaped pH-rate profiles

264 Minima or maxima observe in Bell-shaped pH-rate profiles. Different scenario can lead to this kind of pH-
265 rate profile. The most inherent framework arises from the presence of two ionizable functional groups in
266 the molecule. For example, for a diprotic acid, H_2A , three species are in solution: H_2A , HA^- , and A^{2-} , where
267 the concentration-pH profile of species HA^- is bellshaped. Based on reactivity of monoprotic species, HA ,
268 the corresponding pH-rate profile could show either maxima or minima. In case of acid and a base, the
269 two ionizations are on different reactant molecules. Another one occurs when ionization is combined with
270 a change in the rate-determining step. For example, consider a reaction: $A \rightarrow B \rightarrow C$, where A is a
271 monoprotic acid/base. The two species of reactant A may have very different reactivity's with the rate
272 constant of step $B \rightarrow C$ falling somewhere in between. Therefore, in one pH region (below or above its
273 pK_a), the step $A \rightarrow B$ is the slowest, whereas $B \rightarrow C$ becomes the rate-determining step over another pH
274 range. A bell-shaped pH-rate profile then results, with one side of the bell corresponding to the ionization
275 while the other corresponds to the switch of the rate-limiting step. An example of sigmoidal pH-rate profile
276 is given in fig. 2.

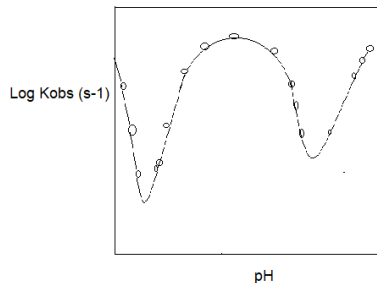


Fig. 3: Bell-shaped pH-rate profile

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279 4.4 More complicated pH-rate profiles

280 The analysis of a pH-rate profile can be complicated with the presence of multiple ionization centers, either
 281 their construction is based on same principles. Some of the features may not be fully developed in a
 282 particular pH-rate profile depending on how far their pK_a values are isolated. For example, the pH-rate
 283 profile of aspirin shows conformation for specific acid-catalysis at $pH < 2$ and specific base-catalysis at
 284 $pH > 10$. The sigmoidal portion is due to the different reactivity of the neutral and ionized aspirin species
 285 and broad shoulder within some pH due to intramolecular catalysis [11].

286 4.5 Influence of Temperature

287 Linear plots of $\ln k = f(1/T)$ were used to calculate the energy of activation (E_a), the entropy (ΔS^\ddagger) and
 288 enthalpy (ΔH^\ddagger) and the preexponential coefficient (A) for the partial reactions which based on the
 289 Arrhenius equation $\ln k = \ln A - E_a/RT$. The entropy of all reactions under the influence of water
 290 (spontaneous hydrolysis) was negative, which suggests the bimolecular character of these reactions. The
 291 positive values of entropy for the reactions catalyzed by hydrogen ions indicated a positive participation
 292 of entropy of protonation reaction. The linear relationships of $\Delta H^\ddagger = f(\Delta H^\ddagger)$ and $E_a = f(\ln A)$ were obtained
 293 for the degradation of protonated molecules of compounds catalyzed by hydrogen ions and spontaneous
 294 hydrolysis of molecules under the influence of water, which suggested that
 295 all reactions occurred according to the same mechanism of a bimolecular reaction [12].

296 5. FORCED DEGRADATION

297 Forced degradation is the degradation of new drug compounds and related products at more severe
 298 conditions than the accelerated conditions. It is required for structure elucidation of the degradation
 299 products which indicates the specificity of stability indicating methods which is essential for understanding
 300 of degradation products of the drug substances and degradation pathways [13].

301 Forced degradation studies are performed for following reasons:

- 302 1. To understand degradation pathways of drug substances and drug products.
- 303 2. To separate degradation products in a formulation those are obtained from drug products from
 304 those that are evolved from non-drug product.
- 305 3. To explain the chemical properties of drug molecules.
- 306 4. To exemplify the structure of degradation products.
- 307 5. Intrinsic stability determination.
- 308 6. To explain the mechanism of degradation such as thermolysis or photolysis, hydrolysis, oxidation
 309 of the drug substance and product [14, 15].
- 310 7. To discover more stable formulations.

- 311 8. To provide nature of methods stability indicating for drug molecules.
 312 9. To produce a degradation profile similar to that of what would be observed in a formal stability
 313 study under ICH conditions.
 314 10. To clarify stability-related problems[16].

315 5.1 Time to perform forced degradation

316 The time to perform forced degradation studies for the development of new drug substance and new drug
 317 product is very essential. As per FDA guidelines, stress testing should be performed in phase III of
 318 regulatory submission process to determine the stability of the drug substance which carried out at
 319 elevated temperature and humidity in various pH solutions, in the presence of oxygen and light. The
 320 single batch stress studies are conducted. The results should be summarized and submitted in an annual
 321 report[17].

322 5.2 Limits for degradation

323 How much degradation is sufficient is the question which always has been the topic of many discussions
 324 amongst pharmaceutical scientists. Degradation of drug substances between 5% and 20% has been
 325 accepted as reasonable for validation of chromatographic assays [18, 19]. 10% degradation is sufficient for
 326 analytical validation of pharmaceutical molecules having low mol. weight as per some pharmaceutical
 327 researchers for which acceptable stability limits of 90% of label claim is common[20]. Over-stressing a
 328 sample may lead to the generation of a secondary degradation product that would not be seen in formal
 329 shelf-life stability studies and under-stressing may not generate sufficient degradation products[21]. Some
 330 conditions used for forced degradation studies are given in table 4[22].

331 **Table 4: Conditions mostly used for forced degradation studies.**

Degradation type	Experimental conditions	Storage conditions	Sampling time (days)
Hydrolysis	Control API (no acid or base)	40 ° C, 60 ° C	1,3,5
	0.1 M HCl	40 ° C, 60 ° C	1,3,5
	0.1 M NaOH	40 ° C, 60 ° C	1,3,5
	Acid control (no API)	40 ° C, 60 ° C	1,3,5
	Base control (no API)	40 ° C, 60 ° C	1,3,5
	pH: 2,4,6,8	40 ° C, 60 ° C	1,3,5
Oxidation	3% H ₂ O ₂	25 ° C, 60 ° C	1,3,5
	Peroxide control	25 ° C, 60 ° C	1,3,5
	Azobisisobutyronitrile (AIBN)	40 ° C, 60 ° C	1,3,5
	AIBN control	40 ° C, 60 ° C	1,3,5
Photolytic	Light 1× ICH	NA	1,3,5
	Light 3× ICH	NA	1,3,5
	Light	NA	1,3,5
Thermal	Heat chamber	60 ° C	1,3,5
	Heat chamber/RH	60 ° C/75% RH	1,3,5
	Heat chamber	80 ° C	1,3,5
	Heat chamber/RH	80 ° C/75% RH	1,3,5
	Heat control	Room temp.	1,3,5

332 NA: Not Applicable, RH: Relative Humidity.

333 5.3 Degradation prediction tools

334 **CAMEO**

335 CAMEO is a computer program that predicts the products of organic reactions given starting materials,
336 reagents and conditions. The analyses cover the following key degradation conditions: basic/nucleophilic,
337 acidic/electrophilic, radical, oxidative/reductive and photochemical as well as mechanistic interpretations
338 of these reactions. In general, the CAMEO algorithms have been designed to give product mixtures that
339 err on predicting more degradation products than actually observed[23].

340 **5.4 Mechanism of Degradation**

341 **5.4.1 Hydrolytic conditions**

342 Hydrolysis involves degradation of a chemical compound due to reaction with water within chemical
343 process and it is most common chemical reactions causes degradation over a wide range of pH. In the
344 acidic and basic condition molecule under prone to catalysis of ionizable functional groups present within
345 molecules. When drug substance exposes to acidic or basic conditions forced degradation generates
346 primary degradants in desirable range in acid or base stress testing. For hydrolysis, Hydrochloric acid or
347 sulfuric acids (0.1–1M) for acid hydrolysis and sodium hydroxide or potassium hydroxide (0.1–1M) for
348 base hydrolysis are considered as convenient reagents and it mainly depends on the stability of the drug
349 substance[22,24]. For lowwater soluble compounds, co-solvents can be used to dissolve them in HCl or
350 NaOH and selection is depends on the structure of drug substance. In stress testing trial elevated
351 temperature (50–70° C) is normally started when there is no degradation at room temperature. Stress
352 testing should not exceed more than 7 days. Further degradation is avoided by neutralized the degraded
353 sample using suitable acid, base or buffer.

354 **5.4.2 Oxidation conditions**

355 In forced degradation studies hydrogen peroxide is largely used for oxidation of drug substances, also
356 other oxidizing agents like oxygen, metal ions and radical initiators (e.g., azobisisobutyronitrile, AIBN) can
357 used side by side. According to the drug substance, selection of an oxidizing agent and its concentration
358 with suitable conditions is proceeds. When the drug substances subjected to 0.1–3% hydrogen peroxide
359 at neutral pH and room temperature results into maximum 20% degradation potentially generate relevant
360 to degradation products under seven days period[22]. In oxidative degradation, reactive anions and
361 cations of drug substance are forms by an electron transfer mechanism. For example, amines, phenols
362 and sulfides give hydroxylamine, N-oxides, sulfones and sulfoxide by electron transfer oxidation[25]. In
363 case of functional group containing labile hydrogen like benzylic carbon, allylic carbon, and tertiary carbon
364 or α -positions with respect to hetero atom is susceptible to oxidation to form hydro peroxides, hydroxide
365 or ketone[26,27].

366 **5.4.3 Photolytic conditions**

367 It involves formation of primary degradants of drug substance by exposure to UV or fluorescent light.
368 Some essential conditions for photo stability testing are given in the ICH guidelines[28]. Minimum 1.2
369 million lx h and 200W h/m² light is applied to exposed drug substance and solid/liquid drug product. For
370 photolytic degradation, the most commonly used wavelength of light is in the range of 300–800
371 nm[29,30]. The maximum illuminations suggested is 6 million lx h [27]. Functional groups like carbonyls, N-
372 oxide, alkenes, aryl chlorides, nitro aromatic, sulfides, weak C–H and O–H bonds and polyenes etc. are
373 mostly includes drug photosensitivity because free radical mechanism involves in photo oxidation at light
374 stress conditions[31].

375 **5.4.4 Thermal conditions**

376 As per recommended in ICH Q1A accelerated testing conditions the thermal degradation (e.g., dry heat
377 and wet heat) is accomplished at quite more exhausting conditions than these recommendation. The
378 solid-state drug substances and drug products samples of should be exposed to dry and wet heat, while
379 the liquid drug products should be exposed to dry heat. These degradation may be conducted at higher
380 temperatures for a shorter period of time[22]. The Arrhenius equation is useful to study the effect of
381 temperature on thermal degradation of a substance.

$$k = Ae^{-Ea/RT}$$

382 Where k is specific reaction rate, A is frequency factor, Ea is energy of activation, R is gas constant
383 (1.987 cal/deg mole) and T is absolute temperature. Thermal degradation study is carried out at 40–
384 80° C[27, 32 and 33].

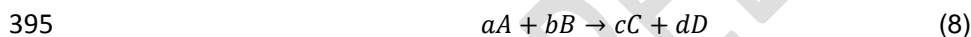
385 6. SOLUTION KINETICS

386 Chemical degradation reactions of pharmaceuticals follow the well-established treatments of chemical
387 kinetics.

388 6.1 Rate equations

389 When a chemical reaction starts, the concentrations of reactants and products change with time until the
390 reaction reaches completion or equilibrium. The concentrations of the reactants decrease, while those of
391 the products increase over time. Therefore, the rate of a reaction can be represented either by the
392 decreasing change in the reactant concentration or the increasing change in the concentration of a
393 product with respect to time.

394 An arbitrary chemical reaction can be represented as,



396 Here, a , b , c and d are the stoichiometric coefficients indicating the molar ratio of the reactants and
397 products of the reaction. The rate of change of concentration of each species can differ, depending on the
398 stoichiometric coefficients. Hence, a unified expression of the rate is preferred, which can be obtained via
399 normalization:

$$400 \quad \text{rate} = -\frac{1}{a} \frac{d[A]}{dt} = -\frac{1}{b} \frac{d[B]}{dt} = \frac{1}{c} \frac{d[C]}{dt} = \frac{1}{d} \frac{d[D]}{dt} \quad (9)$$

402 A negative sign is used for reactants so that the rate of a reaction is positive if it moves toward equilibrium
403 or completion. The rate of a reaction often depends on the concentrations of the reactants/products when
404 other conditions are kept identical. Consider the hydrolytic reaction of ethyl acetate under alkaline
405 conditions:



407 The rate of this reaction is proportional to the concentrations of each reactant species:

$$408 \quad \text{rate} = -\frac{d[\text{CH}_3\text{COOC}_2\text{H}_5]}{dt} = \frac{d[\text{C}_2\text{H}_5\text{OH}]}{dt} \quad (11)$$

409 Here, k , the proportional constant, is called the specific rate constant, or just the rate constant. This
410 hydrolytic reaction is first order with respect to either ethyl acetate or hydroxide, and is an overall second
411 order reaction. In general, the rate of the arbitrary reaction, may be written as

$$412 \quad \text{rate} = k[A]^\alpha[B]^\beta \quad (12)$$

413 Here, α and β are the reaction order with respect to A and B, respectively. The order of the overall
414 reaction is $n=\alpha+\beta$. This rate equation can be expanded to include more reactant/product species.

415 **6.1.1 Zero-order reactions**

416 In zero-order reactions, the rate of the reaction does not depend on the concentration of the reactant;
417 thus, the rate is a constant:

$$418 \quad \text{rate} = -\frac{d[A]}{dt} = k[A]^0 = k \quad (13)$$

419 Here, A is the reactant and k is the zero-order rate constant. In this case, the decrease in concentration of
420 A is linear with time;

$$421 \quad [A]_t = [A]_0 - kt \quad (14)$$

422 Here, $[A]_t$ is the concentration of A at time t , while $[A]_0$ is that at time zero, or the initial concentration.

423 **6.1.2 First-order reactions**

424 First-order reactions appear to be the most commonly encountered in pharmaceutical stability studies.
425 The rate of a first-order reaction is proportional to the concentration of the reactant:

$$426 \quad \text{rate} = -\frac{d[A]}{dt} = k[A] \quad (15)$$

427 The concentration-time profile of the reactant for a first-order reaction follows an exponential decay to a
428 limiting value, while that of the product follows an exponential increase to a different limiting value:



$$430 \quad [A]_t = [A]_0 \exp(-kt) \quad (17)$$

$$431 \quad [C]_t = [A]_0 [1 - \exp(-kt)] \quad (18)$$

432 The half-life, $t_{1/2}$, of the reaction is the time required for the reactant concentration to decrease to 50% of
433 its original value; similarly, the times for the reactant concentration to decrease to 95% and 90% of its
434 original values are designated as t_{95} , and t_{90} , respectively. These quantities can be obtained readily for a
435 first order reaction if the rate constant is known:

$$436 \quad t_{1/2} = \frac{\ln 2}{k}; \quad t_{95} = \frac{\ln 0.95}{k}; \quad t_{90} = \frac{\ln 0.9}{k} \quad (19)$$

437 A characteristic feature of first-order reactions is that the time required to lose the first 50% of the material
438 ($t_{1/2}$) is the same as the time required to drop from 50% remaining to 25% remaining, from 25% remaining
439 to 12.5% remaining, and so on.

440 **6.1.3 Second-order reactions**

441 Many apparently first-order reactions observed for pharmaceuticals are actually second order. Usually,
 442 two reactant molecules must collide in order to react. However, in practice, one reactant (e.g., water,
 443 hydrogen ion, hydroxyl ion, buffer species, etc.) may be in great excess so that its change in
 444 concentration is negligible, and an apparent first-order reaction is therefore observed. For a second-order
 445 reaction where two reactants are involved,



447 The rate equation can be written as;

448
$$rate = -\frac{d[A]}{dt} = -\frac{d[B]}{dt} = k[A][B] \quad (21)$$

449 The rate is first-order with respect to each reactant, but the overall reaction is second order. The
 450 concentration-time profile of a second-order reaction can be represented as

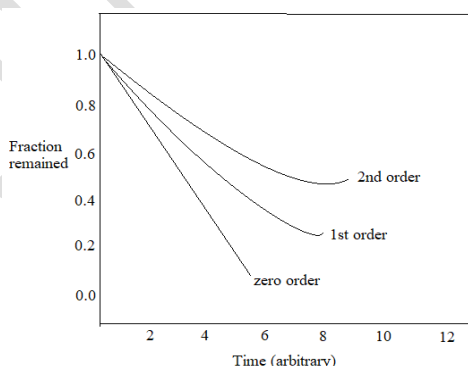
451
$$\frac{1}{[A]_0 - [B]_0} \left(\ln \frac{[A]_t}{[B]_t} - \ln \frac{[A]_0}{[B]_0} \right) = kt \quad (22)$$

452 When the initial concentrations of A and B are identical, the concentration-time profile can be simplified as

453
$$\frac{1}{[A]_t} - \frac{1}{[A]_0} = kt \quad (23)$$

454 The $t_{1/2}$, t_{95} , and t_{90} values for a second-order reaction all depend upon the initial concentration of each
 455 species.

456 Fig. 4 plots the reactant concentration-time profiles for theoretical zero-, first-, and second-order kinetics.
 457 Table 5 summarizes the rate equations, the formula for calculating reactant concentration-time profiles,
 458 and half-lives for this simple order kinetics. The rate constants used to generate Fig. 4 were assumed to
 459 be numerically identical in all cases. Identical initial reactant concentrations were assumed for the
 460 second-order reaction in both Fig. 4 and Table 5.



461
 462 **Fig 4: Reactant concentration-time profiles for theoretical zero-, first-, and second-order reactions.**

463 **Table 5: Rate Equations, Reactant Concentration-Time Profiles, and Half-Lives for Zero-, First-,**
 464 **and Second-Order Reactions**

Reaction order	Rate equation	Concentration-time profile	Half-life
Zero	$-\frac{d[A]}{dt} = k$	$[A]_t = [A]_0 - kt$	$t_{1/2} = \frac{[A]_0}{2k}$

First	$-\frac{d[A]}{dt} = k[A]$	$[A]_t = [A]_0 \exp(-kt)$	$t_{1/2} = \frac{\ln 2}{k}$
Second	$-\frac{d[A]}{dt} = k[A]^2$	$\frac{1}{[A]_t} - \frac{1}{[A]_0} = kt$	$t_{1/2} = \frac{1}{k[A]_0}$

465 Ref:[11, 34]

466 7. ANALYTICAL TOOLS USED IN STABILITY INDICATING METHOD DEVELOPMENT:

467 The stability indicating methods are easier to develop due to improvement in analytical instrument
468 techniques. The advance methods must have well separation between the drug substance, degradant
469 products and its impurities. It should also possess high sensitivity and specificity towards analyzing of
470 drug substance with minimum concentration. The TLC, LC-MS/MS, HPTLC, HPLC-DAD, HPLC-MS,
471 HPLC-UV and LC-NMR, these are some effective stability indicating method that have high sensitivity
472 and resolution power to develop the effective technique. HPTLC has less sensitive than HPLC but higher
473 sensitivity than TLC. TLC method involve small volume of mobile phase and large no. of the substances
474 can be analyzed in one single plate by densitometry method hence it have advantages over HPLC.

475 **Table 6: Drug Examples with Analytical Instrument Used For Stability Studies**

Drug examples	Analytical instrument used
Albendazole, Atazanavir Sulfate, Desloratadine, Cefexime&dicloxacilline, Temozolamide, Letrozol, Praziquantel, Prulifloxacin, BuprinorphineHCl and NalaxoneHCl, Guaifenesin& pseudoephedrine, Rizatriptan Benzoate, Doxorubicin, Rufinamide, Roflumilast, Pragabalin, Nizatidine, Naftopidil, Dexamethasone and Moxifloxacin, Levocabastine, AMLO-VAL-HCTZ, Eremantholide C, Silymerin and curcumin, Sofosbuvir and Ledipasvir, n-acetyl cysteine, Diclofenac, Piracetam, Rivaroxaban, Ofloxacin&ornidazole Isoflavoneaglycone in soybean Desonide Loratadine, Clobetasol, Nicardipine, Azilsartan, medoxomil, Pottasium, Ezetimibe, Simavastatin, Zidovudine	HPLC-UV SIM HPLC -DAD SIM UPLC SIM UFLC SIM HPTLC SIM TLC SIM HPLC-MS SIM HPLC-MS/MS SIM

476
477 In HPTLC method, several no. of the samples can apply on a single plate and the amount of mobile
478 phase required is small, so it has cost effective analysis hence it has advances over other methods.
479 Although HPLC -UV is the widely used method for development of stability indicating method and is more
480 sensitive than TLC and HPTLC method but it has a limit of its detection ability. HPLC-PDA or DAD
481 detectors can determine the wavelength over large range where all drug substance, impurities
482 and degradant products show absorbance hence, it causes easy detection, separation and quantification
483 of all contaminants and related substances to give exact drug concentration at any time point during its
484 storage. The small quantity of analyte analyze by HPLC-MS because it has higher sensitivity. For this
485 reason the HPLC-MS/MS use to study the fate of a drug in human biological fluids, i.e. drug plasma
486 concentration level and it identify degradant products. LC-NMR is also another highly sensitive technique
487 which having ability to separate enantiomers in which one of them considered as an impurity of drug
488 substance[35].

489 7.1 Mean Kinetic Temperature(MKT)

490 The Mean kinetic temperature is the single calculated temperature at which the total amount of
 491 degradation over a particular period is equal to the sum of the individual degradations that would occur at
 492 various cycles of higher and lower temperature. It is an isothermal storage temperature that simulates the
 493 non-isothermal effects of storage temperature variation. The MKT deals with the seasonal as well as daily
 494 temperature variations over a period of year. It indicates the cumulative thermal stress experience by a
 495 product at distinct temperatures during its distribution and storage. It is based upon the fact that the
 496 degradation rate constants are depends on temperature. The mean kinetic temperature provide
 497 affirmation that the actual storage conditions will not be affected the stability and shelf life of the product
 498 negatively. Controlled room temperature at 20°C to 25°C is taken as usual working environment is
 499 maintained thermostatically so mean kinetic temperature calculated should not more than 25°C. This
 500 concept is applicable in pharmacies, hospitals, storage and distribution areas, vehicles and warehouses
 501 etc. Compounds may be labeled for storage at “controlled room temperature” or at “up to 25°C”, or any
 502 other suitable word/phrase indicating same mean kinetic temperature. Two methods were used to
 503 calculated Mean kinetic temperature i.e. USP method and FDA method. USP method includes, average
 504 storage temperatures recorded over a 1-year period and the running average derived from the average of
 505 weekly high and low temperatures recorded over the preceding 52 weeks. The calculation is done by
 506 Hayne’s equation, which is derived from Arrhenius equation and this result in introduction of 52 data
 507 points and compares degradation rate constants at different temperatures to the activation energy.

$$508 \quad T_{MKT} = \frac{\Delta H/R}{-\ln \frac{e^{-\Delta H/RT_1} + e^{-\Delta H/RT_2} + \dots + e^{-\Delta H/RT_n}}{n}} \quad (24)$$

509 where *MKT* is the mean kinetic temperature; ΔH is the energy of activation, in kJ/mole; *R* is the universal
 510 gas constant 83.144kJ/mole (5240 kJ/mole); *T*₁ is the arithmetic mean of the highest and lowest
 511 temperatures recorded during the first time period (e.g., the first week); *T*₂ is the arithmetic mean of the
 512 highest and lowest temperatures recorded during the second time period (e.g., the second week); *T*_{*n*} is
 513 the arithmetic mean of the highest and lowest temperatures recorded during the *n*th time period (e.g., *n*th
 514 week), *n* being the total number of average storage temperatures recorded during the annual observation
 515 period; and all temperatures *T* being absolute temperatures in degrees Kelvin (K).

516 The relative humidity (RH) is the ratio of the water vapor pressure of the environment to the saturation
 517 water vapor pressure at fixed temperature. The relative humidity can be calculated from the partial and
 518 saturation pressures of the water vapor, according to Eq. (25):

$$519 \quad UR = \frac{P_D}{P_S} \times 100 \quad (25)$$

520 The partial and saturation pressures of the water vapor could be estimated through Eqs. (26 & 27)

$$521 \quad P_S = 0.61078 \times \exp\left(\frac{17.269 \times T}{T + 237.3}\right) \quad (26)$$

$$522 \quad P_D = 0.61078 \times \exp\left(\frac{17.269 \times T_D}{T_D + 237.3}\right) \quad (27)$$

523 Where, *P*_S=saturation pressure of the water vapor, (kPa);

524 *P*_D=partial pressure of the water vapor, (kPa);

525 *T*=measured environment temperature, (°C);

526 *T*_D=dew point temperature, (°C).

527 The storage conditions could be derived from Eq.(24&25). The storage conditions used generally should
528 include a safety margin for temperature and RH [9,36].

529 7.2 HPLC

530 The aim of method development is separation of active ingredient peak from degradation product peak
531 and detection of same. When the sample is developed by using a properly designed and accomplished
532 forced degradation, it can be used to develop the LC method. The various factors on which separation of
533 peaks are depends are solvent type, mobile phase pH, form of chromatograph, temperature and column
534 type. Analyte solubility, buffer used and UV value of solvent and safety of solvent are the
535 selection parameters of solvent. In stability indicating assay, planned and systemic examination of
536 experimental conditions such as pH, flow rate of mobile phase, column type and column temperature,
537 mode of chromatogram, sample concentration and amount of sample injected, solvent used and
538 wavelength etc. are takes place to develop method [4, 37].

539 7.3 Calorimetry

540 Methodology for accelerated stability testing normally involves chemical assay of samples stored at high
541 temperatures for appropriate time periods. Motivated largely by the desire to increase sample throughput,
542 thermal analysis methods, particularly differential scanning calorimetry (DSC), have been applied in
543 studies of the decomposition kinetics of explosives and in stability studies of pharmaceutical solids.
544 However, sensitivity limitations demand high temperatures in both scanning mode and isothermal mode.
545 In principle, the isothermal mode has the potential to provide data at more realistic temperatures. In
546 isothermal operation, deviation of the sample signal (W) from baseline is the rate of heat production by
547 the sample (dQ/dt) and is proportional to the reaction rate at that temperature (dn/df), where n is number
548 of moles of parent compound, with the constant of proportionality being the heat of reaction (ΔH),

$$549 \quad dQ/dt = \Delta H_r \cdot dn/dt \quad (28)$$

550 The heat of reaction is not normally known and may be evaluated by integration of dQ/dt over the course of
551 the experiment, provided the sample decomposes completely during the experiment. Thus, extremely
552 high temperatures are required. In principle, one could run at a more moderate temperature, without
553 decomposing the sample greatly, and be content to compare the thermal activities (dQ/dt) for a series of
554 samples. Assuming that the heats of reaction do not vary greatly among the samples studied, this
555 procedure would yield a comparison of reaction rates (Eq. 28). However, reproducibility of the baseline
556 limits the sensitivity of the measurement to roughly $\pm 50 \mu\text{W}$ for a common DSC unit⁻¹. With this sensitivity
557 and the small sample size ($\leq 30 \text{ mg}$), high temperatures are required to generate reproducible data. The
558 recent availability of commercial high sensitivity isothermal calorimeters has dramatically increased the
559 potential of calorimetric stability studies. With a sensitivity of $\approx 0.1 \mu\text{W}$ and a sample capacity of several
560 grams, such units have more than 4 orders of magnitude greater effective sensitivity than a conventional
561 DSC. Thus, assuming a heat of reaction in the tens of kJ/mol, such instrumentation is capable, in
562 principle, of comparative stability studies on relatively stable materials at room temperature. High
563 sensitivity isothermal calorimetry has found application in shelf-life stability estimation for explosives, and
564 a brief report from this laboratory suggests that this calorimetric approach would be useful in
565 pharmaceutical stability studies [38].

566 Recently Willson has described a general procedure for the determination of both thermodynamic and
567 kinetic parameters from microcalorimetric output data [39, 40]. The procedure takes a kinetic equation for
568 a particular reaction, and modifies it such that it applies directly to microcalorimetric data. This is achieved
569 by recognition of the fact that the total heat evolved during the course of a reaction (Q) is equal to the

570 total number of moles of material reacted (A_0) multiplied by the change in molar enthalpy for that reaction
571 (DH) (Eq. 29).

$$572 \quad Q = A_0 \Delta H \quad (29)$$

573 Similarly, the heat evolved at time $t(q)$ is equal to the number of moles of material reacted (x) at time t
574 multiplied by the change in molar enthalpy for that reaction (Eq. 30).

$$575 \quad q = x \Delta H \quad (30)$$

576 Eq. (30) may be substituted into a general rate expression of the form dx/dt to give an expression of the
577 form dq/dt (or power).

578 For example, the general rate expression for a simple, first-order, $A \rightarrow B$ process is given by Eq. (31).

$$579 \quad \frac{dx}{dt} = k(A_0 - x) \quad (31)$$

580 Substitution of Eq. (30) into Eq. (31) yields,

$$581 \quad \frac{dx}{dt} = k \Delta H \left(A_0 - \frac{q}{\Delta H} \right) \quad (32)$$

582 This modified rate expression may be used to fit power-time data recorded using the microcalorimeter by
583 a process of iteration. Using this method, Willson showed how it is possible to write calorimetric equations
584 that describe a range of commonly encountered mechanisms. It is also possible, if the integrated form of
585 the transformed calorimetric equation is known, to simulate calorimetric data using a suitable
586 mathematical worksheet. In this way, it is possible to obtain values for reaction parameter by fitting real
587 calorimetric data and de-convolute complex data into their component parts using the worksheet[41].

588 **7.4 First derivative of ratio spectra spectrophotometric method (DD¹)**

589 The main advantage of the method is that the whole spectrum of interfering substance is cancelled.
590 Accordingly, the choice of the wavelength selected for calibration is not critical. The best results shown in
591 terms of signal to noise ratio, sensitivity and selectivity[42].

592 **7.5 Chemometric methods**

593 This method is based on UV-spectrophotometry, and the resulting heavily overlapping responses are
594 processed by chemometrics. In this method, different chemometric approaches were applied for
595 simultaneous determination of drugs and its degradation products, including PCR and PLS methods.
596 These multivariate calibrations were useful in spectral analysis because the simultaneous inclusion of
597 many spectral wavelengths instead of single wavelength greatly improved the precision and predictive
598 ability. For evaluation of the predictive abilities of the developed models, several diagnostic tools were
599 used: predictive versus actual concentration plot (model and sample diagnostic); concentration residuals
600 versus actual concentration plot (model and sample diagnostic) and root mean square error of prediction
601 (RMSEP) (model diagnostic), the predicted concentrations of the validation samples were calculated [43].

602 **7.6 TLC-densitometric method**

603 Chromatographic techniques overcome the problem of overlapping absorption spectra of mixture of drugs
604 or in presence of impurities or degradation products by separation of these components on TLC plates or
605 chromatographic columns and determining each ingredient by scanning the corresponding chromatogram

606 [44]. It has many applications in the field of pharmaceutical studies, which include the following: stability,
607 impurities, synthetic drugs, pharmacokinetic, enantiomeric purity and drug monitoring in biological fluids.
608 To improve separation of bands, it was necessary to investigate the effect of different parameters [45].

609 7.7LC-MS/MS

610 LC-MS/MS is a superior and advanced analytical tool for the identification and characterization of the
611 degradation products in the APIs or a drug product. A combination of these techniques is finding
612 increased use in the analytical structural organic chemistry. The analytical applications of HPLC and MS
613 as well established. HPLC for resolving the mixture of compounds into its individual components, while
614 MS as an excellent for characterization of compounds. For example LC-MS/MS studies of Carfilzomib
615 which accomplished in the mass range of 50-2000amu and at +APCI ionization mode. Highly purified
616 helium was used as carrier and nebulizer consist of nitrogen. The following optimized mass parameters
617 are applied given values are: R_f loading: 80%; capillary voltage: 80 volts; drying gas temperature: 300°C;
618 nebulizer pressure: 35psi; syringe volume: 250µl; spray chamber temperature: 50°C; drying gas pressure:
619 10psi; vaporizer gas pressure: 20psi; spray shield voltage: ± 600.0 volts; vaporizer gas temperature:
620 350°C[46].

621 8. DRUG SHELF-LIFE ESTIMATION

622 The time at which the average drug characteristic (e.g., potency) of drug substance remains within an
623 approved specification after manufacture is known as its expiration dating period or shelf-life. As per
624 United States Food and Drug Administration (USFDA) a container label of each drug product must shows
625 shelf-life of that drug substance. Shelf-life usually evaluated on the basis of assay results of the drug
626 characteristic of a drug product as true shelf life usually unknown, it is generally from a stability study
627 performed during the drug development process[47].

628 Consider y_j is the result of a pharmaceutical compound assay at time x_j , $j = 1, \dots, n$. A simple linear
629 regression model is usually taken:

$$630 \quad y_j = \alpha + \beta x_j + e_j, \quad j = 1, \dots, n, \quad (33)$$

631 where α and β are unknown parameters, x_j 's are deterministic time points selected in the stability study,
632 and e_j 's are measurement errors independently and identically distributed as $N(0, \sigma^2)$.

633 8.1FDA's Method

634 Let $(\hat{\alpha}, \hat{\beta})$ is the least squares estimator of (α, β) depends on (y_j, x_j) 's under (33). For any fixed time x , a
635 95% lower confidence bound for $\alpha + \beta x$ is

$$636 \quad L(x) = \hat{\alpha} + \hat{\beta}x - \hat{\sigma} t_{n-2} \sqrt{\frac{1}{n} + \frac{(x-\bar{x})^2}{S_{xx}}} \quad (34)$$

637 Where t_{n-2} is the 95th percentile of the t-distribution with $n-2$ degrees of freedom, \bar{x} is the average
638 of x_j 's, $\hat{\sigma}^2 = (S_{yy} - S^2xy / S_{xx}) / (n - 2)$, $S_{yy} = \sum_{j=1}^n (y_j - \bar{y})^2$, $S_{xx} = \sum_{j=1}^n (x_j - \bar{x})^2$, $S_{xy} = \sum_{j=1}^n (x_j - \bar{x})(y_j -$
639 $\bar{y})$, and \bar{y} is the average of y_j 's. FDA's shelf-life estimator is $\hat{\theta}_F = \inf\{x \geq 0: L(x) \leq \eta\}$, the smallest $x \geq 0$
640 satisfying $L(x) = \eta$. From definition, $\hat{\theta}_F > \theta$ implies $L(\theta) > \eta$ and $P(\hat{\theta}_F > \theta) \leq P(L(\theta) > \eta) = 5\%$, since $L(\theta)$ is a
641 95% lower confidence bound for $\alpha + \beta\theta = \eta$. It means that $\hat{\theta}_F$ is a (conservative) 95% lower confidence
642 bound for θ .

643 8.2 The Direct Method

644 As per the asymptotic theory (either $n \rightarrow \infty$ or $\sigma \rightarrow 0$),

$$645 \left(\frac{\eta - \hat{\alpha}}{\hat{\beta}} - \theta \right) / \frac{\hat{\sigma}}{|\hat{\beta}|} \sqrt{\frac{1}{n} + \frac{1}{s_{xx}} \left(\frac{\eta - \hat{\alpha}}{\hat{\beta}} - \bar{x} \right)^2} \rightarrow N(0, 1) \text{ in law.} \quad (35)$$

646 Consider z be the 95th percentile of the standard normal distribution. Then an approximate (large n or
647 small σ) 95% lower confidence bound for θ is

$$648 \hat{\theta}_D = \frac{\eta - \hat{\alpha}}{\hat{\beta}} - \frac{\hat{\sigma}_z}{|\hat{\beta}|} \sqrt{\frac{1}{n} + \frac{1}{s_{xx}} \left(\frac{\eta - \hat{\alpha}}{\hat{\beta}} - \bar{x} \right)^2} \quad (36)$$

649 We call this the direct method (of obtaining a shelf-life estimator).

650 8.3 The Inverse Method

651 Another shelf-life estimator can be obtained using the so-called inverse regression method. Start with

$$652 x_j = \alpha^* + \beta^* y_j + e_j^*, \quad j = 1, \dots, n, \quad (37)$$

653 which is the same as (33) except that x_j and y_j are converted. In a stability study, however, the x_j 's are
654 deterministic time points and the y_j 's are assay results and, therefore, the error term e_j^* is not independent
655 of y_j .

656 8.4 Simulation Results

657 A simulation study is conducted to examine the finite sample performance of $\hat{\theta}_F$, $\hat{\theta}_D$ and $\hat{\theta}_I$. It include
658 whether the asymptotic bias and mean squared error formulas are close to the bias and mean squared
659 error given by simulation. Consider a typical stability study design: $x_j = 0, 3, 6, 9, 12, 18$, and 24 months, with
660 3 replications at each x_j . Thus $n = 21$. Values of α , β and η are chosen to be 105, -0.5 and 90, respectively,
661 so that $\theta = 30$. To see the asymptotic effect, values of σ ranging from 0.1 to 2.0.

662 8.5 Shelf-Life Estimation under Batch-To-Batch Variation

663 Drug products are usually manufactured in batches. The values for α and β in Eq. 33 may be vary for
664 different batches, this is referred as batch-to-batch variation. As per FDA, testing of minimum three
665 batches are required or preferably more. Single estimated shelf-life can be applied for all future drug
666 products in any stability testing to clarify for this variation [48].

667 8.6 Garret and Carper method

668 In this method shelf life determination carried out as per Arrhenius plot. The assumption of shelf life is
669 based on mathematical result obtained from the application of the Arrhenius equation, which includes the
670 effect of temperature of chemical reaction on the rate constant k , at thermodynamic temperature $1/T$
671 which observed as a straight line. The value of k obtained from the results of temperature by extrapolation
672 from the slope of this line. This k value is substituted irrelevant. The order of reaction shows the amount
673 of decomposition takes place in given time. Thus the primary operations are there for essential to
674 determine this order of reaction.

$$K = A e^{-E_a/RT}$$

675
$$\text{Log}K = \text{log}A - E_a/2.303 * RT \quad (38)$$

676 Where, k = rate constant, R= gas constant=1.987cal/mole T= absolute temperature, A= frequency factor,
677 E_a= energy of activation

678 If the reaction is follows zero order, expiration date observed at 25°C. C=Initial potency–minimum
679 potency/reaction rate at 25°C.

680
$$T_x = Y_0 - Y_x/K_0 \quad (39)$$

681 If the reaction follows the first order, expiration date found at 25°C.C(tx)= log initial potency – log
682 minimum potency/reaction rate at 25°C.

683
$$T_x = \text{log}0 - \text{log}Y_x/K_1 \quad (40)$$

684 Where, Y₀= initial potency, Y_x= final potency, K₀= zero order reaction, K₁= first order reaction[6,49].

685 9. HOLD TIME STABILITY STUDIES IN PHARMACEUTICAL INDUSTRY

686 It is a stability establishment tool for each and every stage in the drug product manufacturing. In the drug
687 product development, hold time stability is an important tool for establishing the in-process hold time.
688 Hold time stability is evaluating for each stage in the product manufacturing. Hold stability study is used to
689 determine the time requirement suitable for hold the blend or bulk stage before it passes to the next
690 stage. When appropriate, time limits for the completion of each phase of production shall be established
691 to assure the quality of the drug product.

692 Product manufacturing process of the drug product and compounds determines the preparation of hold
693 time study. The important criteria includes in the protocol are, study time points, hold study stages and
694 analytical tests for drugs.

695 Hold Time Study Results Evaluation

696 Hold study results is essential at each manufacturing stage to evaluate the shelf life can of the drugs and
697 its component. The shelf life of the specific stage is considered up to 45 days if the hold time samples are
698 passing at 60 days' time[50].

699 10. CONCLUSION

700 Stability testing is important aspect for new drug and new formulation during pharmaceutical development
701 program which is important component of it. Stability testing of pharmaceutical products the key
702 procedural contribution in the development program for a new drug as well as new formulation. Stability
703 studies are capable of differentiating active drug ingredient from any degradation product formed under
704 defined storage conditions. It is better to start degradation studies earlier in the drug development
705 process to have sufficient time to gain more information about the stability of the molecule. This
706 information in turn helps to improve the formulation manufacturing process and determine the storage
707 conditions. Over a period of time and with increasing experience and attention, the regulatory
708 requirements have been made increasingly stringent to achieve the above goal in all possible conditions
709 to which the product might be subjected during its shelf life. Therefore, the stability tests should be carried
710 out by proper understanding of scientific principles and current regulatory requirements and as per the
711 climatic zone.

712 11. REFERENCES:

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